

#### PERFORMANCE AGREEMENT

MADE AND ENTERED into by and between

#### CAPE WINELANDS DISTRICT MUNICIPALITY

(hereinafter referred to as "the Employer) as represented by **HF Prins** in his capacity as Municipal Manager, duly authorized thereto in terms of section 57(2)(c) of the Local Government: Municipal Systems Act, 2000 (Act No. 32 of 2000);

#### AND

#### FIONA ABEGAIL DU RAAN-GROENEWALD

· (herein after referred to as "the Employee") in her capacity as Chief Financial Officer.

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#### **PREAMBLE**

WHEREAS the Employer has entered into a Contract of Employment with the Employee in terms of section 56(1)(a) of the Local Government: Municipal Systems Act, 2000 (Act No. 32 of 2000);

AND WHEREAS section 57(1)(b) of the Act, read with the Contract of Employment concluded between the Parties, requires them to conclude an annual Performance Agreement;

AND WHEREAS the Parties wish to ensure that there is compliance with sections 57(4)(a), 57(4)(c) and 57(5) of the Act, that they are clear about the goals to be achieved and secure the commitment of the Employee to a set of outcomes that will secure local government policy goals.

#### NOW THEREFORE THE PARTIES AGREE AS FOLLOWS:

#### 1. **DEFINITIONS**

- 1.1 "the Act" shall mean the Local Government: Municipal Systems Act, 2000 (Act No. 32 of 2000);
- 1.2 "the Parties" shall mean the Employer and the Employee;
- 1.3 "KPA or KPA's" shall mean key performance area(s);
- 1.4 "KPI or KPI's" shall mean key performance indicator(s);
- 1.5 "competency framework" shall mean leading and core competencies as contained in regulation 3 of Annexure "A" of the Local Government: Regulations on Appointment and Conditions of Employment of Senior Managers;

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- 1.6 "PA" shall mean this Performance Agreement;
- 1.7 "PP" shall mean the Performance Plan attached as Annexure "A" to this Agreement, which shall be regarded as having been incorporated into the Agreement by reference;
- 1.8 "PDP" shall mean the Personal Development Plan attached as Annexure "B" to this Agreement, which shall be regarded as having been incorporated into the Agreement by reference; and
- "Regulations" shall mean the Local Government: Municipal Systems Act, 2000 (Act No. 32 of 2000): Local Government: Regulations on Appointment and Conditions of Employment of Senior Managers, 2014.

#### 2. PURPOSE OF AGREEMENT

The purpose of this Agreement is to -

- 2.1 comply with the provisions of section 57(1)(b), 57(4)(a), 57(4)(c), 57(4A) and 57(5) of the Act as well as the Contract of Employment entered into between the Parties;
- 2.2 specify objectives and targets defined and agreed with the Employee and to communicate to the Employee the Employer's expectations of the Employee's performance and accountabilities in alignment with the Integrated Development Plan, Service Delivery and Budget Implementation Plan and the Budget of the Municipality;
- 2.3 specify accountabilities as set out in the PP, which forms **Annexure "A"** to this Agreement;
- 2.4 monitor and measure performance against set targeted outputs;

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- 2.5 use the Agreement as the basis for assessing whether the Employee has met the performance expectations applicable to his job;
- 2.6 in the event of outstanding performance, to appropriately reward the Employee; and
- 2.7 give effect to the Employer's commitment to a performance-orientated relationship with its Employee in attaining equitable and improved service delivery.

#### 3. COMMENCEMENT AND DURATION

- 3.1 This Agreement will commence on the **01 July 2023** and will remain in force until **30 June 2024**, where after a new PA, PP and PDP shall be concluded between the Parties for the next financial year or any portion thereof.
- 3.2 The Parties shall review the provisions of this Agreement during June each year.
- 3.3 The Parties will conclude a new PA, PP and/or PDP that replaces this Agreement at least once a year by not later than the beginning of each successive financial year.
- 3.4 This Agreement will terminate on the termination of the Employee's Contract of Employment for any reason.
- 3.5 The content of this Agreement may be revised at any time during the period specified in clause 3.1 above, to determine the applicability of the matters agreed upon.

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3.6 If at any time during the validity of this Agreement the work environment alters (whether as a result of Government or Council decisions or otherwise) to the extent that the contents of this Agreement are no longer appropriate, the contents shall immediately be revised.

#### 4. PERFORMANCE OBJECTIVES

- 4.1 The PP sets out -
- 4.1.1 The performance objectives and targets that must be met by the Employee; and
- 4.1.2 The time frames within which those performance objectives and targets must be met.
- 4.2 The performance objectives and targets reflected in the PP are set by the Employer in consultation with the Employee and based on the Integrated Development Plan, Service Delivery and Budget Implementation Plan and the Budget of the Employer, and shall include key objectives, key performance indicators, target dates and weightings.
- 4.2.1 The key objectives describe the main tasks that need to be performed or executed.
- 4.2.2 The KPI's and means of verification provide the details of the evidence that must be provided to show that a key objective has been achieved.
- 4.2.3 The target dates describe the timeframe within which the work must be achieved.

4.2.4 The weightings show the relative importance of the key objectives to each other.

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The Employee's performance will, in addition, be measured in terms of contributions to the goals and strategies set out in the Employer's Integrated Development Plan.

#### 5. PERFORMANCE MANAGEMENT SYSTEM

- 5.1 The Employee agrees to participate in the performance management system of the Municipality, management and staff of the Employer.
- The Employee accepts that the purpose of the performance management system will be to provide a comprehensive system with specific performance standards to assist the Municipality, management and staff to perform to the standards required.
- 5.3 The Employer shall consult the Employee about the specific performance standards that will be included in the performance management system as applicable to the Employee.
- The Employee undertakes to actively focus towards the promotion and implementation of the KPA's (including special projects relevant to the Employee's responsibilities) within the local government framework.
- 5.5 The criteria upon which the performance of the Employee shall be assessed, shall consist of two components, both of which shall be contained in the Agreement.
- 5.5.1 The Employee must be assessed against both components, with a weighting of 80:20 allocated to the KPA's and the competency framework respectively.
- 5.5.2 Each area of assessment will be weighted and will contribute a specific part to the total score.

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- 5.5.3 KPA's covering the main areas of work will account for 80% (eighty percent) and competency framework will account for 20% (twenty percent) of the final assessment.
- The Employee's assessment will be based on his/her performance in terms of the outputs/outcomes (KPI's) identified in the Performance Plan, which are linked to the KPA's, and will constitute 80% (eighty percent) of the overall assessment result as per the weightings agreed to between the Employer and Employee:

Key Performance Areas	Weighting
Municipal Institutional Development and Transformation	6%
Basic Service Delivery	4%
Local Economic Development (LED)	0%
Municipal Financial Viability and Management	51%
Good Governance and Public Participation	19%
Total	80%

- 5.7 The KPA's related to the functional area of the Employee shall be subject to negotiation between the Municipal Manager and the Employee.
- 5.8 The competency framework will make up the other 20% (twenty percent) of the Employee's assessment score.
- 5.9 Compulsory competencies are listed as follows:

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## COMPETENCIES

The competencies required from employees prescribed in the Regulations on the appointment and conditions of employment of senior managers, R21 of 2014. The assessment of these competencies will account for twenty percent of the total employee assessment score.

Annexure C describes the different achievement levels for each Competency and should therefore form part of this section of the Performance Plan.

Competency	Definition	Weight
	LEADING COMPETENCIES	
	Provide and direct a vision for the institution, and inspire and deploy others to deliver on the strategic institutional mandate. It includes:  • Impact and influence	!
Strategic direction and leadership	<ul> <li>Institutional performance management</li> <li>Strategic planning and management</li> <li>Organisational awareness</li> </ul>	1.67
People management	Effectively manage, inspire and encourage people, respect diversity, optimise talent and build and nurture relationships in order to achieve institutional objectives. It includes:  • Human capital planning and development  • Diversity management	1.67
	<ul> <li>Employee relations management</li> <li>Negotiation and dispute management</li> </ul>	
Programme and project management	Able to understand program and project management methodology; plan, manage, monitor and evaluate specific activities in order to deliver on set objectives. It includes:  • Program and project planning and implementation	1.67
	<ul> <li>Service delivery management</li> <li>Program and project monitoring and evaluation</li> </ul>	
	Able to compile, plan and manage budgets, control cash flow, institute financial risk management and administer procurement processes in accordance with recognised financial practices. Further to ensure that all financial transactions are managed in an ethical manner. It includes:	
Financial management	<ul> <li>Budget planning and execution</li> <li>Financial strategy and delivery</li> <li>Financial reporting and delivery</li> </ul>	1.67



Compelency	Definition	Marine
	LEADING COMPETENCIES (continue)	all the same
Change leadership	Able to direct and initiate transformation on all levels in order to successfully drive and implement new initiatives and deliver professional and quality services to the community. It includes:  • Change vision and strategy  • Process design and improvement	1.67
	Change Impact monitoring and evaluation	
Governance leadership	Able to promote, direct and apply professionalism in managing risk and compliance requirements and apply a thorough understanding of governance practices and obligations. Further, able to direct the conceptualisation of relevant policies and enhance cooperative governance relationships. It includes:  • Policy formulation	1.67
	Risk and compliance management     Cooperative governance	
	CORE COMPETENCIES	
Moral competence	Able to identify moral triggers, apply reasoning that promotes honesty and integrity and display behaviour that reflects moral competence.	1.67
Planning and organising	Able to plan, prioritise and organise information and resources effectively to ensure the quality of service delivery and build efficient contingency plans to manage risk.	1.67
Analysis and innovation	Able to critically analyse information, challenges and trends to establish and implement fact-based solutions that are innovative to improve institutional processes in order to achieve key strategic objectives.	1.67
Knowledge and Information management	Able to promote the generation and sharing of knowledge and information through various processes and media, in order to enhance the collective knowledge base of local government	1.67
Communication	Able to share information, knowledge and ideas in a clear, focused and concise manner appropriate for the audience in order to effectively convey, persuade and influence stakeholders to achieve the desired outcome.	1.67
Results and quality focus	Able to maintain high quality standards, focus on achieving results and objectives while consistency striving to exceed expectations and encourage others to meet quality standards. Further, to actively monitor and measure results and quality against identified objectives.	1.67
	TOTAL	20

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#### 6. EVALUATING PERFORMANCE

- 6.1 The PP attached as Annexure "A" to this Agreement, sets out -
- 6.1.1 The standards and procedures for evaluating the Employee's performance; and
- 6.1.2 The intervals for the evaluation of the Employee's performance.
- Despite the establishment of agreed intervals for evaluation, the Employer may in addition review the Employee's performance at any stage while the Contract of Employment remains in force.
- 6.3 Personal growth and development needs identified during any performance review discussion shall be documented in a PDP as well as the actions agreed to and implementation must take place within set time frames.
- 6.4 The Employee's performance shall be measured in terms of contributions to the goals and strategies set out in the Employer's Integrated Development Plan.
- 6.5 The annual performance appraisal shall involve:

#### 6.5.1 Assessment of the achievement of results as outlined in the PP

- (a) Each KPA shall be assessed according to the extent to which the specified standards or KPI's have been met and with due regard to *ad hoc* tasks that had to be performed under the KPA's.
- (b) An indicative rating on the 5 (five) point scale should be provided for each KPA.

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(c) The applicable assessment rating calculator (refer to clause 6.5.3 below) must be used to add the scores and calculate a final KPA score.

#### 6.5.2 Assessment of the Competency Framework

- (a) Each competency should be assessed according to the extent to which the specified standards have been met.
- (b) An indicative rating on the 5 (five) point scale shall be provided for each competency.
- (c) This rating shall be multiplied by the weighting given to each competency during the contracting process to provide a score.
- (d) The applicable assessment rating calculator (refer to clause 6.5.1) shall be used to add the scores and calculate a final competency framework score.

#### 6.5.3 Overall rating

An overall rating is calculated by using the applicable assessment-rating calculator, which shall represent the outcome of the performance appraisal.

(a) The assessment of the performance of the Employee will be based on the following rating scale for KPA's and the competency framework:

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Level	Terminology	Description	1	2	3	4	5
5	Outstanding performance	Performance far exceeds the standard expected of an employee at this level. The appraisal indicates that the Employee has achieved above fully effective results against all performance criteria and indicators as specified in the PA and PDP and maintained this in all areas of responsibility throughout the year.					
4	Performance significantly above expectations	Performance is significantly higher than the standard expected in the job. The appraisal indicates that the Employee has achieved above fully effective results against more that half of the performance criteria and indicators and fully achieved all others throughout the year.					
3	Fully effective	Performance fully meets the standards expected in all areas of the job. The appraisal indicates that the Employee has fully achieved effective results against all significant performance criteria and indicators as specified in the PA and PDP.					
2	Not fully effective	Performance is below the standard required for the job in key areas. Performance meets some of the standards expected for the job. The review/assessment indicates that the Employee has achieved below fully effective results against more than half the key performance criteria and indicators as specified in the PA and PDP.					
1	Unacceptable performance	Performance does not meet the standard expected for the job. The review/assessment indicates that the Employee has achieved below fully effective results against almost all of the performance criteria and indicators as specified in the PA and PDP. The Employee has failed to demonstrate the commitment or ability to bring performance up to the level expected in the job, despite management efforts to encourage improvements.					

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- (b) For purposes of evaluating the annual performance of the Employee, an evaluation panel constituted of the following persons must be established -
  - (i) Municipal Manager;
  - (ii) Chairperson of the Performance Audit Committee or the Chairperson of the Audit Committee in the absence of a Performance Audit Committee;
  - (iii) Member of the Mayoral Committee;
  - (iv) Municipal Manager from another Municipality.
- (c) The manager responsible for human resources of the municipality must provide secretariat services to the evaluation panel referred to in subclause 6.5.3(b).

#### 7. SCHEDULE FOR PERFORMANCE REVIEWS

7.1 The performance of the Employee in relation to his/her PA shall be reviewed on the following dates, with the understanding that the 1<sup>st</sup> (first) and 3<sup>rd</sup> (third) quarter review may be verbal if performance is satisfactory:

First Quarter: July – September Before end of October 2023

Second quarter: October - December Before end January 2024

Third quarter: January – March Before end April 2024

Fourth quarter: April – June Before end July 2024

7.2 The Employer shall keep a record of the mid-year review and annual assessment meetings.

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- 7.3 Performance feedback shall be based on the Employer's assessment of the Employee's performance.
- 7.4 The Employer shall be entitled to review and make reasonable changes to the provisions of the PP from time to time for operational reasons, subject thereto that the Employee shall be fully consulted before any such change is made.
- 7.5 The Employer may amend the provisions of the PP whenever a performance management system is adopted, implemented and/or amended by the Municipality, as the case may be, subject thereto that the Employee will be fully consulted before any such change is made.

#### 8. DEVELOPMENTAL REQUIREMENTS

The Personal Development Plan for addressing developmental gaps, is attached as **Annexure** "B" and shall form part of this Agreement.

#### 9. OBLIGATIONS OF THE EMPLOYER

- 9.1 The Employer shall -
- 9.1.1 create an enabling environment to facilitate effective performance by the Employee;
- 9.1.2 provide access to skills development and capacity building opportunities;
- 9.1.3 work collaboratively with the Employee to solve problems and generate solutions to common problems that may impact on the performance of the Employee;
- 9.1.4 on the request of the Employee, delegate such powers reasonably required by the Employee to enable him to meet the performance objectives and targets established in terms of this Agreement; and

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9.1.5 make available to the Employee such resources as the Employee may reasonably require from time to time to assist him/her to meet the performance objectives and targets established in terms of this Agreement.

#### 10. CONSULTATION

- 10.1 The Employer agrees to consult the Employee timeously where the exercising of the powers will have, amongst others -
- 10.1.1 a direct effect on the performance of any of the Employee's functions:
- 10.1.2 commit the Employee to implement or to give effect to (a) decision(s) taken by the Employer; and
- 10.1.3 a substantial financial effect on the Employer.
- The Employer agrees to inform the Employee of the outcome of any decisions taken pursuant to the exercise of powers contemplated in clause 10.1 as soon as is practicable to enable the Employee to take any necessary action without delay.

#### 11. MANAGEMENT OF EVALUATION OUTCOMES

- 11.1 The evaluation of the Employee's performance shall form the basis for rewarding outstanding performance or correcting unacceptable performance.
- A pro-rata performance bonus ranging from 5% to 9% based on a score of 130% to 149%; and a pro-rata performance bonus ranging from 10% to 14% based on a score of 150% and above, in terms of regulation 32(2) of the Local Government: Municipal Performance Regulations for Municipal Managers and Managers Directly Accountable to Municipal Managers, 2006 be paid to the Employee.

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- 11.3 The annual performance bonus contemplated in clause 11.2 shall be calculated on the Total Cost of Employment of the Employee of the last working day of the financial year on which the performance bonus is due.
- 11.4 In the case of unacceptable performance, the Employer shall provide systematic remedial or developmental support to assist the Employee to improve his performance; and
- 11.5 After appropriate performance counselling and having provided the necessary guidance and/or support as well as reasonable time for improvement in performance, and performance does not improve, the Employer may consider steps to terminate the contract of employment of the Employee on grounds of unfitness or incapacity to carry out his duties.

#### 12. DISPUTE RESOLUTION

#### 12.1 DISPUTES ON PERFORMANCE AGREEMENT

Any disputes about the nature of the Performance Agreement, whether it relates to key responsibilities, priorities, methods of assessment and/or any other matter provided for, shall be mediated by a member of the Municipal Council: Provided that such member was not part of the evaluation panel provided for in sub-regulation 27(4)(d) of the regulations, within 30 (thirty) days of receipt of a formal dispute from the Employee, whose decision shall be final and binding on both Parties.

#### 12.2 DISPUTES ON OUTCOME OF PERFORMANCE EVALUATION

Any disputes about the outcome of the Employee's performance evaluation must be mediated by a member of the Municipal Council: Provided that such member was not part of the evaluation panel provided for in sub-regulation 27(4)(d), within 30 (thirty) days of receipt of a formal dispute from the Employee, whose decision shall be final and binding on both Parties.

#### 13. GENERAL

- 13.1 The contents of this Agreement shall be made available to the public by the Employer.
- 13.2 Nothing in this Agreement diminishes the obligations, duties or accountabilities of the Employee in terms of his Contract of Employment, or the effects of existing or new regulations, circulars, policies, directives or other instruments.
- 13.3 The performance assessment results of the Employee shall be submitted to the Minister responsible for local government in the Province of the Western Cape as well as the National Minister for local government within 14 (fourteen) days after conclusion of the assessment.

	IS DONE AND SIGNED AT Stellenbosch ON THIS THE 22 DAY 2023 IN THE PRESENCE OF THE UNDERSIGNED WITNESSES:
<b>O</b> . ,	2023 IN THE PRESENCE OF THE UNDERSIGNED WITNESSES:
1.	Chris
2.	1911

F A DURAAN-GROENEWALD

THUS DONE AND SIGNED AT ...... ON THIS THE ..... DAY

OF ....... 2023 IN THE PRESENCE OF THE UNDERSIGNED WITNESSES:

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### ANNEXURE A - 2023/2024 Key Performance Indicators CHIEF FINANCIAL OFFICER - FA DURAAN-GROENEWALD

Nr						Targeta		Annual			
NY	so	National KPA	Key Performance Indicator (KPI)	Unit of measurement	Baseline	QI	02	Q)	64	Target	Weight (9
1	3	Municipal Financial Viability and Management	Effective management and supervision of the Head of Division: Budget & Treasury Office	90% of the XPI's of the Sub-directorate have been met as calculated	90%	Not Applicable	80%	Not Applicable	90%	90%	6
2	3	Municipal Financial Viability and Management.	Effective management and supervision of the Head of Division: Supply Chain Management	90% of the KPI's of the Sub-directorate have been met as celculated	90%	Not Applicable	80%	Not Applicable	90%	90%	6
3	3	Good Governance and Public Participation	Effective management and supervision of the Head of Division: Administrative Support Services	90% of the KPI's of the Sub-directorate have been met as calculated	90%	Not Applicable	80%	Not Applicable	90%	90%	6
4	3	Municipal Transformation and Institutional Develorment	Effective management and supervision of Head of Division: Human Resources	90% of the KPI's of the Sub-directorate have been met as calculated	90%	Not Applicable	80%	Not Applicable	90%	90%	6
5	3	Good Governance and Public Participation	Achievement of quarterly organisational KPI's on SDBIP	% of targets achieved	95%	Not Applicable	40%	50%	85%	85%	8
6	э	Basic Service Delivery	The percentage of the FSSS department's capital budget actually spent by the end of the financial year	FSSS capital budget spent vs FSSS total capital budget for the financial war	90%	0%	30% (cumulative)	50% (cumulative)	80% (cumulative)	80%	4
7	3	Municipal Financial Viability and Management	Compile and submit the annual financial statements to the Auditor- General by 31 August	Financial Statements submitted to the AG by 31 August	1	1	Д	0	0	1	5
8	3	Municipal Financial Viability and Management	Obtain a clean audit report from the Auditor-General as at and November/December	Audit Opinion as per final Audit Report	1	0	1	0	0	1	4
9	3	Municipal Financial Visbility and Management	Submit the adjustments budget to council for approval by end February	Adjustments budget submitted to council	1	0	0	1	0	7	6
10	3	Municipal Financial Viability and Management	Compilation of a demand plan and submitted to Council by 31 May.	Compile s demand plan bafore the start of the financial sear	1	0	0	D	0	Ð	10
11	3	Municipal Financial Viability and Management	Projected three year forecast on employee cost	Report to Meyor annually	1	D	р	1	0	1	8
12	3	Good Governance and Public Participation	Implementation of IPM to all levels of staff by \$1 July 2023	Ail levels of staff in FSSS have signed performance spreaments	95%	0	D	0	95%	25%	5
13	3	Menicipal Financial Viability and Management	Submission of a quarterly report to Council on the performance of contractors	Number of contract performance reports submitted to Council	New KPI	1	1	1	1	4	6

# **ANNEXURE B**

Maria Se de Colores		ENSONAL DEVELO	CHIEF FINANCIAL OFFICER	L OFFICER	DU RAAN - GROENEWALD	WALD	Tem.	
Date of Entry	Skill required / performance gap	Outcomes Expected (what will I achieve )	Suggested Training and / or activity and mode of delivery	Suggested Time Frames/ completion date	Work Opportunity Created to Practice Skill / Development	Support	Signature of Comments at Employee next Review	Comments at next Review
01 July 2023	Continuous Professional Development	Keep abreast of technology, best practice, etc.	Attendance of relevant congresses, seminars, forums, 30 June 2024 etc.	30 June 2024	Technical knowledge	Self	The Commence of	12
01 July 2023	Improvement of well-being	Improved service delivery and good governance	Attendance of relevant seminars, forums, etc.	30 June 2024	Emotional intelligence/well-being	Self	Charles W	

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#### "Annexure C"

## Competency Framework

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Cluster	Leading Competencies	
Competency Name	Program and Project Management	100
Competency Definitio	Able to understand program and project manage plan, manage, monitor and evaluate specific deliver on set objectives	gement methodology; activities in order to
	ACHIEVEMENT LEVELS	
BASIC	COMPETENT ADVANCED	SUPERIOR
<ul> <li>Initiate projects after approval from higher authorities</li> <li>Understand procedures of program and project management methodology, implications and stakeholder involvement</li> <li>Understand the rational of projects in relation to the institution's strategic objectives</li> <li>Document and communicate factors and risk associated with own work</li> <li>Use results and approaches of successful project implementation as guide</li> </ul>	<ul> <li>Establish broad stakeholder involvement and communicate the project status and key milestones</li> <li>Define the roles and responsibilities of the project team and create clarity around expectations</li> <li>Find a balance between project deadline and the quality of deliverables</li> <li>Identify appropriate project resources to facilitate the effective completion of the deliverables</li> <li>Comply with statutory requirements and apply policies in a consistent manner</li> <li>Monitor progress and use of resources and make needed adjustments to timelines, steps, and resource allocation</li> <li>Manage multiple programs and balance priorities and conflicts according to institutional goals</li> <li>Apply effective risk management strategies through impact assessment and resource requirements</li> <li>Modify project scope and budget when required without compromising the quality and objectives of the project</li> <li>Involve top-level authorities and relevant stakeholders in seeking project buy-in</li> <li>Identify and apply contemporary project management methodology</li> <li>Influence and motivate project team to deliver exceptional results</li> <li>Monitor policy implementation and apply procedures to manage risks</li> </ul>	Understand and conceptualise the long-term implications of desired project outcomes  Direct a comprehensive strategic macro and micro analysis and scope projects accordingly to realise institutional objectives  Consider and initiate projects that focus on achievement of the long-term objectives  Influence people in positions of authority to implement outcomes of projects  Lead and direct translation of policy into workable actions plans  Ensures that programs are monitored to track progress and optimal resource utilisation, and that adjustments are made as needed

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Cluster		g Competen	ci <del>es</del>	
Competency Na	me People	Manageme	nt	
Competency Definition  Effectively manage, Inspire a optimise talent and build and Institutional objectives  ACHIEVEMENT LEV			build and nurture relationship	ole, respect diversity, os in order to achieve
				0/1000000
BASIC	COMPE		ADVANCED	SUPERIOR
Participate in team goal-setting and problem solving     Interact and collaborate with people of diverse backgrounds     Aware of guidelines for employee development, but requires support in implementing development initiatives	others and the benefits approach • Effectively tasks and others to contribution execute optimally • Apply employee fairly and co • Facilitate to setting and solving • Effectively capacity in	team and y and support nature of be aware of of a diverse delegate empower increase and functions relevant legislation	Identify ineffective team and work processes and recommend remedial interventions Recognise and reward effective and desired behaviour Provide mentoring and guidance to others in order to increase personal effectiveness Identify development and learning needs within the team Build a work environment conducive to sharing, innovation, ethical behaviour and professionalism Inspire a culture of performance excellence by giving positive and constructive feedback to the team Achieve agreement or consensus in adversarial environments Lead and unite diverse teams across divisions to achieve institutional objectives	Develop and incorporate best practice people management processes, approaches and tools across the institution     Foster a culture or discipline, responsibility and accountability     Understand the impact of diversity in performance and actively incorporate a diversity strategy in the institution     Develop comprehensive integrated strategies and approaches to human capital development and management     Actively identify trends and predict capacity requirements to facilitate unified transition and performance management





Cluster	Leading Competenci	ies	
Competency Name	Financial Manageme	ent	
Competency Definition	Able to compile, printitute financial ri n processes in accorde	lan and manage budge sk management and a ance with recognised fina nancial transactions are	dminister procurement
	ACHIEVEME	ENT LEVELS	
BASIC	COMPETENT	ADVANCED	SUPERIOR
importance of asset control	Exhibit knowledge of general financial concepts, planning, budgeting, and forecasting and how they interrelate     Assess, identify and manage financial risks     Assume a cost-saving approach to financial management     Prepare financial reports based on specified formats     Consider and understand the financial implications of decisions and suggestions     Ensure that delegation and instructions as required by National Treasury guidelines are reviewed and updated     Identify and implement proper monitoring and evaluation practices to ensure appropriate spending against budget	<ul> <li>Take active ownership of planning, budgeting, and forecast processes and provides credible answers to queries within own responsibility</li> <li>Prepare budgets that are aligned to the strategic objectives of the institution</li> <li>Address complex budgeting and financial management concerns</li> <li>Put systems and processes in place to enhance the quality and integrity of financial management practices</li> <li>Advise on policies and procedures regarding asset control</li> <li>Promote National Treasury's regulatory framework for Financial Management</li> </ul>	<ul> <li>Develop planning tools to assist in evaluating and monitoring future expenditure trends</li> <li>Set budget frameworks for the institution</li> <li>Set strategic direction for the institution on expenditure and other financial processes</li> <li>Build and nurture partnerships to improve financial management and achieve financial savings</li> <li>Actively identify and implement new methods to improve asset control</li> <li>Display professionalism in dealing with financial data and processes</li> </ul>

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Cluster	Leading Competencies
Competency Name	Change Leadership
Competency Definition	Able to direct and initiate institutional transformation on all levels in order to successfully drive and implement new initiatives and deliver professional and quality services to the community
	ACHIEVEMENT LEVELS
BASIC	COMPETENT ADVANCED SUPERIOR
Display an awareness of change interventions, and the benefits of transformation initiatives     Able to identify basic needs for change     Identify gaps between the current and desired state     Identify potential risk and challenges to transformation, including resistance to change factors     Participate in change programs and piloting change interventions     Understand the impact of change interventions on the institution within the broader scope of Llocal Ggovernment.	<ul> <li>Perform an analysis of the change impact on the social, political and economic environment</li> <li>Maintain calm and focus during change</li> <li>Able to assist team members during change and keep them focused on the deliverables</li> <li>Volunteer to lead change efforts outside of own work team</li> <li>Able to gain buy-in and approval for change from relevant stakeholders</li> <li>Identify change resistance to change interventions that are aligned with the institution's strategic objectives and goals</li> <li>Design change impact and results and convey progress to relevant stakeholders</li> <li>Build and nurture retationships with various stakeholders to establish strategic alliance in facilitating change programs</li> <li>Benchmark change inspect to dange interventions against and convey progress to relevant stakeholders</li> <li>Continuously evaluate change strategy and design and introduce new approaches to enhance the institution's effectiveness</li> <li>Build and nurture retationships with various stakeholders</li> <li>Build and nurture retationships with various stakeholders</li> <li>Take the lead in impactful change programs</li> <li>Benchmark change interventions against best change practices</li> <li>Understand the impact and proved for change, and put remedial interventions in place to facilitate effective transformation</li> <li>Take calculated risk and seek new ideas from best practice scenarios, and identify the potential for implementation</li> </ul>

With and a cut of

Cluster	Leading Compe	tencies	
Competency Nam			
Competency Definit	and compliance of governance conceptualisatio governance rela	e, direct and apply profession e requirements and apply a practices and obligations. For on of relevant policies and ationships	thorough understanding urther, able to direct the
	ACHIEV	EMENT LEVELS	
BASIC	COMPETENT	ADVANCED	SUPERIOR
Display a basic awareness of risk, compliance and governance factors but require guidance and development in implementing such requirements     Understand the structure of cooperative government but requires guidance on fostering workable relationships between stakeholders     Provide input into policy formulation	Display a thorough understanding of governance and risk and compliance factors and implement plans to address these     Demonstrate understanding of the techniques and processes for optimising risk taking decisions within the institution     Actively drive policy formulation within the institution to ensure the achievement of objectives	<ul> <li>Able to link risk initiatives into key institutional objectives and drivers</li> <li>Identify, analyse and measure risk, create valid risk forecasts, and map risk profiles</li> <li>Apply risk control methodology and approaches to prevent and reduce risk that impede on the achievement of institutional objectives</li> <li>Demonstrate a thorough understanding of risk retention plans</li> <li>Identify and implement comprehensive risk management systems and processes</li> <li>Implement and monitor the formulation of policies, identify and analyse constraints and challenges with implementation and provide recommendations for improvement</li> </ul>	Demonstrate a high level of commitment in complying with governance requirements implement governance and compliance strategy to ensure achievement of institutional objectives within the legislative framework  Able to advise Local Government on risk management strategies, best practice interventions and compliance management  Able to forge positive relationships on cooperative governance level to enhance the effectiveness of Llocal government  Able to shape, direct and drive the formulation of policies on a macro level

Me of the cut

Cluster	Core Competencies		
<b>Competency Name</b>	Moral Competence		
Competency Definition	Able to identify moral triggers, apply reasoning that promotes he and integrity and consistently display behaviour that reflects competence		
		MENT LEVELS	
BASIC	COMPETENT	ADVANCED	SUPERIOR
Realise the impact of acting with integrity, but requires guidance and development in implementing principles Follow the basic rules and regulations of the institution Able to identify basic moral situations, but requires guidance and development in understanding and reasoning with moral intent	Conduct self in alignment with the values of Local Government and the institution Able to openly admit own mistakes and weaknesses and seek assistance from others when unable to deliver Actively report fraudulent activity and corruption within local government Understand and honour the confidential nature of matters without seeking personal gain Able to deal with situations of conflict of interest promptly and in the best interest of local government	<ul> <li>Identify, develop, and apply measures of self-correction</li> <li>Able to gain trust and respect through aligning actions with commitments</li> <li>Make proposals and recommendations that are transparent and gain the approval of relevant stakeholders</li> <li>Present values, beliefs and ideas that are congruent with the institution's rules and regulations</li> <li>Takes an active stance against corruption and dishonesty when noted</li> <li>Actively promote the value of the institution to internal and external stakeholders</li> <li>Able to work in unity with a team and not seek personal gain</li> <li>Apply universal moral principles consistently to achieve moral decisions</li> </ul>	Create an environment conducive of moral practices Actively developed and implement measures to combat fraud and corruption Set integrity standards and shared accountability measures across the institution to support the objectives of local government Take responsibility for own actions and decisions, even if the consequences are unfavourable

Way Cut

Cluster	Core Competencies		
Competency Name	Planning and Organising		
Competency Definition	Able to plan, prioritise and organise information and resources effective to ensure the quality of service delivery and build efficient contingency plans to manage risk		
		MENT LEVELS	
BASIC	COMPETENT	ADVANCED	SUPERIOR
providing detailed and comprehensive plans Able to follow existing plans	appropriately organise information and resources required for a task. Recognise the urgency and importance of tasks. Balance short and long-term plans and goals and incorporate into the team's performance objectives. Schedule tasks to ensure they are performed within budget and with efficient use of time and resources. Measures progress and monitor performance results.	<ul> <li>Able to define institutional objectives, develop comprehensive plans, integrate and coordinate activities, and assign appropriate resources for successful implementation</li> <li>Identify in advance required stages and actions to complete tasks and projects</li> <li>Schedule realistic timelines, objectives and milestones for tasks and projects</li> <li>Produce clear, detailed and comprehensive plans to achieve institutional objectives</li> <li>Identify possible risk factors and design and implement appropriate contingency plans</li> <li>Adapt plans in light of changing circumstances</li> <li>Prioritise tasks and projects according to their relevant urgency and importance</li> </ul>	Focus on broad strategies and initiatives when developing plans and actions     Able to project and forecast short, medium and long term requirements of the institution and local government     Translate policy into relevant projects to facilitate the achievement of institutional objectives

Market & State & State

Cluster	Core Competencie	3S		
Competency Nan	Competency Name Analysis and Inno			
Competency Definition	establish and imp	Able to critically analyse information, challenges and trends to establish and implement fact-based solutions that are innovative to improve institutional processes in order to achieve key strategic objectives		
		RENT LEVELS		
BASIC	COMPETENT	ADVANCED	SUPERIOR	
Understand the basic operation of analysis, but lack detail and thoroughness     Able to balance independent analysis with requesting assistance from others     Recommend new ways to perform tasks within own function     Propose simple remedial interventions that marginally challenges the status quo     Listen to the ideas and perspectives of others and explore opportunities to enhance such innovative thinking	Demonstrate logical problem solving techniques and approaches and provide rationale for recommendations Demonstrate objectivity, insight, and thoroughness when analysing problems Able to break down complex problems into manageable parts and identify solutions Consult internal and external stakeholders on opportunities to improve processes and service delivery Clearly communicate the benefits of new opportunities and innovative solutions to stakeholders Continuously identify opportunities to enhance internal processes Identify and analyse opportunities conductive approaches and propose remedial intervention	Coaches team members on analytical and innovative approaches and techniques Engage with appropriate individuals in analysing and resolving complex problems Identify solutions on various areas in the institution Formulate and implement new ideas throughout the institution Able to gain approval and buyin for proposed interventions from relevant stakeholders Identify trends and best practices in process and service delivery and propose institutional application Continuously engage in research to identify client needs	Demonstrate complex analytical and problem solving approaches and techniques     Create an environment conducive to analytical and fact-based problem-solving     Analyse, recommend solutions and monitor trends in key challenges to prevent and manage occurrence     Create an environment that fosters innovative thinking and follows a learning organisation approach     Be a thought leader on innovative delivery, and process optimisation     Play an active role in sharing best practice solutions and engage in national and international local government seminars and conferences	

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Cluster	Core Compe	tencies		
Competency Na	me Knowledge a	Knowledge and Information Management Able to promote the generation and sharing of knowledge and information through various processes and media, in order to enhance the collective knowledge base of local government ACHIEVEMENT LEVELS		
Competency Defin	ittion information t enhance the			
BASIC	COMPETENT	ADVANCED	SUPERIOR	
<ul> <li>Collect, categorise and track relevant information required for specific tasks and projects</li> <li>Analyse and interpret information to draw conclusions</li> <li>Seek new sources of information to increase the knowledge base</li> <li>Regularly share information and knowledge with internal stakeholders and team members</li> </ul>	Use appropriate information systems and technology to manage institutional knowledge and information sharing Evaluate data from various sources and us information effectively to influence decisions and provide solution Actively create mechanisms an structures for sharing of information Use external an internal resources to research and provide relevant and cutting-edge knowledge to enhance institutional effectiveness an efficiency	future information and knowledge management requirements and systems  Develop standards and processes to meet future knowledge management needs  Share and promote best-practice knowledge management across various institutions  Establish accurate measures and monitoring systems for knowledge and information management  Create a culture conducive of learning and knowledge sharing  Hold regular	Create and support a vision and culture where team members are empowered to seek, gain and share knowledge and information Establish partnerships across local government to facilitate knowledge management Demonstrate a mature approach to knowledge and information sharing with an abundance and assistance approach Recognise and exploit knowledge points in interactions with internal and external stakeholders	

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Cluster	Core Competenci	25		
Competency Name	Communication	G <b>Q</b>		
Competency Definition	Able to share information, knowledge and ideas in a clear, focused and concise manner appropriate for the audience in order to effectively convey, persuade and influence stakeholders to achieve the desired outcome			
	ACHIEVE	WENT LEVELS		
BASIC	COMPETENT	ADVANCED	SUPERIOR	
Demonstrate an understanding for communication levers and tools appropriate for the audience, but requires guidance in utilising such tools     Express ideas in a clear and focused manner, but does not always take the needs of the audience into consideration     Disseminate and convey information and knowledge adequately	Express ideas to individuals and groups in formal and informal settings in an manner that is interesting and motivating     Able to understand, tolerate and appreciate diverse perspectives, attitudes and beliefs     Adapt communication content and style to suit the audience and facilitate optimal information transfer     Deliver content in a manner that gains support, commitment and agreement from relevant stakeholders     Compile clear, focused, concise and well-structured written documents	Beffectively communicate high-risk and sensitive matters to relevant stakeholders Develop a well-defined communication strategy Balance political perspectives with institutional needs when communicating viewpoints on complex issues Able to effectively direct negotiations around complex matters and arrive at a win-win situation that promotes Batho Pele principles Market and promote the institution to external stakeholders and seek to enhance a positive image of the institution Able to communicate with high levels of moral competence and discipline	<ul> <li>Regarded as a specialist in negotiations and representing the institution</li> <li>Able to inspire and motivate others through positive communication that is impactful and relevant</li> <li>Creates an environment conducive to transparent and productive communication and critical and appreciative conversations</li> <li>Able to coordinate negotiations at different levels within local government and externally</li> </ul>	

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Disease				
Cluster	Care Competer			
Competency Na		ality Focus		
Competency Definition   results and expectations		tain high quality standards, focus on achieving objectives while consistently striving to exceed and encourage others to meet quality standards. Stively monitor and measure results and quality led objectives.		
	4.4			
BASIC			SILDEDIOD	
BASIC  Understand quality of work but requires guidance in attending to important matters  Show a basic commitment to achieving the correct results  Produce the minimum level of results required in the role  Produce outcomes that is of a good standard  Focus on the quantity of output but requires development in incorporating the quality of work  Produce quality work in general circumstances, but fails to meet expectation when under pressure	COMPETENT  Focus on high-priority actions and does not become distracted by lower-priority activities  Display firm commitment and pride in achieving the correct results  Set quality standards and design processes and tasks around achieving set standards  Produce output of high quality  Able to balance the quantity and quality of results in order to achieve objectives  Monitors progress, quality of work, and use of resources; provide status updates, and make adjustments as	ADVANCED  Consistently verify own standards and outcomes to ensure quality output  Focus on the end result and avoids being distracted  Demonstrate a determined and committed approach to achieving results and quality standards  Follow task and projects through to completion  Set challenging goals and objectives to self and team and display commitment to achieving expectations  Maintain a focus on quality outputs when placed under pressure  Establishing institutional systems for managing and assigning work.	SUPERIOR  Coach and guide others to exceed quality standards and results  Develop challenging, client-focused goals and sets high standards for personal performance  Commit to exceed the results and quality standards, monitor own performance and implement remedial interventions when required  Work with team to set ambitious and challenging team goals, communicating long- and short-term expectations  Take appropriate risks to accomplish goals  Overcome setbacks and adjust action	
	needed	defining responsibilities, tracking, monitoring and measuring success, evaluating and valuing the work of the institution	plans to realise goals  Focus people on critical activities that yield a high impact	

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