

PERFORMANCE AGREEMENT

MADE AND ENTERED into by and between

CAPE WINELANDS DISTRICT MUNICIPALITY

(hereinafter referred to as "the Employer) as represented by **Helena von Schlicht** in her capacity as Executive Mayor, duly authorized thereto in terms of section 57(2)(b) of the Local Government: Municipal Systems Act, 2000 (Act No. 32 of 2000);

AND

HENRY FREDERICK PRINS (Identity Number: 630824 5164 083)

(hereinafter referred to as "the Employee") in his capacity as Municipal Manager.



PREAMBLE

WHEREAS the Employer has entered into a Contract of Employment with the Employee in terms of section 57(1)(a) of the Local Government: Municipal Systems Act, 2000 (Act No. 32 of 2000);

AND WHEREAS section 57(1)(b) of the Act, read with the Contract of Employment concluded between the Parties, requires them to conclude an annual Performance Agreement;

AND WHEREAS the Parties wish to ensure that there is compliance with sections 57(4)(a), 57(4)(c), 57(4A) and 57(5) of the Act, that they are clear about the goals to be achieved and secure the commitment of the Employee to a set of outcomes that will secure local government policy goals.

NOW THEREFORE THE PARTIES AGREE AS FOLLOWS:

1. DEFINITIONS

- 1.1 "the Act" shall mean the Local Government: Municipal Systems Act, 2000 (Act No. 32 of 2000);
- 1.2 "the Parties" shall mean the Employer and the Employee;
- 1.3 "KPA or KPA's" shall mean key performance area(s);
- 1.4 "KPI or KPI's" shall mean key performance indicator(s);
- 1.5 "competency framework" shall mean leading and core competencies as contained in regulation 3 of Annexure "A" of the Local Government:

 Regulations on Appointment and Conditions of Employment of Senior Managers;

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- 1.6 "PA" shall mean this Performance Agreement;
- 1.7 "PP" shall mean the Performance Plan attached as Annexure "A" to this Agreement, which shall be regarded as having been incorporated into the Agreement by reference;
- 1.8 "PDP" shall mean the Personal Development Plan attached as Annexure "B" to this Agreement, which shall be regarded as having been incorporated into the Agreement by reference; and
- 1.9 "Regulations" shall mean the Local Government: Municipal Systems Act, 2000 (Act No. 32 of 2000): Local Government: Regulations on Appointment and Conditions of Employment of Senior Managers, 2014.

2. PURPOSE OF AGREEMENT

The purpose of this Agreement is to -

- comply with the provisions of section 57(1)(b), 57(4)(a), 57(4)(c), 57(4A) and 57(5) of the Act as well as the Contract of Employment entered into between the Parties;
- 2.2 specify objectives and targets defined and agreed with the Employee and to communicate to the Employee the Employer's expectations of the Employee's performance and accountabilities in alignment with the Integrated Development Plan, Service Delivery and Budget Implementation Plan and the Budget of the Municipality;
- 2.3 specify accountabilities as set out in the PP, which forms **Annexure "A"** to this Agreement;
- 2.4 monitor and measure performance against set targeted outputs;

- 2.5 use the Agreement as the basis for assessing whether the Employee has met the performance expectations applicable to his job;
- 2.6 in the event of outstanding performance, to appropriately reward the Employee; and
- 2.7 give effect to the Employer's commitment to a performance-orientated relationship with its Employee in attaining equitable and improved service delivery.

3. COMMENCEMENT AND DURATION

- 3.1 This Agreement will commence on the **01 July 2018** and will remain in force until **30 June 2019**, where after a new PA, PP and PDP shall be concluded between the Parties for the next financial year or any portion thereof.
- 3.2 The Parties shall review the provisions of this Agreement during June each year.
- 3.3 The Parties will conclude a new PA, PP and/or PDP that replaces this Agreement at least once a year by not later than the beginning of each successive financial year.
- 3.4 This Agreement will terminate on the termination of the Employee's Contract of Employment for any reason.
- 3.5 The content of this Agreement may be revised at any time during the period specified in clause 3.1 above, to determine the applicability of the matters agreed upon.

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3.6 If at any time during the validity of this Agreement the work environment alters (whether as a result of Government or Council decisions or otherwise) to the extent that the contents of this Agreement are no longer appropriate, the contents shall immediately be revised.

4. PERFORMANCE OBJECTIVES

- 4.1 The PP sets out -
- 4.1.1 The performance objectives and targets that must be met by the Employee; and
- 4.1.2 The time frames within which those performance objectives and targets must be met.
- The performance objectives and targets reflected in the PP are set by the Employer in consultation with the Employee and based on the Integrated Development Plan, Service Delivery and Budget Implementation Plan and the Budget of the Employer, and shall include key objectives, key performance indicators, target dates and weightings.
- 4.2.1 The key objectives describe the main tasks that need to be performed or executed.
- 4.2.2 The KPI's and means of verification provide the details of the evidence that must be provided to show that a key objective has been achieved.
- 4.2.3 The target dates describe the timeframe within which the work must be achieved.

4.2.4 The weightings show the relative importance of the key objectives to each other.

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4.3 The Employee's performance will, in addition, be measured in terms of contributions to the goals and strategies set out in the Employer's Integrated Development Plan.

5. PERFORMANCE MANAGEMENT SYSTEM

- 5.1 The Employee agrees to participate in the performance management system of the Municipality, management and staff of the Employer.
- 5.2 The Employee accepts that the purpose of the performance management system will be to provide a comprehensive system with specific performance standards to assist the Municipality, management and staff to perform to the standards required.
- 5.3 The Employer shall consult the Employee about the specific performance standards that will be included in the performance management system as applicable to the Employee.
- The Employee undertakes to actively focus towards the promotion and implementation of the KPA's (including special projects relevant to the Employee's responsibilities) within the local government framework.
- The criteria upon which the performance of the Employee shall be assessed, shall consist of two components, both of which shall be contained in the Agreement.
- 5.5.1 The Employee must be assessed against both components, with a weighting of 80:20 allocated to the KPA's and the competency framework respectively.

5.5.2 Each area of assessment will be weighted and will contribute a specific part to the total score.

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- 5.5.3 KPA's covering the main areas of work will account for 80% (eighty percent) and competency framework will account for 20% (twenty percent) of the final assessment.
- The Employee's assessment will be based on his/her performance in terms of the outputs/outcomes (KPI's) identified in the Performance Plan, which are linked to the KPA's, and will constitute 80% (eighty percent) of the overall assessment result as per the weightings agreed to between the Employer and Employee:

Key Performance Areas	Weighting
Municipal Institutional Development and Transformation	3%
Basic Service Delivery	20%
Local Economic Development (LED) – Covered under Basic Services	0%
Municipal Financial Viability and Management	4%
Good Governance and Public Participation	53%
Total	80%

- 5.7 The KPA's related to the functional area of the Employee shall be subject to negotiation between the Executive Mayor and the Employee.
- 5.8 The competency framework will make up the other 20% (twenty percent) of the Employee's assessment score.
- 5.9 Compulsory competencies are listed as follows:

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COMPETENCIES

The competencies required from employees prescribed in the Regulations on the appointment and conditions of employment of senior managers, R21 of 2014. The assessment of these competencies will account for **twenty percent** of the total employee assessment score.

Annexure C describes the different achievement levels for each Competency and should therefore form part of this section of the Performance Plan.

Competency	Definition	Weight
	LEADING COMPETENCIES)
	Provide and direct a vision for the institution, and inspire and deploy others to deliver on the strategic institutional mandate. It includes:	
-	Impact and influence	2351 CA-025
Strategic direction and leadership	Institutional performance management	1.67
	Strategic planning and management	
	Organisational awareness	
	Effectively manage, inspire and encourage people, respect diversity, optimise talent and build and nurture relationships in order to achieve institutional objectives. It includes:	
	Human capital planning and development	- 1 I
People management	Diversity management	1.67
	Employee relations management	
	Negotiation and dispute management	
	Able to understand program and project management methodology; plan, manage, monitor and evaluate specific activities in order to deliver on set objectives. It includes:	
Programme and project management	 Program and project planning and implementation 	1.67
	Service delivery management	
	 Program and project monitoring and evaluation 	
	Able to compile, plan and manage budgets, control cash flow, institute financial risk management and administer procurement processes in accordance with recognised financial practices. Further to ensure that all financial transactions are managed in an ethical manner. It includes:	
Financial management	Budget planning and execution	1.67
	 Financial strategy and delivery 	
(Financial reporting and delivery	

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Competency	Definition	Weight
	LEADING COMPETENCIES (continue)	
	Able to direct and initiate transformation on all levels in order to successfully drive and implement new initiatives and deliver professional and quality services to the community. It includes:	
Change leadership	Change vision and strategy	1.67
	Process design and improvement	
	Change impact monitoring and evaluation	V
	Able to promote, direct and apply professionalism in managing risk and compliance requirements and apply a thorough understanding of governance practices and obligations. Further, able to direct the conceptualisation of relevant policies and enhance cooperative governance relationships. It includes:	
Governance leadership	Policy formulation	1.67
	Risk and compliance management	
	Cooperative governance	
	CORE COMPETENCIES	
Moral competence	Able to identify moral triggers, apply reasoning that promotes honesty and integrity and display behaviour that reflects 1.0	1.67
Planning and organising	Able to plan, prioritise and organise information and resources effectively to ensure the quality of service delivery and build efficient contingency plans to manage risk.	1.67
Analysis and innovation	Able to critically analyse information, challenges and trends to establish and implement fact-based solutions that are innovative to improve institutional processes in order to achieve key strategic objectives.	1.67
Knowledge and information management	Able to promote the generation and sharing of knowledge and information through various processes and media, in order to enhance the collective knowledge base of local government	1.67
Communication	Able to share information, knowledge and ideas in a clear, focused and concise manner appropriate for the audience in order to effectively convey, persuade and influence stakeholders to achieve the desired outcome.	1.67
Results and quality focus	Able to maintain high quality standards, focus on achieving results and objectives while consistency striving to exceed expectations and encourage others to meet quality standards. Further, to actively monitor and measure results and quality against identified objectives.	1.67

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6. EVALUATING PERFORMANCE

- 6.1 The PP attached as **Annexure "A"** to this Agreement, sets out –
- 6.1.1 The standards and procedures for evaluating the Employee's performance; and
- 6.1.2 The intervals for the evaluation of the Employee's performance.
- Despite the establishment of agreed intervals for evaluation, the Employer may in addition review the Employee's performance at any stage while the Contract of Employment remains in force.
- 6.3 Personal growth and development needs identified during any performance review discussion shall be documented in a PDP as well as the actions agreed to and implementation must take place within set time frames.
- The Employee's performance shall be measured in terms of contributions to the goals and strategies set out in the Employer's Integrated Development Plan.
- 6.5 The annual performance appraisal shall involve:

6.5.1 Assessment of the achievement of results as outlined in the PP

- (a) Each KPA shall be assessed according to the extent to which the specified standards or KPI's have been met and with due regard to *ad hoc* tasks that had to be performed under the KPA's.
- (b) An indicative rating on the 5 (five) point scale should be provided for each KPA.

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(c) The applicable assessment rating calculator (refer to clause 6.5.3 below) must be used to add the scores and calculate a final KPA score.

6.5.2 Assessment of the Competency Framework

- (a) Each competency should be assessed according to the extent to which the specified standards have been met.
- (b) An indicative rating on the 5 (five) point scale shall be provided for each competency.
- (c) This rating shall be multiplied by the weighting given to each competency during the contracting process to provide a score.
- (d) The applicable assessment rating calculator (refer to clause 6.5.1) shall be used to add the scores and calculate a final competency framework score.

6.5.3 Overall rating

An overall rating is calculated by using the applicable assessment-rating calculator, which shall represent the outcome of the performance appraisal.

(a) The assessment of the performance of the Employee will be based on the following rating scale for KPA's and the competency framework:

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l aval	Tomoinalann	December		Rating 1 2 3 4			
Level	Terminology	Description	1	2	3	4	5
5	Outstanding performance	Performance far exceeds the standard expected of an employee at this level. The appraisal indicates that the Employee has achieved above fully effective results against all performance criteria and indicators as specified in the PA and PDP and maintained this in all areas of responsibility throughout the year.					
4	Performance significantly above expectations	Performance is significantly higher than the standard expected in the job. The appraisal indicates that the Employee has achieved above fully effective results against more that half of the performance criteria and indicators and fully achieved all others throughout the year.					
3	Fully effective	Performance fully meets the standards expected in all areas of the job. The appraisal indicates that the Employee has fully achieved effective results against all significant performance criteria and indicators as specified in the PA and PDP.					
2	Not fully effective	Performance is below the standard required for the job in key areas. Performance meets some of the standards expected for the job. The review/assessment indicates that the Employee has achieved below fully effective results against more than half the key performance criteria and indicators as specified in the PA and PDP.					
1	Unacceptable performance	Performance does not meet the standard expected for the job. The review/assessment indicates that the Employee has achieved below fully effective results against almost all of the performance criteria and indicators as specified in the PA and PDP. The Employee has failed to demonstrate the commitment or ability to bring performance up to the level expected in the job, despite management efforts to encourage improvements.					

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- (b) For purposes of evaluating the annual performance of the Employee, an evaluation panel constituted of the following persons must be established -
 - (i) Executive Mayor;
 - (ii) Chairperson of the Performance Audit Committee or the Chairperson or designated performance management specialist of the Audit Committee in the absence of a Performance Audit Committee;
 - (iii) Member of the Mayoral Committee;
 - (iv) Member of a ward committee as nominated by the Executive Mayor; and
 - (iv) Municipal Manager from another Municipality.
- (c) The manager responsible for human resources of the municipality must provide secretariat services to the evaluation panel referred to in subclause 6.5.3(b).

7. SCHEDULE FOR PERFORMANCE REVIEWS

7.1 The performance of the Employee in relation to his/her PA shall be reviewed on the following dates, with the understanding that the 1st (first) and 3rd (third) quarter review may be verbal if performance is satisfactory:

First quarter: July – September Before end October 2018
Second quarter: October – December Before end January 2019
Third quarter: January – March Before end April 2019
Fourth quarter: April – June Before end July 2019

7.2 The Employer shall keep a record of the mid-year review and annual

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assessment meetings.

- 7.3 Performance feedback shall be based on the Employer's assessment of the Employee's performance.
- 7.4 The Employer shall be entitled to review and make reasonable changes to the provisions of the PP from time to time for operational reasons, subject thereto that the Employee shall be fully consulted before any such change is made.
- 7.5 The Employer may amend the provisions of the PP whenever a performance management system is adopted, implemented and/or amended by the Municipality, as the case may be, subject thereto that the Employee will be fully consulted before any such change is made.

8. DEVELOPMENTAL REQUIREMENTS

The Personal Development Plan for addressing developmental gaps, is attached as **Annexure** "B" and shall form part of this Agreement.

9. OBLIGATIONS OF THE EMPLOYER

- 9.1 The Employer shall –
- 9.1.1 create an enabling environment to facilitate effective performance by the Employee;
- 9.1.2 provide access to skills development and capacity building opportunities;
- 9.1.3 work collaboratively with the Employee to solve problems and generate solutions to common problems that may impact on the performance of the Employee;
- 9.1.4 on the request of the Employee, delegate such powers reasonably required by the Employee to enable him to meet the performance objectives and targets established in terms of this Agreement; and

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9.1.5 make available to the Employee such resources as the Employee may reasonably require from time to time to assist him/her to meet the performance objectives and targets established in terms of this Agreement.

10. CONSULTATION

- 10.1 The Employer agrees to consult the Employee timeously where the exercising of the powers will have, amongst others -
- 10.1.1 a direct effect on the performance of any of the Employee's functions;
- 10.1.2 commit the Employee to implement or to give effect to (a) decision(s) taken by the Employer; and
- 10.1.3 a substantial financial effect on the Employer.
- The Employer agrees to inform the Employee of the outcome of any decisions taken pursuant to the exercise of powers contemplated in clause 10.1 as soon as is practicable to enable the Employee to take any necessary action without delay.

11. MANAGEMENT OF EVALUATION OUTCOMES

- 11.1 The evaluation of the Employee's performance shall form the basis for rewarding outstanding performance or correcting unacceptable performance.
- A pro-rata performance bonus ranging from 5% to 9% based on a score of 130% to 149% and a maximum of 10% based on a score of 150% and above, in terms of regulation 32(2) of the Local Government: Municipal Performance Regulations for Municipal Managers and Managers Directly Accountable to Municipal Managers, 2006 be paid to the Municipal Manager.

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- 11.3 The annual performance bonus contemplated in clause 11.2 shall be calculated on the Total Cost of Employment of the Employee of the last working day of the financial year on which the performance bonus is due.
- 11.4 In the case of unacceptable performance, the Employer shall provide systematic remedial or developmental support to assist the Employee to improve his performance; and
- After appropriate performance counselling and having provided the necessary guidance and/or support as well as reasonable time for improvement in performance, and performance does not improve, the Employer may consider steps to terminate the contract of employment of the Employee on grounds of unfitness or incapacity to carry out his duties.

12. DISPUTE RESOLUTION

12.1 DISPUTES ON PERFORMANCE AGREEMENT

Any disputes about the nature of the Performance Agreement, whether it relates to key responsibilities, priorities, methods of assessment and/or any other matter provided for, shall be mediated by a member of the Municipal Council: Provided that such member was not part of the evaluation panel provided for in sub-regulation 27(4)(d) of the regulations, within 30 (thirty) days of receipt of a formal dispute from the Employee, whose decision shall be final and binding on both Parties.

12.2 DISPUTES ON OUTCOME OF PERFORMANCE EVALUATION

Any disputes about the outcome of the Employee's performance evaluation must be mediated by a member of the Municipal Council: Provided that such member was not part of the evaluation panel provided for in sub-regulation 27(4)(d), within 30 (thirty) days of receipt of a formal dispute from the Employee, whose decision shall be final and binding on both Parties.

13. GENERAL

- 13.1 The contents of this Agreement shall be made available to the public by the Employer.
- 13.2 Nothing in this Agreement diminishes the obligations, duties or accountabilities of the Employee in terms of his Contract of Employment, or the effects of existing or new regulations, circulars, policies, directives or other instruments.
- 13.3 The performance assessment results of the Employee shall be submitted to the Minister responsible for local government in the Province of the Western Cape as well as the National Minister for local government within 14 (fourteen) days after conclusion of the assessment.

THUS DONE AND SIGNED AT Worcester...... ON THIS THE 28 DAY OF June... 2018 IN THE PRESENCE OF THE UNDERSIGNED WITNESSES:

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THUS DONE AND SIGNED AT Worcester ON THIS THE 28 DAY OF JUNE 2018 IN THE PRESENCE OF THE UNDERSIGNED WITNESSES:

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ANNEXURE A 2018/2019 Key Performance Indicators MUNICIPAL MANAGER - HF PRINS

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Woicht (0/)	(o/)	10	10	10	7	7	9	5	4	4	
Annual	Target	%06	80%	%08	%06	%06	%06	95%	2	9	-
	Q4	90% (cumulative for all Qs)	90% (cumulative for all Qs)	90% (cumulative for all Qs)	90% (cumulative for all Qs)	90% (cumulative for all Qs)	90% (cumulative for all Qs)	95% (cumulative for all Qs)	-	2	
Targets	03	Not Applicable	Not Applicable	Not Applicable	Not Applicable	Not Applicable	Not Applicable	Not Applicable	0	-	
Quarterly Targets	Q2	80% (cumulative for Q1 and Q2)	80% (cumulative for Q1 and Q2)	80% (cumulative for Q1 and Q2)	80% (cumulative for Q1 and Q2)	80% (cumulative for Q1 and Q2)	80% (cumulative for Q1 and Q2)	80% (cumulative for Q1 and Q2)	-	2	
	۵٦	Not Applicable	Not Applicable	Not Applicable	Not Applicable	Not Applicable	Not Applicable	Not Applicable	0	-	
Baseline	Dascillic	%08	%08	%08	%06	90%	%06	95%	2	ю	
Unit of measurement	Out of measurement	90% of the KPIs of the department have been met as calculated	90% of the KPIs of the department have been met as calculated	90% of the KPIs of the department have been met as calculated	90% of the KPIs of the division have been met as calculated	90% of the KPIs of the division have been met as calculated	90% of the KPIs of the division have been met as calculated	% quarterly targets achieved	Number of meetings held	Number of meetings initiated	
Key Performance Indicator (KPI)		Manage and achieve 90% of the KPIs of the Executive Director: Community Development & Planning Services	Manage and achieve 90% of the KPIs of the Executive Director: Technical Services	Manage and achieve 90% of the KPIs of the Executive Director: Financial & Strategic Support Services	Manage and achieve 90% of the KPIs of the Division: IDP, Performance & Risk Management	Manage and achieve 90% of the KPIs of the Division: Internal Audit	Manage and achieve 90% of the KPIs of the Division: Communication Services	Achievement of quarterly organisational KPIs on SDBIP	Meetings with the extended management team	Improve inter-governmental relations within the district as well as with other districts by initiating the DCF Tech, DCF and Joint District meetings.	
National KPA		Basic Service Delivery	Basic Service Delivery	Municipal Financial Viability and Management, Municipal Transformation and Institutional Development, Good governance and Public Participation	Good Governance and Public Participation	Good Governance and Public Participation	Good Governance and Public Participation	Good Governance and Public Participation	Good Governance and Public Participation	Good Governance and Public Participation	
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	6						Quarterly Targets	Targets		Annual	
ž	20	National KPA	Key Performance Indicator (KPI)	Unit of measurement	Baseline	10	70	ED.	70	Target	Weight (%)
10	3	Good Governance and Public Participation	Submission of updated annual risk register to FARMCO	Risk register submitted to FARMCO	-	0	0	0	-	-	4
11	3	Good Governance and Public Participation	Good Governance and Performance Agreements for MM and EDs signed Public Participation	4 performance agreements signed	-	0	0	0	-	-	5
12	3	Good Governance and Public Participation	Internal Audit reports submitted to Audit & Performance Committee on a quarterly basis	Quarterly reports submitted to Audit & Performance Committee	е	-	-	-	-	4	4
13	3	Good Governance and Public Participation	Obtain a clean audit report from the Auditor- General as at end November/December	Audit opinion issued by the AGSA	-	0	-	0	0	-	4



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ANNEXURE B

		PERSONAL DEV	L DEVELOPMENT PL	ELOPMENT PLAN 2018/2019: HF PRINS	: HF PRINS			
			Municipal Manager	lanager				
					Work Opportunity			
			Suggested Training and / or	Suggested Time	Created to Practice	Asia		
	Skill required /	Outcomes Expected (what	activity and mode of delivery	Frames/ completion	Skill / Development	Support	Signature of	Signature of Comments at
Date of Entry	performance gap	will I achieve)		date	Area	Person	Employee	next Review
1 July 2018	Continuous Professional Development	Keep abreast of technology, best practice, etc.	Attendance of relevant congresses, seminars, forums, etc.	30 June 2019	Technical knowledge	Self		

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"Annexure C"

Competency Framework

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Competency Descriptions



Cluster		Leading Competen	cies			
Competency Na	ame	People Managemer	nt			
Competency Defi	nition	Effectively manage, inspire and encourage people, respect diversity, optimise talent and build and nurture relationships in order to achieve institutional objectives				
			RENT LEVELS	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		
BASIC		COMPETENT	ADVANCED	SUPERIOR		
Participate in team goal-setting and problem solving Interact and collaborate with people of diverse backgrounds Aware of guidelines for employee development, but requires support in implementing development initiatives	increcontinues in continues in	ribution and consibility pect and support diverse nature of rs and be aware of penefits of a diverse coach ctively delegate is and empower is to increase ribution and cute functions mally y relevant loyee legislation and consistently littate team goaling and probleming ctively identify acity requirements fulfill the strategic	effective and desired behaviour Provide mentoring and guidance to others in order to increase personal effectiveness Identify development and learning needs within the team Build a work environment conducive to sharing, innovation, ethical behaviour and professionalism Inspire a culture of performance excellence by giving positive and	Develop and incorporate best practice people management processes, approaches and tools across the institution Foster a culture of discipline, responsibility and accountability Understand the impact of diversity in performance and actively incorporate a diversity strategy in the institution Develop comprehensive integrated strategies and approaches to human capital development and management Actively identify trends and predict capacity requirements to facilitate unified transition and performance management		

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Cluster	Leading Competencies						
Competency Name	Program and Project Management						
	Able to understand program and project management methodology;						
Competency Definition	plan, manage, monitor and evaluate specific activities in order to						
· · · · · · · · · · · · · · · · · · ·	deliver on set objectives						
	ACHIEVEMENT LEVELS						
BASIC	COMPETENT ADVANCED SUPERIOR						
• Initiate projects	• Establish broad • Manage multiple • Understand and						
after approval from	stakeholder programs and conceptualise						
higher authorities	involvement and balance priorities the long-term						
Understand	communicate the and conflicts implications of						
procedures of	project status and according to desired project						
program and	key milestones institutional goals outcomes						
project	Define the roles Apply effective risk Direct						
management	and responsibilities management comprehensive						
methodology, implications and	of the project team strategies through strategic macro and create clarity impact assessment and micro						
stakeholder							
involvement	around and resource analysis and expectations requirements scope projects						
Understand the	• Find a balance • Modify project scope accordingly to						
rational of projects	between project and budget when realise						
in relation to the	deadline and the required without institutional						
institution's	quality of compromising the objectives						
strategic objectives	deliverables quality and Consider and						
	 Identify appropriate objectives of the initiate projects 						
communicate	project resources to project that focus on						
factors and risk	facilitate the Involve top-level achievement of						
associated with	effective authorities and the long-term						
own work	completion of the relevant objectives						
 Use results and 	deliverables stakeholders in • Influence people						
approaches of	 Comply with seeking project buy- in positions of 						
successful project	statutory in authority to						
implementation as	requirements and • Identify and apply implement						
guide	apply policies in a contemporary outcomes of						
	consistent manner project management projects						
	Monitor progress methodology						
	and use of Influence and translation of resources and motivate project policy into						
1	project project						
	make needed team to deliver workable adjustments to exceptional results actions plans						
1	The first control of the control of						
	timelines, steps, • Monitor policy • Ensures that and resource implementation and programs are						
	allocation apply procedures to monitored to						
	manage risks track progress						
	and optimal						
	resource						
1	utilisation, and						
	that adjustments						
	are made as						
	needed						

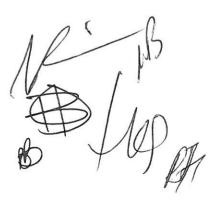
Cluster	Leading Competencies						
Competency Name	Financial Management						
Competency Definition	Able to compile, plan and manage budgets, control cash flow, institute financial risk management and administer procurement processes in accordance with recognised financial practices. Further to ensure that all financial transactions are managed in an ethical manner						
	ACHIEVEMENT LEVELS						
BASIC	COMPETENT ADVANCED	SUPERIOR					
Understand basic financial concepts and methods as they relate to institutional processes and activities Display awareness into the various sources of financial data, reporting mechanisms, financial governance, processes and systems Understand the importance of financial accountability Understand the importance of asset control	 Exhibit knowledge of general financial concepts, planning, budgeting, and forecasting and how they interrelate Assess, identify and manage financial risks Assume a costsaving approach to financial management Prepare financial reports based on specified formats Consider and understand the financial implications of decisions and suggestions Ensure that delegation and instructions as required by National Treasury guidelines are reviewed and updated Identify and implement proper monitoring and evaluation practices to ensure appropriate spending against budget 	Develop planning tools to assist in evaluating and monitoring future expenditure trends Set budget frameworks for the institution Set strategic direction for the institution on expenditure and other financial processes Build and nurture partnerships to improve financial management and achieve financial savings Actively identify and implement new methods to improve asset control Display professionalism in dealing with financial data and processes					

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	Cluster	T	Leading Competencies						
	Competency Name	1	Change Leadership						
		1	Able to direct and initia	te ir	stitutional transformat	ion	on all levels in		
Competency Definition		(order to successfully drive and implement new initiatives and						
			deliver professional and quality services to the community						
			ACHIEVEMENT LEVELS						
	BASIC		COMPETENT		ADVANCED		SUPERIOR		
•	Display an awareness of change	•	Perform an analysis	•	Actively monitor	•			
	interventions, and		of the change impact on the social.		change impact and results and convey		change		
	the benefits of		political and	1	progress to relevant		agents and create a		
	transformation		economic	1	stakeholders		network of		
	initiatives		environment		Secure buy-in and		change		
	Able to identify basic		Maintain calm and		sponsorship for		leaders who		
	needs for change		focus during change	1	change initiatives		support the		
•	Identify gaps		Able to assist team		Continuously		interventions		
	between the current		members during		evaluate change	•			
	and desired state		change and keep	1	strategy and design		adapt current		
•	Identify potential risk		them focused on the		and introduce new		structures		
	and challenges to	1	deliverables		approaches to		and		
	transformation,	•	Volunteer to lead		enhance the		processes to		
	including resistance		change efforts		institution's		incorporate		
	to change factors		outside of own work		effectiveness		the change		
•	Participate in change		team	•	Build and nurture		interventions		
	programs and piloting change	•	Able to gain buy-in		relationships with various stakeholders	•	Mentor and quide team		
	interventions		and approval for change from		to establish strategic		members on		
	Understand the		relevant		alliance in facilitating		the effects of		
	impact of change		stakeholders		change		change.		
	interventions on the		Identify change		Take the lead in	1	resistance		
	institution within the		readiness levels and		impactful change	1	factors and		
	broader scope of		assist in resolving		programs	ĺ	how to		
	Llocal Ggovernment.		resistance to change		Benchmark change		integrate		
			factors		interventions against		change		
		0	Design change		best change	•	Motivate and		
			interventions that		practices		inspire others		
			are aligned with the	•	Understand the		around		
			institution's strategic		impact and		change initiatives		
			objectives and goals	1	psychology of		miliatives		
					change, and put remedial				
					interventions in				
					place to facilitate				
					effective				
					transformation				
					Take calculated risk	1			
					and seek new ideas				
					from best practice				
					scenarios, and				
					identify the potential				
					for implementation				

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Cluster	Leading Compet	Leading Competencies			
Competency Name Governance Leadership					
Competency Definiti	Able to promote and compliance of governance proceptualisation governance rela	Able to promote, direct and apply professionalism in managing risk and compliance requirements and apply a thorough understanding of governance practices and obligations. Further, able to direct the conceptualisation of relevant policies and enhance cooperative governance relationships			
BASIC	ACHIEVE COMPETENT	MENT LEVELS			
Display a basic awareness of risk, compliance and governance factors but require guidance and development in implementing such requirements Understand the structure of cooperative government but requires guidance on fostering workable relationships between stakeholders Provide input into policy formulation	Display a thorough understanding of governance and risk and compliance factors and implement plans to address these Demonstrate understanding of the techniques and processes for optimising risk taking decisions within the institution Actively drive policy formulation within the institution to ensure the achievement of objectives	ADVANCED Able to link risk initiatives into key institutional objectives and drivers Identify, analyse and measure risk, create valid risk forecasts, and map risk profiles Apply risk control methodology and approaches to prevent and reduce risk that impede on the achievement of institutional objectives Demonstrate a thorough understanding of risk retention plans Identify and implement comprehensive risk management systems and processes Implement and monitor the formulation of policies, identify and analyse constraints and challenges with implementation and provide recommendations for improvement	SUPERIOR Demonstrate a high level of commitment in complying with governance requirements Implement governance and compliance strategy to ensure achievement of institutional objectives within the legislative framework Able to advise Local Government on risk management strategies, best practice interventions and compliance management Able to forge positive relationships on cooperative governance level to enhance the effectiveness of Llocal government Able to shape, direct and drive the formulation of policies on a macro level		



Cluster	Core Competencies			
Competency Name	Moral Competence			
Competency Definition	Able to identify moral triggers, apply reasoning that promotes honest and integrity and consistently display behaviour that reflects mora competence			
ACHIEVEMENT LEVELS				
BASIC	COMPETENT	ADVANCED	SUPERIOR	
 Realise the impact of acting with integrity, but requires guidance and development in implementing principles Follow the basic rules and regulations of the institution Able to identify basic moral situations, but requires guidance and development in understanding and reasoning with moral intent 	 Conduct self in alignment with the values of Local Government and the institution Able to openly admit own mistakes and weaknesses and seek assistance from others when unable to deliver Actively report fraudulent activity and corruption within local government Understand and honour the confidential nature of matters without seeking personal gain Able to deal with situations of conflict of interest promptly and in the best interest of local government 	 Identify, develop, and apply measures of self-correction Able to gain trust and respect through aligning actions with commitments Make proposals and recommendations that are transparent and gain the approval of relevant stakeholders Present values, beliefs and ideas that are congruent with the institution's rules and regulations Takes an active stance against corruption and dishonesty when noted Actively promote the value of the institution to internal and external stakeholders Able to work in unity with a team and not seek personal gain Apply universal moral principles consistently to achieve moral decisions 	Create an environment conducive of moral practices Actively develop and implement measures to combat fraud and corruption Set integrity standards and shared accountability measures across the institution to support the objectives of local government Take responsibility for own actions and decisions, even if the consequences are unfavourable	



Cluster	Core Competencies			
Competency Name	Planning and Organising			
Competency Definition BASIC	Able to plan, prioritise and organise information and resources effectively to ensure the quality of service delivery and build efficient contingency plans to manage risk ACHIEVEMENT LEVELS COMPETENT ADVANCED SUPERIOR			
 Able to follow basic plans and organise tasks around set objectives Understand the process of planning and organising but requires guidance and development in providing detailed and comprehensive plans Able to follow existing plans and ensure that objectives are met Focus on short-term objectives in developing plans and actions Arrange information and resources required for a task, but require further structure and organisation 	 Actively and appropriately organise information and resources required for a task Recognise the urgency and importance of tasks Balance short and long-term plans and goals and incorporate into the team's performance objectives Schedule tasks to ensure they are performed within budget and with efficient use of time and resources Measures progress and monitor performance results 	Able to define institutional objectives, develop comprehensive plans, integrate and coordinate activities, and assign appropriate resources for successful implementation Identify in advance required stages and actions to complete tasks and projects Schedule realistic timelines, objectives and milestones for tasks and projects Produce clear, detailed and comprehensive plans to achieve institutional objectives Identify possible risk factors and design and implement appropriate contingency plans Adapt plans in light of changing circumstances Prioritise tasks and projects according to their relevant urgency and importance	 Focus on broad strategies and initiatives when developing plans and actions Able to project and forecast short, medium and long term requirements of the institution and local government Translate policy into relevant projects to facilitate the achievement of institutional objectives 	

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Cluster	Core Competenci	es		
Competency Name Analysis and				
Competency Definition	establish and imp	itically analyse information, challenges and trends to and implement fact-based solutions that are innovative institutional processes in order to achieve key strategic		
	ACHIEVER	WENT LEVELS		
BASIC	COMPETENT	ADVANCED SUPERIOR		
Understand the basic operation of analysis, but lack detail and thoroughness Able to balance independent analysis with requesting assistance from others Recommend new ways to perform tasks within own function Propose simple remedial interventions that marginally challenges the status quo Listen to the ideas and perspectives of others and explore opportunities to enhance such innovative thinking	Demonstrate logical problem solving techniques and approaches and provide rationale for recommendations Demonstrate objectivity, insight, and thoroughness when analysing problems Able to break down complex problems into manageable parts and identify solutions Consult internal and external stakeholders on opportunities to improve processes and service delivery Clearly communicate the benefits of new opportunities and innovative solutions to stakeholders Continuously identify opportunities to enhance internal processes Identify and analyse opportunities conducive to innovative approaches and propose remedial intervention	Coaches team members on analytical and innovative approaches and techniques Engage with appropriate individuals in analysing and resolving complex problems Identify solutions on various areas in the institution Formulate and implement new ideas throughout the institution Able to gain approval and buy-in for proposed interventions from relevant stakeholders Identify trends and best practices in process and service delivery and propose institutional application Continuously engage in research to identify client needs	 Demonstrate complex analytical and problem solving approaches and techniques Create an environment conducive to analytical and fact-based problem-solving Analyse, recommend solutions and monitor trends in key challenges to prevent and manage occurrence Create an environment that fosters innovative thinking and follows a learning organisation approach Be a thought leader on innovative customer service delivery, and process optimisation Play an active role in sharing best practice solutions and engage in national and international local government seminars and conferences 	

Cluster	Core Competen	Core Competencies		
		Information Management		
Competency Definition Able to promote the generation and sharing of information through various processes and me enhance the collective knowledge base of local of ACHIEVEMENT LEVELS			ind media, in order to	
BASIC	COMPETENT	ADVANCED	SUPERIOR	
 Collect, categorise and track relevant information required for specific tasks and projects Analyse and interpret information to draw conclusions Seek new sources of information to increase the knowledge base Regularly share information and knowledge with internal stakeholders and team members 	Use appropriate information systems and technology to manage institutional knowledge and information sharing Evaluate data from various sources and use information effectively to influence decisions and provide solutions Actively create mechanisms and structures for sharing of information Use external and internal resources to research and provide relevant and cutting-edge knowledge to enhance institutional effectiveness and efficiency	 Effectively predict future information and knowledge management requirements and systems Develop standards and processes to meet future knowledge management needs Share and promote best-practice knowledge management across various institutions Establish accurate measures and monitoring systems for knowledge and information management Create a culture conducive of learning and knowledge sharing Hold regular knowledge and information sharing sessions to elicit new ideas and share best practice approaches 	Create and support a vision and culture where team members are empowered to seek, gain and share knowledge and information Establish partnerships across local government to facilitate knowledge management Demonstrate a mature approach to knowledge and information sharing with an abundance and assistance approach Recognise and exploit knowledge points in interactions with internal and external stakeholders	



Cluster Competency Name	Core Competencia	es		
Competency Name	Communication			
Competency Definition	Able to share information, knowledge and ideas in a cle focused and concise manner appropriate for the audience order to effectively convey, persuade and influence stakehold to achieve the desired outcome			
		MENT LEVELS		
BASIC	COMPETENT	ADVANCED	SUPERIOR	
Demonstrate an understanding for communication levers and tools appropriate for the audience, but requires guidance in utilising such tools Express ideas in a clear and focused manner, but does not always take the needs of the audience into consideration Disseminate and convey information and knowledge adequately	 Express ideas to individuals and groups in formal and informal settings in an manner that is interesting and motivating Able to understand, tolerate and appreciate diverse perspectives, attitudes and beliefs Adapt communication content and style to suit the audience and facilitate optimal information transfer Deliver content in a manner that gains support, commitment and agreement from relevant stakeholders Compile clear, focused, concise and well-structured written documents 	 Effectively communicate highrisk and sensitive matters to relevant stakeholders Develop a well-defined communication strategy Balance political perspectives with institutional needs when communicating viewpoints on complex issues Able to effectively direct negotiations around complex matters and arrive at a win-win situation that promotes Batho Pele principles Market and promote the institution to external stakeholders and seek to enhance a positive image of the institution Able to communicate with the media with high levels of moral competence and discipline 	Regarded as a specialist in negotiations and representing the institution Able to inspire and motivate others through positive communication that is impactful and relevant Creates an environment conducive to transparent and productive communication and critical and appreciative conversations Able to coordinate negotiations at different levels within local government and externally	

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Cluster	Core Competen	cios	·		
Competency Nam					
Competency rear		Results and Quality Focus Able to maintain high quality standards, focus on achieving			
Competency Defini	results and objectives while consistently striving to exceed expectations and encourage others to meet quality standards.				
	TAN BURKER	vely monitor and measu			
	against identifier		ire results and quality		
		MENT LEVELS			
BASIC	COMPETENT	ADVANCED	SUPERIOR		
Understand	Focus on high-	Consistently verify	Coach and		
quality of work	priority actions	own standards	quide others to		
but requires	and does not	and outcomes to	exceed quality		
guidance in	become	ensure quality	standards and		
attending to	distracted by	output	results		
important	lower-priority	Focus on the end	Develop		
matters	activities	result and avoids	challenging,		
 Show a basic 	 Display firm 	being distracted	client-focused		
commitment to	commitment	Demonstrate a	goals and sets		
achieving the	and pride in	determined and	high standards		
correct results	achieving the	committed	for personal		
 Produce the 	correct results	approach to	performance		
minimum level	 Set quality 	achieving results	 Commit to 		
of results	standards and	and quality	exceed the		
required in the	design	standards	results and		
role	processes and	 Follow task and 	quality standards,		
 Produce 	tasks around	projects through	monitor own		
outcomes that	achieving set	to completion	performance and		
is of a good	standards	 Set challenging 	implement		
standard	Produce output	goals and	remedial		
Focus on the	of high quality	objectives to self	interventions		
quantity of	 Able to balance 	and team and	when required		
output but	the quantity and	display	Work with team		
requires	quality of results	commitment to	to set ambitious		
development in	in order to	achieving	and challenging		
incorporating the quality of	achieve	expectations	team goals,		
work	objectives	Maintain a focus	communicating		
Produce quality	Monitors	on quality outputs	long- and short- term expectations		
work in general	progress, quality of work, and use	when placed	Section 1974 and control of the cont		
circumstances.	of resources;	under pressure	 Take appropriate risks to 		
but fails to meet	provide status	 Establishing institutional 	accomplish goals		
expectation	updates, and	systems for	Overcome		
when under	make		setbacks and		
pressure	adjustments as	managing and assigning work,	adjust action		
p. 0000.0	needed	defining	plans to realise		
		responsibilities.	goals		
		tracking,	Focus people on		
		monitoring and	critical activities		
		measuring	that yield a high		
		success,	impact		
		evaluating and	,		
		valuing the work		n	
		of the institution		16	
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