

PERFORMANCE AGREEMENT

MADE AND ENTERED into by and between

CAPE WINELANDS DISTRICT MUNICIPALITY

(hereinafter referred to as "the Employer) as represented by HF Prins in his capacity as Municipal Manager, duly authorized thereto in terms of section 57(2)(c) of the Local Government: Municipal Systems Act, 2000 (Act No. 32 of 2000);

AND

FIONA ABEGAIL DU RAAN-GROENEWALD

(herein after referred to as "the Employee") in her capacity as Chief Financial Officer.

M. Johns

PREAMBLE

WHEREAS the Employer has entered into a Contract of Employment with the Employee in terms of section 56(1)(a) of the Local Government: Municipal Systems Act, 2000 (Act No. 32 of 2000);

AND WHEREAS section 57(1)(b) of the Act, read with the Contract of Employment concluded between the Parties, requires them to conclude an annual Performance Agreement;

AND WHEREAS the Parties wish to ensure that there is compliance with sections 57(4)(a), 57(4)(c) and 57(5) of the Act, that they are clear about the goals to be achieved and secure the commitment of the Employee to a set of outcomes that will secure local government policy goals.

NOW THEREFORE THE PARTIES AGREE AS FOLLOWS:

1. DEFINITIONS

- 1.1 "the Act" shall mean the Local Government: Municipal Systems Act, 2000 (Act No. 32 of 2000);
- 1.2 "the Parties" shall mean the Employer and the Employee;
- 1.3 "KPA or KPA's" shall mean key performance area(s);
- 1.4 "KPI or KPI's" shall mean key performance indicator(s);
- 1.5 "competency framework" shall mean leading and core competencies as contained in regulation 3 of Annexure "A" of the Local Government: Regulations on Appointment and Conditions of Employment of Senior Managers;

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- 1.6 "PA" shall mean this Performance Agreement;
- 1.7 "PP" shall mean the Performance Plan attached as Annexure "A" to this Agreement, which shall be regarded as having been incorporated into the Agreement by reference;
- 1.8 "PDP" shall mean the Personal Development Plan attached as Annexure "B" to this Agreement, which shall be regarded as having been incorporated into the Agreement by reference; and
- 1.9 "Regulations" shall mean the Local Government: Municipal Systems Act, 2000 (Act No. 32 of 2000): Local Government: Regulations on Appointment and Conditions of Employment of Senior Managers, 2014.

2. PURPOSE OF AGREEMENT

The purpose of this Agreement is to -

- 2.1 comply with the provisions of section 57(1)(b), 57(4)(a), 57(4)(c), 57(4A) and 57(5) of the Act as well as the Contract of Employment entered into between the Parties;
- 2.2 specify objectives and targets defined and agreed with the Employee and to communicate to the Employee the Employer's expectations of the Employee's performance and accountabilities in alignment with the Integrated Development Plan, Service Delivery and Budget Implementation Plan and the Budget of the Municipality;
- 2.3 specify accountabilities as set out in the PP, which forms **Annexure "A"** to this Agreement;
- 2.4 monitor and measure performance against set targeted outputs;

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- use the Agreement as the basis for assessing whether the Employee has 2.5 met the performance expectations applicable to his job;
- in the event of outstanding performance, to appropriately reward the 2.6 Employee: and
- give effect to the Employer's commitment to a performance-orientated 2.7 relationship with its Employee in attaining equitable and improved service delivery.

COMMENCEMENT AND DURATION 3.

- This Agreement will commence on the 01 July 2022 and will remain in force 3.1 until 30 June 2023, where after a new PA, PP and PDP shall be concluded between the Parties for the next financial year or any portion thereof.
- The Parties shall review the provisions of this Agreement during June each 3.2 year.
- The Parties will conclude a new PA, PP and/or PDP that replaces this 3.3 Agreement at least once a year by not later than the beginning of each successive financial year.
- This Agreement will terminate on the termination of the Employee's Contract 3.4 of Employment for any reason.
- The content of this Agreement may be revised at any time during the period 3.5 specified in clause 3.1 above, to determine the applicability of the matters agreed upon.

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3.6 If at any time during the validity of this Agreement the work environment alters (whether as a result of Government or Council decisions or otherwise) to the extent that the contents of this Agreement are no longer appropriate, the contents shall immediately be revised.

4. PERFORMANCE OBJECTIVES

- 4.1 The PP sets out -
- 4.1.1 The performance objectives and targets that must be met by the Employee; and
- 4.1.2 The time frames within which those performance objectives and targets must be met.
- 4.2 The performance objectives and targets reflected in the PP are set by the Employer in consultation with the Employee and based on the Integrated Development Plan, Service Delivery and Budget Implementation Plan and the Budget of the Employer, and shall include key objectives, key performance indicators, target dates and weightings.
- 4.2.1 The key objectives describe the main tasks that need to be performed or executed.
- 4.2.2 The KPI's and means of verification provide the details of the evidence that must be provided to show that a key objective has been achieved.
- 4.2.3 The target dates describe the timeframe within which the work must be achieved.
- 4.2.4 The weightings show the relative importance of the key objectives to each other.

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4.3 The Employee's performance will, in addition, be measured in terms of contributions to the goals and strategies set out in the Employer's Integrated Development Plan.

5. PERFORMANCE MANAGEMENT SYSTEM

- 5.1 The Employee agrees to participate in the performance management system of the Municipality, management and staff of the Employer.
- 5.2 The Employee accepts that the purpose of the performance management system will be to provide a comprehensive system with specific performance standards to assist the Municipality, management and staff to perform to the standards required.
- 5.3 The Employer shall consult the Employee about the specific performance standards that will be included in the performance management system as applicable to the Employee.
- The Employee undertakes to actively focus towards the promotion and implementation of the KPA's (including special projects relevant to the Employee's responsibilities) within the local government framework.
- 5.5 The criteria upon which the performance of the Employee shall be assessed, shall consist of two components, both of which shall be contained in the Agreement.
- 5.5.1 The Employee must be assessed against both components, with a weighting of 80:20 allocated to the KPA's and the competency framework respectively.
- 5.5.2 Each area of assessment will be weighted and will contribute a specific part to the total score.

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- 5.5.3 KPA's covering the main areas of work will account for 80% (eighty percent) and competency framework will account for 20% (twenty percent) of the final assessment.
- The Employee's assessment will be based on his/her performance in terms of the outputs/outcomes (KPI's) identified in the Performance Plan, which are linked to the KPA's, and will constitute 80% (eighty percent) of the overall assessment result as per the weightings agreed to between the Employer and Employee:

Key Performance Areas	Weighting
Municipal Institutional Development and Transformation	17%
Basic Service Delivery	5%
Local Economic Development (LED) - Covered under Basic Services	0%
Municipal Financial Viability and Management	41%
Good Governance and Public Participation	17%
Total	80%

- 5.7 The KPA's related to the functional area of the Employee shall be subject to negotiation between the Municipal Manager and the Employee.
- 5.8 The competency framework will make up the other 20% (twenty percent) of the Employee's assessment score.
- 5.9 Compulsory competencies are listed as follows:

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COMPETENCIES

The competencies required from employees prescribed in the Regulations on the appointment and conditions of employment of senior managers, R21 of 2014. The assessment of these competencies will account for twenty percent of the total employee assessment of these competencies will account for twenty percent of the total employee assessment of these competencies will account for twenty percent of the total employee assessment of these competencies will account for twenty percent of the total employee.

Annexure C describes the different achievement levels for each Competency and should therefore form part of this section of the Performance Plan.

Company		Weight
	LEADING COMPETENCIES	
	Provide and direct a vision for the institution, and inspire and deploy others to deliver on the strategic institutional mandate. It includes:	· · ·
	Impact and influence	1.67
Strategic direction and leadership	Institutional performance management	
	Strategic planning and management	
	Organisational awareness	
	Effectively manage, inspire and encourage people, respect diversity, optimise talent and build and nurture relationships in order to achieve institutional objectives, it includes:	
	Human capital planning and development	1.87
People management	Diversity management	
	Employee relations management	
	Negotiation and dispute management	
	Able to understand program and project management methodology; plan, manage, monitor and evaluate specific activities in order to deliver on set objectives. It includes:	
Programme and project management	 Program and project planning and implementation 	1.67
	Service delivery management	
	Program and project monitoring and evaluation	
	Able to compile, plan and manage budgets, control cash flow, institute financial risk management and administer procurement processes in accordance with recognised financial practices. Further to ensure that all financial transactions are managed in an ethical manner. It includes:	, 1
Financial management	Budget planning and execution	2
	Financial strategy and delivery	
	Financial reporting and delivery	



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	LEADING COMPETENCIES (continue)	
	Able to direct and initiate transformation on all levels in order to successfully drive and implement new initiatives and deliver professional and quality services to the community. It includes:	
Change leadership	Change vision and strategy	1.67
	Process design and improvement	
	Change impact monitoring and evaluation	
	Able to promote, direct and apply professionalism in managing risk and compliance requirements and apply a thorough understanding of governance practices and obligations. Further, able to direct the conceptualisation of relevant policies and enhance cooperative governance relationships. It includes:	
Governance leadership	Policy formulation 1.67	1.67
	Risk and compliance management	
	Cooperative governance	
	CORE COMPETENCIES	
Moral competence	Able to identify moral triggers, apply reasoning that promotes honesty and integrity and display behaviour that reflects 1.67	1.67
Planning and organising	Able to plan, prioritise and organise information and resources effectively to ensure the quality of service delivery and build efficient contingency plans to manage risk.	1.67
Analysis and innovation	Able to critically analyse information, challenges and trends to establish and implement fact-based solutions that are innovative to improve institutional processes in order to achieve key strategic objectives.	1.67
Knowledge and information management	Able to promote the generation and sharing of knowledge and information through various processes and media, in order to enhance the collective knowledge base of local government	1.67
Communication	Able to share information, knowledge and ideas in a clear, focused and concise manner appropriate for the audience in order to effectively convey, persuade and influence stakeholders to achieve the desired outcome.	1.67
Results and quality focus	Able to maintain high quality standards, focus on achieving results and objectives while consistency striving to exceed expectations and encourage others to meet quality standards. Further, to actively monitor and measure results and quality against identified objectives.	1.67
	TOTAL 20	20

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6. EVALUATING PERFORMANCE

- 6.1 The PP attached as **Annexure "A"** to this Agreement, sets out –
- 6.1.1 The standards and procedures for evaluating the Employee's performance; and
- 6.1.2 The intervals for the evaluation of the Employee's performance.
- Despite the establishment of agreed intervals for evaluation, the Employer may in addition review the Employee's performance at any stage while the Contract of Employment remains in force.
- 6.3 Personal growth and development needs identified during any performance review discussion shall be documented in a PDP as well as the actions agreed to and implementation must take place within set time frames.
- The Employee's performance shall be measured in terms of contributions to the goals and strategies set out in the Employer's Integrated Development Plan.
- 6.5 The annual performance appraisal shall involve:

6.5.1 Assessment of the achievement of results as outlined in the PP

- (a) Each KPA shall be assessed according to the extent to which the specified standards or KPI's have been met and with due regard to *ad hoc* tasks that had to be performed under the KPA's.
- (b) An indicative rating on the 5 (five) point scale should be provided for each KPA.

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(c) The applicable assessment rating calculator (refer to clause 6.5.3 below) must be used to add the scores and calculate a final KPA score.

6.5.2 Assessment of the Competency Framework

- (a) Each competency should be assessed according to the extent to which the specified standards have been met.
- (b) An indicative rating on the 5 (five) point scale shall be provided for each competency.
- (c) This rating shall be multiplied by the weighting given to each competency during the contracting process to provide a score.
- (d) The applicable assessment rating calculator (refer to clause 6.5.1) shall be used to add the scores and calculate a final competency framework score.

6.5.3 Overall rating

An overall rating is calculated by using the applicable assessment-rating calculator, which shall represent the outcome of the performance appraisal.

(a) The assessment of the performance of the Employee will be based on the following rating scale for KPA's and the competency framework:

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		Description			atir	ng ·	Ja
Level	Terminology	Description	1	2	3	4	5
5	Outstanding performance	Performance far exceeds the standard expected of an employee at this level. The appraisal indicates that the Employee has achieved above fully effective results against all performance criteria and indicators as specified in the PA and PDP and maintained this in all areas of responsibility throughout the year.					
4	Performance significantly above expectations	Performance is significantly higher than the standard expected in the job. The appraisal indicates that the Employee has achieved above fully effective results against more that half of the performance criteria and indicators and fully achieved all others throughout the year.					
3	Fully effective	Performance fully meets the standards expected in all areas of the job. The appraisal indicates that the Employee has fully achieved effective results against all significant performance criteria and indicators as specified in the PA and PDP.					
2	Not fully effective	Performance is below the standard required for the job in key areas. Performance meets some of the standards expected for the job. The review/assessment indicates that the Employee has achieved below fully effective results against more than half the key performance criteria and indicators as specified in the PA and PDP.					
1	Unacceptable performance	Performance does not meet the standard expected for the job. The review/assessment indicates that the Employee has achieved below fully effective results against almost all of the performance criteria and indicators as specified in the PA and PDP. The Employee has failed to demonstrate the commitment or ability to bring performance up to the level expected in the job, despite management efforts to encourage improvements.					

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- (b) For purposes of evaluating the annual performance of the Employee, an evaluation panel constituted of the following persons must be established.
 - (i) Municipal Manager;
 - (ii) Chairperson of the Performance Audit Committee or the Chairperson or designated performance management specialist of the Audit Committee in the absence of a Performance Audit Committee;
 - (iii) Member of the Mayoral Committee;
 - (iv) Member of a ward committee as nominated by the Executive Mayor; and
 - (iv) Municipal Manager from another Municipality.
- (c) The manager responsible for human resources of the municipality must provide secretariat services to the evaluation panel referred to in subclause 6.5.3(b).

7. SCHEDULE FOR PERFORMANCE REVIEWS

7.1 The performance of the Employee in relation to his/her PA shall be reviewed on the following dates, with the understanding that the 1st (first) and 3rd (third) quarter review may be verbal if performance is satisfactory:

First Quarter: July – September Before end of October 2022

Second quarter: October - December Before end January 2023

Third quarter: January – March Before end April 2023

Fourth quarter: April – June Before end July 2023

7.2 The Employer shall keep a record of the mid-year review and annual assessment meetings.

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- 7.3 Performance feedback shall be based on the Employer's assessment of the Employee's performance.
- 7.4 The Employer shall be entitled to review and make reasonable changes to the provisions of the PP from time to time for operational reasons, subject thereto that the Employee shall be fully consulted before any such change is made.
- 7.5 The Employer may amend the provisions of the PP whenever a performance management system is adopted, implemented and/or amended by the Municipality, as the case may be, subject thereto that the Employee will be fully consulted before any such change is made.

8. DEVELOPMENTAL REQUIREMENTS

The Personal Development Plan for addressing developmental gaps, is attached as **Annexure "B"** and shall form part of this Agreement.

9. OBLIGATIONS OF THE EMPLOYER

- 9.1 The Employer shall -
- 9.1.1 create an enabling environment to facilitate effective performance by the Employee;
- 9.1.2 provide access to skills development and capacity building opportunities;
- 9.1.3 work collaboratively with the Employee to solve problems and generate solutions to common problems that may impact on the performance of the Employee;
- 9.1.4 on the request of the Employee, delegate such powers reasonably required by the Employee to enable him to meet the performance objectives and targets established in terms of this Agreement; and

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9.1.5 make available to the Employee such resources as the Employee may reasonably require from time to time to assist him/her to meet the performance objectives and targets established in terms of this Agreement.

10. CONSULTATION

- 10.1 The Employer agrees to consult the Employee timeously where the exercising of the powers will have, amongst others -
- 10.1.1 a direct effect on the performance of any of the Employee's functions;
- 10.1.2 commit the Employee to implement or to give effect to (a) decision(s) taken by the Employer; and
- 10.1.3 a substantial financial effect on the Employer.
- 10.2 The Employer agrees to inform the Employee of the outcome of any decisions taken pursuant to the exercise of powers contemplated in clause 10.1 as soon as is practicable to enable the Employee to take any necessary action without delay.

11. MANAGEMENT OF EVALUATION OUTCOMES

- 11.1 The evaluation of the Employee's performance shall form the basis for rewarding outstanding performance or correcting unacceptable performance.
- 11.2 A pro-rata performance bonus ranging from 5% to 9% based on a score of 130% to 149%; and a pro-rata performance bonus ranging from 10% to 14% based on a score of 150% and above, in terms of regulation 32(2) of the Local Government: Municipal Performance Regulations for Municipal Managers and Managers Directly Accountable to Municipal Managers, 2006 be paid to the Employee.

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- 11.3 The annual performance bonus contemplated in clause 11.2 shall be calculated on the Total Cost of Employment of the Employee of the last working day of the financial year on which the performance bonus is due.
- 11.4 In the case of unacceptable performance, the Employer shall provide systematic remedial or developmental support to assist the Employee to improve his performance; and
- 11.5 After appropriate performance counselling and having provided the necessary guidance and/or support as well as reasonable time for improvement in performance, and performance does not improve, the Employer may consider steps to terminate the contract of employment of the Employee on grounds of unfitness or incapacity to carry out his duties.

12. DISPUTE RESOLUTION

12.1 DISPUTES ON PERFORMANCE AGREEMENT

Any disputes about the nature of the Performance Agreement, whether it relates to key responsibilities, priorities, methods of assessment and/or any other matter provided for, shall be mediated by a member of the Municipal Council: Provided that such member was not part of the evaluation panel provided for in sub-regulation 27(4)(d) of the regulations, within 30 (thirty) days of receipt of a formal dispute from the Employee, whose decision shall be final and binding on both Parties.

12.2 DISPUTES ON OUTCOME OF PERFORMANCE EVALUATION

Any disputes about the outcome of the Employee's performance evaluation must be mediated by a member of the Municipal Council: Provided that such member was not part of the evaluation panel provided for in sub-regulation 27(4)(d), within 30 (thirty) days of receipt of a formal dispute from the Employee, whose decision shall be final and binding on both Parties.

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13. GENERAL

- 13.1 The contents of this Agreement shall be made available to the public by the Employer.
- 13.2 Nothing in this Agreement diminishes the obligations, duties or accountabilities of the Employee in terms of his Contract of Employment, or the effects of existing or new regulations, circulars, policies, directives or other instruments.
- 13.3 The performance assessment results of the Employee shall be submitted to the Minister responsible for local government in the Province of the Western Cape as well as the National Minister for local government within 14 (fourteen) days after conclusion of the assessment.

THUS DONE AND SIGNED AT STELLEN BOSCH... ON THIS THE ZIDAY OF JUNE 2022 IN THE PRESENCE OF THE UNDERSIGNED WITNESSES:

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THUS DONE AND SIGNED AT STELLENBOSCH. ON THIS THE 2 DAY OF 100 OF

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ANNEXURE A2022/2023 Key Performance Indicators

CHIEF FINANCIAL OFFICER - FA DU RAAN-GROENEWALD

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Annual	Target	%06	%06	%06	95%	%06	-	-
	40	90% Not Applicable (cumulative for all Qs)	90% (cumulative for all Qs)	90% (cumulative for all Qs)	95% (cumulative for all Qs)	90% (cumulative)	0	0
Targets	03	Not Applicable	80% 90% (cumulative for Not Applicable (cumulative for and Q2)	80% (cumulative for Not Applicable (cumulative for and Q2)	80% 90% 95% (cumulative for (cumulative for Q1 and Q2) Q1, Q2, Q3) all Qs)	75% (cumulative)	0	0
Te	Q2	80% (cumulative for Q1 and Q2)	40% (cumulative)	0	-			
	9	Not Applicable	Not Applicable	Not Applicable	Not Applicable	Not Applicable	-	0
Basalina	Daseille	%06	%06	%06	95%	%06	1	-
I hit of mose iromant		90% of the KPI's of the Sub- directorate have been met as calculated	90% of the KPI's of the Sub- directorate have been met as calculated	90% of the KPI's of the Sub- directorate have been met as calculated	% targets achieved	FSSS capital budget spent vs FSSS total capital budget for the financial year	Financial Statements submitted to the AG by 31 August	No significant findings specific to directorate raised by AG
Key Derformance Indicator (KBI)		Effective management and supervision of Budget & Treasury Office	Effective management and supervision of Administrative Support Services	Effective management and supervision of Human Resources	Achievement of organisational KPIs on SDBIP	The % of the FSSS department's capital budget spent by the end of the financial year	Compile and submit the annual financial statements to the Auditor-General by 31 August	Obtain a clean audit report from the Auditor-General
National KPA		Municipal Financial Viability and Management	Good Governance and Public Participation	Municipal Transformation and Institutional Development	Good Governance and Public Participation	Basic Service Delivery	Municipal Financial Viability and Management	Municipal Financial Viability and Management
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Annual	Target	-	2	%26	က	12	7			2
	\$	0	1	%56	ဗ	ဇ	4	0	0	1
Targets	Q 3	1	0	0	0	ъ	0	-	+	0
Ţ	07	0	-	0	0	3	-	0	0	-
	۵	0	0	0	0	3	0	0	0	0
	Dasellne	1	2	NEW KPI	8	NEW KPI	NEW KPI	NEW KP!	NEW KPI	NEW KPI
	Unit of measurement	Adjustment budget submitted to council	Report to Mayor twice annually	All levels of staff in FSSS have signed performance agreements	Number of HR policies, guidelines and instruction notes submitted to Senior Management Team for consideration	Number of Reports submitted to Council	Report to Senior Management Team twice annually	Draft Budget submitted to Budget Steering Committee	Draft Capital Budget submitted to Asset Adjudication Committee	Report on Financial Ratios submitted to Audit Committee
Vivolett - Y - St.	Ney Performance Indicator (NPI)	Submit the adjustments budget to council for approval by end February	Projected Three Year Forecast on employee cost	Implementation of new Municipal Staff Regulations to all levels of staff by financial year-end	Submit HR policies, guidelines and instruction notes to Senior Management Team for consideration	Submit Progress Reports on Minimum Competency Levels	Submit report on the functioning of the Employment Equity Committee to Senior management Team	Submit Draft Budget to the Budget Steering Committee	Submit Draft Capital Budget to Asset Adjudication Committee	Submit Reports on Financial Ratios to Audit Committee
	National KPA	Municipal Financial Viability and Management	Municipal Financial Viability and Management	Good Governance and Public Participation	Municipal Transformation and Institutional Development	Municipal Transformation and Institutional Development	Municipal Transformation and Institutional Development	Municipal Financial Viability and Management	Municipal Financial Vlability and Management	Municipal Financial Viability and Management
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ż	National KPA	Key Performance Indicator (KPI)	Hait of measurement	Racelino		Ta	Targets			100
					۵ı	02	63	04	Target	weignt (%)
17	Municipal Financial Viability and Management	Submit Reports on Market Value Movements in Investment Portfolio to National Treasury	Number of Reports submitted to National Treasury	NEW KPI	e	င	က	r	12	rs
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ANNEXURE B

	1	PERSONAL DEVELOP	PMENT PLAN 2022/2023: FA DURAAN - GROENEWALD	2023: FA DUR!	VAN - GROENE	WALD		
			Chief Financial Officer	I Officer				
Date of Entry	Skill required / Outcomes Exp performance gap will I achieve	Outcomes Expected (what will I achieve)	Suggested Training and / or activity and mode of delivery	Suggested Time Frames/ completion date	Work Opportunity Created to Practice Skill / Development Area	Support	Signature of Employee	Signature of Comments at Employee next Review
01 July 2022	Continuous Professional Development	Keep abreast of technology, best practice, etc.	Attendance of relevant congresses, seminars, forums, 30 June 2023 etc.	30 June 2023	Technical knowledge	Self	TO THE PARTY OF TH	3

"Annexure C"

Competency Framework

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Competency Descriptions

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Cluster	Leading Competer	ncies	
Competency N	arne People Manageme		
Competency Def	Effectively manage inition optimise talent and institutional objecti	e, inspire and encourage peop I build and nurture relationship ves	ole, respect diversity, os in order to achieve
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BASIC	COMPETENT	ADVANCED	SUPERIOR
Participate in team goal-setting and problem solving Interact and collaborate with people of diverse backgrounds Aware of guidelines for employee development, but requires support in implementing development initiatives	Seek opportunities to increase team contribution and responsibility Respect and support the diverse nature of others and be aware of the benefits of a diverse approach Effectively delegate tasks and empower others to increase contribution and execute functions optimally Apply relevant employee legislation fairly and consistently Facilitate team goal-setting and problem-solving Effectively identify capacity requirements to fulfill the strategic mandate	and work processes and recommend remedial interventions Recognise and reward effective and desired behaviour Provide mentoring and guidance to others in order to increase personal effectiveness Identify development and learning needs within the team Build a work environment conducive to shering, innovation, ethical behaviour and professionalism Inspire a culture of performance excellence by giving positive and constructive feedback to	Develop and incorporate best practice people management processes, approaches and tools across the institution Foster a culture of discipline, responsibility and accountability Understand the impact of diversity in performance and actively in performance and actively incorporate a diversity strategy in the institution Develop comprehensive integrated strategles and approaches to human capital development and management Actively identify trends and predict capacity requirements to facilitate unified transition and performance management

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Cluster	Leading Competencie	\$				
Competency Name	Program and Project I					
	Able to understand pr	ogram and project manag	ement methodology;			
Competency Definition	plan, manage, monitor and evaluate specific activities in order to					
	deliver on set objective					
	ACHIEVEME					
BASIC	COMPETENT	ADVANCED	SUPERIOR			
 Initiate projects 	 Establish broad 	 Manage multiple 	 Understand and 			
after approval from	stakeholder	programs and	conceptualise			
higher authorities	involvement and	balance priorities	the long-term			
Understand	communicate the	and conflicts	implications of			
procedures of program and	project status and kev milestones	according to institutional goals	desired project outcomes			
program and project	Define the roles	Apply effective risk				
management	and responsibilities	management	 Direct a comprehensive 			
methodology,	of the project team	strategies through	strategic macro			
implications and	and create clarity	impact assessment	and micro			
stakeholder	around	and resource	analysis and			
involvement	expectations	requirements	scope projects			
 Understand the 	• Find a balance	 Modify project scope 	accordingly to			
rational of projects	between project	and budget when	realise			
in relation to the	deadline and the	required without	institutional			
institution's	quality of	compromising the	objectives			
strategic objectives	deliverables	quality and				
Document and	 Identify appropriate 	objectives of the	initiate projects			
communicate	project resources to	project	that focus on			
factors and risk associated with	facilitate the effective	 Involve top-level authorities and 	achievement of the tong-term			
associated with	completion of the	authorities and	the long-term objectives			
Use results and	deliverables	stakeholders in	influence people			
approaches of	• Comply with	seeking project buy-	in positions of			
successful project	statutory	in	authority to			
implementation as	requirements and	Identify and apply	implement			
quide	apply policies in a	contemporary	outcomes of			
	consistent manner	project management	projects			
1	 Monitor progress 	methodology	 Lead and direct 			
	and use of	• Influence and	translation of			
1	resources and	motivate project	policy into			
1	make needed	team to deliver	workable			
	adjustments to	exceptional results	actions plans			
	timelines, steps,	Monitor policy				
ļ	and resource allocation	implementation and apply procedures to	programs are monitored to			
	anucanii	manage risks	track progress			
		manaye naka	and optimal			
			resource			
			utilisation, and			
			that adjustments			
			are made as			
		ļ	needed			

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Cluster	Leading Competencies			
Competency Name	Financial Management			
Competency Definitio	Able to compile, plan and manage budgets, control cash flow, institute financial risk management and administer procurement processes in accordance with recognised financial practices. Further to ensure that all financial transactions are managed in an ethical manner			
	ACHIEVEME			
BASIC	COMPETENT	ADVANCED	SUPERIOR	
Understand basic financial concepts and methods as they relate to institutional processes and activities Display awareness into the various sources of financial data, reporting mechanisms, financial governance, processes and systems Understand the importance of financial accountability Understand the importance of asset control	 Exhibit knowledge of general financial concepts, planning, budgeting, and forecasting and how they interrelate Assess, identify and manage financial risks Assume a costsaving approach to financial management Prepare financial reports based on specified formats Consider and understand the financial implications of decisions and suggestions Ensure that delegation and instructions as required by National Treasury guidelines are reviewed and updated Identify and implement proper monitoring and evaluation practices to ensure appropriate spending against budget 	Take active ownership of planning, budgeting, and forecast processes and provides credible answers to queries within own responsibility Prepare budgets that are aligned to the strategic objectives of the institution Address complex budgeting and financial management concerns Put systems and processes in place to enhance the quality and integrity of financial management practices Advise on policies and procedures regarding asset control Promote National Treasury's regulatory framework for Financial Management	Develop planning tools to assist in evaluating and monitoring future expenditure trends Set budget frameworks for the institution Set strategic direction for the institution on expenditure and other financial processes Build and nurture partnerships to improve financial management and achieve financial savings Actively identify and implement new methods to improve asset control Display professionalism in dealing with financial data and processes	

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Clust	ÐГ	Leading Competencies			_	
Competenc		Change Leadership				
		Able to direct and initiate institutional transformation on all levels in				
Competency		order to successfully				
	deliver professional and quality services to the community					
		ACHIEVEMENT			_	
BASIC		COMPETENT		ADVANCED		SUPERIOR
Display an	•	Perform an analysis	•	Actively monitor	•	Sponsor
awareness of	of change	of the change impact		change impact and		change
interventions	s, and 🐪	on the social,		results and convey		agents and
the benefits	of	political and		progress to relevant		create a
transformation	on	economic	1	stakeholders		network of
initiatives		environment	•	Secure buy-in and		change
 Able to ident 			1	sponsorship for		leaders who
needs for ch	- 1	focus during change		change initiatives		support the
 Identify gaps 			•	Continuously		interventions
between the		members during		evaluate change	•	Actively
and desired		change and keep	l	strategy and design		adapt current
 Identify pote 		them focused on the		and introduce new		structures and
and challeng		deliverables		approaches to	ŀ	
transformatic		* ***********		enhance the institution's		processes to incorporate
including res		change efforts outside of own work	}	effectiveness		the change
to change fa Participate is		team		Build and nurture		interventions
programs ar		to the second se		relationships with		Mentor and
piloting char	1	and approval for	1	various stakeholders		guide team
interventions	-	change from		to establish strategic		members on
 Understand 	-	relevant	ł	alliance in facilitating		the effects of
impact of ch		stakeholders		change	1	change,
interventions		Identify change	•	Take the lead in		resistance
institution wi		readiness levels and		impactful change		factors and
broader sco	pe of	assist in resolving	1	programs		how to
Liocal Ggov	ernment.	resistance to change	•	Benchmark change		integrate
1		factors	1	interventions against		change
	•	Design change	1	best change	•	Motivate and
	- 1	interventions that		practices		inspire others
		are aligned with the	•	Understand the	1	around
	1	institution's strategic	[impact and	1	change initiatives
<u> </u>	ŀ	objectives and goals	l	psychology of		HIIDSUV\$
	1		1	change, and put		
1	Ĭ		[remedial interventions in		
1	ŀ		1	place to facilitate		
	1		l	effective		
	- 1		1	transformation		
	1			Take calculated risk		
	- 1		٦	and seek new ideas		
1			1	from best practice	}	
]			scenarios, and		
	1		1	identify the potential		
1	1		1	for implementation		
L			<u> </u>		<u> </u>	

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Respond

Cluster	Leading Compe	tencies	<u> </u>	
Competency Nam		Governance Leadership		
Able to promote, direct and apply professionalism in managing and compliance requirements and apply a thorough understand of governance practices and obligations. Further, able to direct conceptualisation of relevant policies and enhance cooperate governance relationships				
		MENT LEVELS	-	
BASIC	COMPETENT	ADVANCED	SUPERIOR	
Display a basic awareness of risk, compliance and governance factors but require guidance and development in implementing such requirements Understand the structure of cooperative government but requires guidance on fostering workable relationships between stakeholders Provide input into policy formulation	Display a thorough understanding of governance and risk and compliance factors and implement plans to address these Demonstrate understanding of the techniques and processes for optimising risk taking decisions within the institution Actively drive policy formulation within the institution to ensure the achievement of objectives	Able to link risk initiatives into key institutional objectives and drivers Identify, analyse and measure risk, create valid risk forecasts, and map risk profiles Apply risk control methodology and approaches to prevent and reduce risk that impede on the achievement of institutional objectives Demonstrate a thorough understanding of risk retention plans Identify and implement comprehensive risk management systems and processes Implement and monitor the formulation of policies, identify and analyse constraints and challenges with implementation and provide recommendations for improvement	Demonstrate a high level of commitment in complying with governance requirements Implement governance and compliance strategy to ensure achievement of institutional objectives within the legislative framework Able to advise Local Government on risk management strategies, best practice interventions and compliance management Able to forge positive relationships on cooperative governance level to enhance the effectiveness of Llocal government	

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Cluster	Core Competencies				
Competency Name	Moral Competence				
Competency Definition	and integrity and co	I triggers, apply reasoning the onsistently display behaviour			
	ACHIEVE	MENT LEVELS			
BASIC	COMPETENT	ADVANCED	SUPERIOR		
Realise the impact of acting with integrity, but requires guidance and development in implementing principles Follow the basic rules and regulations of the institution Able to identify basic moral situations, but requires guidance and development in understanding and reasoning with moral intent	Conduct self in alignment with the values of Local Government and the institution Able to openly admit own mistakes and weaknesses and seek assistance from others when unable to deliver Actively report fraudulent activity and corruption within local government Understand and honour the confidential nature of matters without seeking personal gain Able to deal with situations of conflict of interest promptly and in the best interest of local government	 Identify, develop, and apply measures of self-correction Able to gain trust and respect through aligning actions with commitments Make proposals and recommendations that are transparent and gain the approval of relevant stakeholders Present values, beliefs and ideas that are congruent with the institution's rules and regulations Takes an active stance against corruption and dishonesty when noted Actively promote the value of the institution to internal and external stakeholders Able to work in unity with a team and not seek personal gain Apply universal moral principles consistently to achieve moral decisions 	Create an environment conducive of moral practices Actively develop and implement measures to combat fraud and corruption Set integrity standards and shared accountability measures across the institution to support the objectives of local government Take responsibility for own actions and decisions, even if the consequences are unfavourable		



Cluster	Core Competencies	·			
Competency Name	Planning and Organising				
Competency Definition	Able to plan, prioritise and organise information and resources effectively to ensure the quality of service delivery and build efficient contingency				
plans to manage risk ACHIEVEMENT LEVELS					
BASIC	COMPETENT	ADVANCED	,		
			SUPERIOR		
Able to follow basic plans and organise tasks around set objectives Understand the process of planning and organising but requires guidance and development in providing detailed and comprehensive plans Able to follow existing plans and ensure that objectives are met Focus on short-term objectives in developing plans and actions Arrange information and resources required for a task, but require further structure and organisation	Actively and appropriately organise information and resources required for a task Recognise the urgency and importance of tasks Baiance short and long-term plans and goals and incorporate into the team's performance objectives Schedule tasks to ensure they are performed within budget and with efficient use of time and resources Measures progress and monitor performance results	Able to define institutional objectives, develop comprehensive plans, integrate and coordinate activities, and assign appropriate resources for successful implementation Identify in advance required stages and actions to complete tasks and projects Cohedule realistic timelines, objectives and milestones for tasks and projects Produce clear, detailed and comprehensive plans to achieve institutional objectives identify possible risk factors and design and implement appropriate contingency plans Adapt plans in light of changing circumstances Prioritise tasks and projects according to their relevant	Focus on broad strategies and initiatives when developing plans and actions Able to project and forecast short, medium and long term requirements of the institution and local government Translate policy into relevant projects to facilitate the achievement of institutional objectives		

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Cluster Core Competencies					
Competency Name Analysis and Innov					
Competency establish and implement to improve institution objectives		analyse information, challenges and trends to lement fact-based solutions that are innovative onal processes in order to achieve key strategic			
		MENT LEVELS			
BASIC	COMPETENT	ADVANCED			
Understand the basic operation of analysis, but lack detail and thoroughness Able to balance independent analysis with requesting assistance from others Recommend new ways to perform tasks within own function Propose simple remedial interventions that marginally challenges the status quo Listen to the ideas and perspectives of others and explore opportunities to enhance such innovative thinking	Demonstrate logical problem solving techniques and approaches and provide rationale for recommendations Demonstrate objectivity, insight, and thoroughness when analysing problems Able to break down complex problems into manageable parts and identify solutions Consult internal and external stakeholders on opportunities to improve processes and service delivery Clearly communicate the benefits of new opportunities and innovative solutions to stakeholders Continuously identify opportunities to enhance internal processes Identify and analyse opportunities conducive to innovative approaches and propose remedial intervention	Coaches team members on analytical and innovative approaches and techniques Engage with appropriate individuals in analysing and resolving complex problems Identify solutions on various areas in the institution Formulate and implement new ideas throughout the institution Able to gain approval and buyin for proposed interventions from relevant stakeholders Identify trends and best practices in process and service delivery and propose institutional application Continuously engage in research to identify client needs	Demonstrate complex analytical and problem solving approaches and techniques Create an environment conducive to analytical and fact-based problem-solving Analyse, recommend solutions and monitor trends in key challenges to prevent and manage occurrence Create an environment that fosters innovative thinking and follows a learning organisation approach Be a thought leader on innovative customer service delivery, and process optimisation Play an active role in sharing best practice solutions and engage in national and international local government seminars and conferences		

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<u> </u>	1 Core Commeteral				
Cluster	Core Competencies Communication				
Competency Name			4 14		
	Able to share information, knowledge and ideas in a clear, focused and concise manner appropriate for the audience in				
Competency Definition					
Demnison		y convey, persuade and in	nuence stakenoloers		
<u> </u>	to achieve the det	AENT LEVELS			
BASIC	COMPETENT	ADVANCED	SUPERIOR		
Demonstrate an		Effectively	Regarded as a		
understanding	Express ideas to individuals	communicate high-	specialist in		
for	and groups in	risk and sensitive	negotiations		
communication	formal and	matters to relevant	and		
levers and tools	informal	stakeholders	representing the		
appropriate for	settings in an	Develop a well-	institution		
the audience.	manner that is	defined	Able to inspire		
but requires	interesting and	communication	and motivate		
quidance in	motivating	strategy	others through		
utilising such	Able to	Balance political	positive		
tools	understand.	perspectives with	communication		
Express ideas in	tolerate and	institutional needs	that is impactful		
a clear and	appreciate	when communicating	and relevant		
focused manner.	diverse	viewpoints on	 Creates an 		
but does not	perspectives,	complex issues	environment		
always take the	attitudes and	Able to effectively	conducive to		
needs of the	beliefs	direct negotiations	transparent and		
audience into	 Adapt 	around complex	productive		
consideration	communication	matters and arrive at	communication		
 Disseminate and 	content and	a win-win situation	and critical and		
convey	style to suit the	that promotes Batho	appreciative		
information and	audience and	Pela principles	conversations		
knowledge	facilitate	 Market and promote 	Able to		
adequately	optimal	the institution to	coordinate		
	information	external stakeholders	negotiations at		
	transfer	and seek to enhance	different levels		
1	Deliver content	a positive image of	within local		
	in a manner	the institution	government and		
	that gains	Able to communicate	externally		
	support,	with the media with			
	commitment	high levels of moral			
	and agreement	competence and			
	from relevant	discipline			
	stakeholders				
	 Compile clear, 				
	focused,				
	concise and				
1	well-structured				
	written	1			
	documents	†	1		
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L			.]		



Cluster	Core Competent	Core Competencies		
Competency Nam		Results and Quality Focus		
Competency Definiti	Able to maintai results and obj ion expectations an Further, to acth against identified	Able to maintain high quality standards, focus on achieving results and objectives while consistently striving to exceed expectations and encourage others to meet quality standards. Further, to actively monitor and measure results and quality against identified objectives		
	ACHIEVEN	IENT LEVELS		
	COMPETENT	ADVANCED		
BASIC Understand quality of work but requires guidance in attending to importent matters Show a basic commitment to achieving the correct results Produce the minimum level of results required in the role Produce outcomes that is of a good standard Focus on the quantity of output but requires development in incorporating the quality work Produce quality work in general circumstances,			Coach and guide others to exceed quality standards and results Develop challenging, client-focused goals and sets high standards for personal performance Commit to exceed the results and quality standards, monitor own performance and implement remedial interventions when required Work with team to set ambitious and challenging team goals, communicating long-and short-term expectations Take appropriate risks to	
but fails to meet expectation when under pressure	provide status updates, and make adjustments as needed	institutional systems for managing and assigning work, defining responsibilities, tracking, monitoring and measuring success, evaluating and valuing the work of the institution	accomplish goals Overcome setbacks and adjust action plans to realise goals Focus people on critical activities that yield a high impact	

N.S. CLJ & B