

PERFORMANCE AGREEMENT

MADE AND ENTERED into by and between

CAPE WINELANDS DISTRICT MUNICIPALITY

(hereinafter referred to as "the Employer") as represented by **Michael Mgajo** in his capacity as **Municipal Manager**, duly authorized thereto in terms of section 57(2)(c) of the Local Government: Municipal Systems Act, 2000 (Act No. 32 of 2000);

AND

FRANCOIS VAN ECK

(Identity Number: 600820 5135 087)

(hereinafter referred to as "the Employee") in his/her capacity as **Executive Director: Technical Services.**

2/PREAMBLE

OF M

PREAMBLE

WHEREAS the Employer has entered into a Contract of Employment with the Employee in terms of section 56(1)(a) of the Local Government: Municipal Systems Act, 2000 (Act No. 32 of 2000);

AND WHEREAS section 57(1)(b) of the Act, read with the Contract of Employment concluded between the Parties, requires them to conclude an annual Performance Agreement;

AND WHEREAS the Parties wish to ensure that there is compliance with sections 57(4)(a), (57(4)(c) and 57(5) of the Act, that they are clear about the goals to be achieved and secure the commitment of the Employee to a set of outcomes that will secure local government policy goals;

NOW THEREFORE THE PARTIES AGREE AS FOLLOWS:

1. DEFINITIONS

- 1.1 "the Act" shall mean the Local Government: Municipal Systems Act, 2000 (Act No. 32 of 2000);
- 1.2 "the Parties" shall mean the Employer and the Employee;
- 1.3 "KPA or KPA's" shall mean key performance area(s);
- 1.4 "KPI or KPI's" shall mean key performance indicator(s);
- 1.5 "competency framework" shall mean leading and core competencies as contained in regulation 3 of Annexure "A" of the Local Government: Regulations on Appointment and Conditions of Employment of Senior Managers;

3/1.6 "PA ...

PP

- 1.6 "PA" shall mean this Performance Agreement;
- "PP" shall mean the Performance Plan attached as Annexure "A" to this 1.7 Agreement, which shall be regarded as having been incorporated into the Agreement by reference;
- shall mean the Personal Development Plan attached 1.8 Annexure "B" to this Agreement, which shall be regarded as having been incorporated into the Agreement by reference; and
- "Regulations" shall mean the Local Government: Municipal Systems Act, 1.9 2000 (Act No. 32 of 2000): Local Government: Regulations on Appointment and Conditions of Employment of Senior Managers, 2014.

2. **PURPOSE OF AGREEMENT**

The purpose of this Agreement is to –

- comply with the provisions of section 57(1)(b), 57(4)(a), 57(4)(c) and 57(5) of 2.1 the Act as well as the Contract of Employment entered into between the Parties:
- specify objectives and targets defined and agreed with the Employee and to 2.2 communicate to the Employee the Employer's expectations of the Employee's performance and accountabilities in alignment with the Integrated Development Plan, Service Delivery and Budget Implementation Plan and the Budget of the Municipality:
- 2.3 specify accountabilities as set out in the PP, which forms Annexure "A" to this Agreement:
- 2.4 monitor and measure performance against set targeted outputs;

4/2.5 use ...

- 2.5 use the Agreement as the basis for assessing whether the Employee has met the performance expectations applicable to his/her job;
- in the event of outstanding performance, to appropriately reward the 2.6 Employee; and
- 2.7 give effect to the Employer's commitment to a performance-orientated relationship with its Employee in attaining equitable and improved service delivery.

3. COMMENCEMENT AND DURATION

- 3.1 This Agreement will commence on 01 July 2017 and will remain in force until 30 June 2018, where after a new PA, PP and PDP shall be concluded between the Parties for the next financial year or any portion thereof.
- 3.2 The Parties shall review the provisions of this Agreement during June each year.
- The Parties will conclude a new PA, PP and/or PDP that replaces this 3.3 Agreement at least once a year by not later than the beginning of each successive financial year.
- 3.4 This Agreement will terminate on the termination of the Employee's Contract of Employment for any reason.
- 3.5 The content of this Agreement may be revised at any time during the period specified in clause 3.1 above, to determine the applicability of the matters agreed upon.

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If at any time during the validity of this Agreement the work environment 3.6 alters (whether as a result of Government or Council decisions or otherwise) to the extent that the contents of this Agreement are no longer appropriate, the contents shall immediately be revised.

4. PERFORMANCE OBJECTIVES

- 4.1 The PP sets out -
- 4.1.1 The performance objectives and targets that must be met by the Employee; and
- 4.1.2 The time frames within which those performance objectives and targets must be met.
- 4.2 The performance objectives and targets reflected in the PP are set by the Employer in consultation with the Employee and based on the Integrated Development Plan, Service Delivery and Budget Implementation Plan and the Budget of the Employer, and shall include key objectives, key performance indicators, target dates and weightings.
- The key objectives describe the main tasks that need to be performed or 4.2.1 executed.
- 4.2.2 The KPI's and means of verification provide the details of the evidence that must be provided to show that a key objective has been achieved.
- The target dates describe the timeframe within which the work must be 4.2.3 achieved.

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- The weightings show the relative importance of the key objectives to each 4.2.4 other.
- 4.3 The Employee's performance will, in addition, be measured in terms of contributions to the goals and strategies set out in the Employer's Integrated Development Plan.

5. PERFORMANCE MANAGEMENT SYSTEM

- 5.1 The Employee agrees to participate in the performance management system of the Municipality, management and staff of the Employer.
- 5.2 The Employee accepts that the purpose of the performance management system will be to provide a comprehensive system with specific performance standards to assist the Municipality, management and staff to perform to the standards required.
- 5.3 The Employer shall consult the Employee about the specific performance standards that will be included in the performance management system as applicable to the Employee.
- 5.4 The Employee undertakes to actively focus towards the promotion and implementation of the KPA's (including special projects relevant to the Employee's responsibilities) within the local government framework.
- 5.5 The criteria upon which the performance of the Employee shall be assessed, shall consist of two components, both of which shall be contained in the Agreement.
- 5.5.1 The Employee must be assessed against both components, with a weighting 7/5.5.2 Each ... of 80:20 allocated to the KPA's and the competency framework respectively.

- 5.5.2 Each area of assessment will be weighted and will contribute a specific part to the total score.
- 5.5.3 KPA's covering the main areas of work will account for 80% (eighty percent) and competency framework will account for 20% (twenty percent) of the final assessment.
- The Employee's assessment will be based on his/her performance in terms of the outputs/outcomes (KPI's) identified in the Performance Plan, which are linked to the KPA's, and will constitute 80% (eighty percent) of the overall assessment result as per the weightings agreed to between the Employer and Employee:

Key Performance Areas	Weighting
Municipal Institutional Development and Transformation	9%
Basic Service Delivery	55%
Local Economic Development (LED)	4%
Municipal Financial Viability and Management	7%
Good Governance and Public Participation	25%
Total	100%

- 5.7 The KPA's related to the functional area of the Employee shall be subject to negotiation between the municipal manager and the Employee.
- 5.8 The competency framework will make up the other 20% (twenty percent) of the Employee's assessment score.
- 5.9 Compulsory competencies are listed as follows:

8/COMPETENCIES (A)

COMPETENCIES

The competencies required from employees prescribed in the Regulations on the appointment and conditions of employment of senior managers, R21 of 2014. The assessment of these competencies will account for twenty percent of the total employee assessment score.

Annexure C describes the different achievement levels for each Competency and should therefore form part of this section of the Performance Plan.

<u>a</u> E	Definition	Weight
<u> </u>	LEADING COMPETENCIES	
	Provide and direct a vision for the institution, and inspire and deploy others to deliver on the strategic institutional mandate. It includes:	
	Impact and influence	
Strategic direction and leadership	 Institutional performance management 	1.67
	Strategic planning and management	
	 Organisational awareness 	
Ш б	Effectively manage, inspire and encourage people, respect diversity, optimise talent and build and nurture relationships in order to achieve institutional objectives. It includes:	
	Human capital planning and development	
reopie management	Diversity management	1.67
	Employee relations management	
	Negotiation and dispute management	
A	Able to understand program and project management methodology; plan, manage, monitor and evaluate specific activities in order to deliver on set objectives. It includes:	
Programme and project management	Program and project planning and implementation	1.67
	Service delivery management	2.
	 Program and project monitoring and evaluation 	
	Able to compile, plan and manage budgets, control cash flow, institute financial risk management and administer procurement processes in accordance with recognised financial practices. Further to ensure that all financial transactions are managed in an ethical manner. It includes:	
Financial management	Budget planning and execution	1.67
	Financial strategy and delivery	
	 Financial reporting and delivery 	



Competency	Definition	Weight
	LEADING COMPETENCIES (continue)	
Change leadership	Able to direct and initiate transformation on all levels in order to successfully drive and implement new initiatives and deliver professional and quality services to the community. It includes: Change vision and strategy Process design and improvement Change impact monitoring and evaluation	1.67
Governance leadership	Able to promote, direct and apply professionalism in managing risk and compliance requirements and apply a thorough understanding of governance practices and obligations. Further, able to direct the conceptualisation of relevant policies and enhance cooperative governance relationships. It includes: • Policy formulation • Risk and compliance management • Cooperative governance	1.67
	CORE COMPETENCIES	
Moral competence	Able to identify moral triggers, apply reasoning that promotes honesty and integrity and display behaviour that reflects moral competence.	1.67
Planning and organising	Able to plan, prioritise and organise information and resources effectively to ensure the quality of service delivery and build efficient contingency plans to manage risk.	1.67
Analysis and innovation	Able to critically analyse information, challenges and trends to establish and implement fact-based solutions that are innovative to improve institutional processes in order to achieve key strategic objectives.	1.67
Knowledge and information management	Able to promote the generation and sharing of knowledge and information through various processes and media, in order to enhance the collective knowledge base of local government	1.67
Communication	Able to share information, knowledge and ideas in a clear, focused and concise manner appropriate for the audience in order to effectively convey, persuade and influence stakeholders to achieve the desired outcome.	1.67
Results and quality focus	Able to maintain high quality standards, focus on achieving results and objectives while consistency striving to exceed expectations and encourage others to meet quality standards. Further, to actively monitor and measure results and quality against identified objectives.	1.67
	TOTAL	20

10/6. EVALUATING ...



6. **EVALUATING PERFORMANCE**

- The PP attached as Annexure "A" to this Agreement, sets out -6.1
- The standards and procedures for evaluating the Employee's performance; 6.1.1 and
- The intervals for the evaluation of the Employee's performance. 6.1.2
- 6.2 Despite the establishment of agreed intervals for evaluation, the Employer may in addition review the Employee's performance at any stage while the Contract of Employment remains in force.
- 6.3 Personal growth and development needs identified during any performance review discussion shall be documented in a PDP as well as the actions agreed to and implementation must take place within set time frames.
- 6.4 The Employee's performance shall be measured in terms of contributions to the goals and strategies set out in the Employer's Integrated Development Plan.
- 6.5 The annual performance appraisal shall involve:

Assessment of the achievement of results as outlined in the PP 6.5.1

(a) Each KPA shall be assessed according to the extent to which the specified standards or KPI'S have been met and with due regard to ad hoc tasks that had to be performed under the KPA's.

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- (b) An indicative rating on the 5 (five) point scale should be provided for each KPA.
- (c) The applicable assessment rating calculator (refer to clause 6.5.3 below) must be used to add the scores and calculate a final KPA score.

6.5.2 Assessment of the Competency Framework

- (a) Each competency should be assessed according to the extent to which the specified standards have been met.
- (b) An indicative rating on the 5 (five) point scale shall be provided for each competency.
- (c) This rating shall be multiplied by the weighting given to each competency during the contracting process to provide a score.
- (d) The applicable assessment rating calculator (refer to clause 6.5.1) shall be used to add the scores and calculate a final competency framework score.

6.5.3 Overall rating

An overall rating is calculated by using the applicable assessment-rating calculator, which shall represent the outcome of the performance appraisal.

(a) The assessment of the performance of the Employee will be based on the following rating scale for KPA's and the competency framework:

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Level	Terminology	Docorintia		R	atir	ng	
-0461	reminiology	Description	1	2	3	4	5
5	Outstanding performance	Performance far exceeds the standard expected of an employee at this level. The appraisal indicates that the Employee has achieved above fully effective results against all performance criteria and indicators as specified in the PA and PDP and maintained this in all areas of responsibility throughout the year.					
4	Performance significantly above expectations	Performance is significantly higher than the standard expected in the job. The appraisal indicates that the Employee has achieved above fully effective results against more that half of the performance criteria and indicators and fully achieved all others throughout the year.					
3	Fully effective	Performance fully meets the standards expected in all areas of the job. The appraisal indicates that the Employee has fully achieved effective results against all significant performance criteria and indicators as specified in the PA and PDP.					
2	Performance not fully effective	Performance is below the standard required for the job in key areas. Performance meets some of the standards expected for the job. The review/assessment indicates that the Employee has achieved below fully effective results against more than half the key performance criteria and indicators as specified in the PA and PDP.					
	Unacceptable performance	Performance does not meet the standard expected for the job. The review/assessment indicates that the Employee has achieved below fully effective results against almost all of the performance criteria and indicators as specified in the PA and PDP. The Employee has failed to demonstrate the commitment or ability to bring performance up to the level expected in the job, despite management efforts to encourage improvements.					
					13/((b)	For

- (b) For purposes of evaluating the annual performance of the Employee, an evaluation panel constituted of the following persons must be established -
 - (i) Municipal Manager;
 - (ii) Chairperson of the Performance Audit Committee or the Chairperson or designated performance management specialist of the Audit Committee in the absence of a Performance Audit Committee;
 - (iii) Member of the Mayoral Committee;
 - (iv) Member of a ward committee as nominated by the Executive Mayor; and
 - (v) Municipal Manager from another Municipality.
- (c) The manager responsible for human resources of the municipality must provide secretariat services to the evaluation panel referred to in subclause 6.5.3(b).

7. SCHEDULE FOR PERFORMANCE REVIEWS

7.1 The performance of the Employee in relation to his/her PA shall be reviewed on the following dates, with the understanding that the 3rd (third) quarter review may be verbal if performance is satisfactory:

First quarter: July – September Before end October 2017

Second quarter: October – December Before end January 2018

Third quarter: January – March Before end April 2018

Fourth quarter: April – June Before end July 2018

7.2 The Employer shall keep a record of the mid-year review and annual assessment meetings.

14/7.3 Performance ...

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- 7.3 Performance feedback shall be based on the Employer's assessment of the Employee's performance.
- 7.4 The Employer shall be entitled to review and make reasonable changes to the provisions of the PP from time to time for operational reasons, subject thereto that the Employee shall be fully consulted before any such change is made.
- 7.5 The Employer may amend the provisions of the PP whenever a performance management system is adopted, implemented and/or amended by the Municipality, as the case may be, subject thereto that the Employee will be fully consulted before any such change is made.

8. DEVELOPMENTAL REQUIREMENTS

The Personal Development Plan for addressing developmental gaps, is attached as **Annexure "B"** and shall form part of this Agreement.

9. OBLIGATIONS OF THE EMPLOYER

- 9.1 The Employer shall -
- 9.1.1 create an enabling environment to facilitate effective performance by the Employee;
- 9.1.2 provide access to skills development and capacity building opportunities;
- 9.1.3 work collaboratively with the Employee to solve problems and generate solutions to common problems that may impact on the performance of the Employee;

15/9.1.4 on ...

- 9.1.4 on the request of the Employee, delegate such powers reasonably required by the Employee to enable him/her to meet the performance objectives and targets established in terms of this Agreement; and
- 9.1.5 make available to the Employee such resources as the Employee may reasonably require from time to time to assist him/her to meet the performance objectives and targets established in terms of this Agreement.

10. CONSULTATION

- 10.1 The Employer agrees to consult the Employee timeously where the exercising of the powers will have, amongst others -
- 10.1.1 a direct effect on the performance of any of the Employee's functions;
- 10.1.2 commit the Employee to implement or to give effect to (a) decision(s) taken by the Employer; and
- 10.1.3 a substantial financial effect on the Employer.
- The Employer agrees to inform the Employee of the outcome of any decisions taken pursuant to the exercise of powers contemplated in clause 10.1 as soon as is practicable to enable the Employee to take any necessary action without delay.

11. MANAGEMENT OF EVALUATION OUTCOMES

11.1 The evaluation of the Employee's performance shall form the basis for rewarding outstanding performance or correcting unacceptable performance.

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- A performance bonus ranging from 5% (five percent) to 14% (fourteen percent) of the all-inclusive annual remuneration package may be paid to the Employee in recognition of outstanding performance, subject thereto that, in determining the performance bonus, the relevant percentage is based on the overall rating calculated by using the applicable assessment-rating calculator; provided that -
 - A score of 130% (one hundred and thirty percent) to 149% (one hundred and forty nine percent) is awarded a performance bonus ranging from 5% (five percent) to 9% (nine percent); and
 - 11.2.2 A score of 150% (one hundred and fifty percent) and above is awarded a performance bonus ranging from 10% (ten percent) to 14% (fourteen percent).
- 11.3 In the case of unacceptable performance, the Employer shall provide systematic remedial or developmental support to assist the Employee to improve his/her performance; and
- 11.4 After appropriate performance counselling and having provided the necessary guidance and/or support as well as reasonable time for improvement in performance, and performance does not improve, the Employer may consider steps to terminate the contract of employment of the Employee on grounds of unfitness or incapacity to carry out his/ her duties.

17/12 DISPUTE...

12. DISPUTE RESOLUTION

12.1 DISPUTES ON PERFORMANCE AGREEMENT

Any disputes about the nature of the Performance Agreement, whether it relates to key responsibilities, priorities, methods of assessment and/or any other matter provided for, shall be mediated by a member of the Municipal Council: Provided that such member was not part of the evaluation panel provided for in sub-regulation 27(4)(e) of the Regulations, within 30 (thirty) days of receipt of a formal dispute from the Employee, whose decision shall be final and binding on both Parties.

12.2 DISPUTES ON OUTCOME OF PERFORMANCE EVALUATION

Any disputes about the outcome of the Employee's performance evaluation must be mediated by a member of the Municipal Council: Provided that such member was not part of the evaluation panel provided for in sub-regulation 27(4)(e), within 30 (thirty) days of receipt of a formal dispute from the Employee, whose decision shall be final and binding on both Parties.

13. GENERAL

13.1 The contents of this Agreement shall be made available to the public by the Employer.

18/13.2 Nothing ...

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- Nothing in this Agreement diminishes the obligations, duties or accountabilities of the Employee in terms of his/her Contract of Employment, or the effects of existing or new regulations, circulars, policies, directives or other instruments.
- 13.3 The performance assessment results of the Employee shall be submitted to the Minister responsible for local government in the Province of the Western Cape as well as the National Minister for local government within 14 (fourteen) days after conclusion of the assessment.

THUS DONE AND SIGNED AT WORKESTER ON THIS THE 6th DAY OF JUNE 2017 IN THE PRESENCE OF THE UNDERSIGNED WITNESSES:

1.

2.

F VAN ECK

THUS DONE AND SIGNED AT Worcester ON THIS THE 6 DAY OF 2017 IN THE PRESENCE OF THE UNDERSIGNED WITNESSES:

1. Davies

2. Janua

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2017/2018 Key Performance Indicators executive director: Technical Services - F van eck

ž	So	PMS Ref No	National KPA	Key Performance Indicator (KPI)	Init of mosessesses	Bosolino		Та	Targets		Annual	2
					Out of measurement	Daseillie	ā	92	83	9	Target	Weight (%)
-	7		Basic Service Delivery	Manage and achieve 90% of the KPI's of Roads	90% of the KPI's of the sub directorate have been met as calculated	New KPI	%06	%06	%06	%06	%06	10
2	8		Basic Service Delivery	Manage and achieve 90% of the KPI's of Building Maintenance Services	90% of the KPI's of the sub directorate have been met as calculated	New KPI	%06	%06	%06	%06	%06	ω
m	2		Good Governance	Manage and achieve 90% of the KPI's of Information & Communication Technology	90% of the KPI's of the sub directorate have been met as calculated	New KPI	%06	%06	%06	%06	%06	o
4	7		Basic Service Delivery	Manage and achieve 90% of the KPI's of Projects and Housing	90% of the KPI's of the sub directorate have been met as calculated	New KPI	%06	%06	%06	%06	%06	ω
co.	0		Basic Service Delivery	Manage and achieve 90% of the KPI's of Passenger Transport Regulation	90% of the KPI's of the sub directorate have been met as calculated	New KPI	%06	%06	%06	%06	%06	O
9	7		Municipal Financial Viability and Management	The percentage of the directorate's capital budget actually spent by 30 June 2018 {(Actual amount spent on projects Total amount budgeted for capital projects)X100}	% of the directorate's capital budget actually spent on capital items as per budget	%96	%0	30%	30%	95%	%96	7
	7		Municipal Transformation and Institutional Development	Where applicable, maintain or improve the employment equity Number of people appointed throughout status by 30 June 2018, within the directorate, in line with Municipality's approved employment equity plan approved employment equity plan	Number of people appointed throughout the whole directorate, to be in line with approved employment equity plan	New KPI	0	0	0	-	-	ω

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PMS Ref No	_	National KPA	Key Performance Indicator (KPI)	Unit of measurement	Raceline		T	Targets		Annual	10/-1-1-4
					Dascillo	õ	02	63	Ø	Target	weignt (%)
Municipal Transformation and Institutional Development	Municipal Trans and Institut Developm	formation ional ient	All existing directorate staff (as required by the MFMA) enrolled to complete MMCL (by signing an SLA or confirmation from staff member that he/she will not enroll)	% compliance	New KPI	0	0	0	100%	100%	м
Basic Service Delivery	Basic Service	Delivery	95% of the provincial roads conditional grant allocation received spent by 30 June 2018 {(Total expenditure divided by the total received budget) x 100}	% of the received budget spent	95%	%0	25%	92%	%96	%96	00
Basic Service Delivery	Basic Service	Delivery	Directorate project expenditure as a percentage of the project budget	% of quarterly projects spend target	%06	%06	%06	%06	%06	%06	4
Basic Service Delivery	Basic Service	Delivery	Directorate quantities achieved on projects as a percentage of quarterly target	% quantities achieved	100%	100%	100%	100%	100%	100%	4
Good Governance and Public Participation	Good Gover Public Part	nance and icipation	Achievement of quarterly directorate organisational KPI's on SDBIP	% targets achieved	New KPI	82%	%56	82%	%56	95%	7
Local Economic Development	Local Ecc Develop	onomic ment	Create full time equivalent (FTE's) through expenditure with the EPWP job creation by 30 June 2018	Number of full time equivalent (FTE's) created by 30 June 2018	30	0	0	0	42	42	4
Good Governance and Public Participation	Good Gover Public Part	nance and icipation	Clean Audit opinion by the Auditor-General	No findings specific to directorate raised by AG	New KPI	0	-	0	0	-	ഹ
Basic Service Delivery	Basic Servic	e Delivery	95% of the public transport grant allocation awarded to projects spent by 30 June 2018 {(Total expenditure divided by the total value of tenders awarded) x 100}	% of the allocation awarded to projects spent	%96	%0	10%	%09	%56	95%	4
Good Governance and Public Participation	Good Gover Public Par	nance and ticipation	% of working time ICT systems are running, at all 5 CWDM 1 offices	ICT infrastructure available at least 90% of time, at all 5 CWDM offices	%06	%06	%06	%06	%06	%06	4

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ANNEXURE B

Skill 1 Cor Cor Prod Deve	PERSONAL DEVELOPMENT PLAN 2017/18: F VAN ECK	Executive Director: Tehnical Services	required / Outcomes Expected (what activity and mode of delivery Frames/ completion Skill / Development Support Signature of Comments at mance gap will Lachieve France gap Person Fundayee Development Person Fundayee Development Development	Keep abreast of technology, congresses, seminars, forums, best practice, etc.		
			Skill required / Outperformance gap	Continuous Kee Professional Development		



"Annexure C"

Competency Framework

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Competency Descriptions



Cluster		Leading Competen	cies			
Competency N	ame	People Manageme				
Competency Def	inition	Effectively manage, inspire and encourage people, respect diversity, optimise talent and build and nurture relationships in order to achieve institutional objectives				
DAGIO	,	ACHIEVEN	ENT LEVELS			
BASIC		COMPETENT	ADVANCED	SUPERIOR		
Participate in team goal-setting and problem solving Interact and collaborate with people of diverse backgrounds Aware of guidelines for employee development, but requires support in implementing development initiatives	increcontrespending	ribution and consibility pect and support diverse nature of rs and be aware of penefits of a diverse coach citively delegate is and empower as to increase ribution and ute functions hally y relevant oyee legislation and consistently litate team goaling and probleming and probleming citively identify city requirements culfill the strategic	Identify ineffective team and work processes and recommend remedial interventions Recognise and reward effective and desired behaviour Provide mentoring and guidance to others in order to increase personal effectiveness Identify development and learning needs within the team Build a work environment conducive to sharing, innovation, ethical behaviour and professionalism Inspire a culture of performance excellence by giving positive and constructive feedback to the team Achieve agreement or consensus in adversarial environments Lead and unite diverse teams across divisions to achieve institutional objectives	Develop and incorporate best practice people management processes, approaches and tools across the institution Foster a culture of discipline, responsibility and accountability Understand the impact of diversity in performance and actively incorporate a diversity strategy in the institution Develop comprehensive integrated strategies and approaches to human capital development and management Actively identify trends and predict capacity requirements to facilitate unified transition and performance management		

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Cluster	Leading Competencies						
Competency Name	Program and Project Management						
	Able to understand p	Able to understand program and project management methodology					
Competency Definition	plan, manage, monitor and evaluate specific activities in order to						
	deliver on set objectives						
	ACHIEVEME	NT LEVELS					
BASIC	COMPETENT	ADVANCED	SUPERIOR				
10.010010	 Establish broad 	 Manage multiple 	 Understand and 				
after approval from	stakeholder	programs and	conceptualise				
higher authorities	involvement and	balance priorities	the long-term				
 Understand 	communicate the	and conflicts	implications of				
procedures of	project status and	according to	desired project				
program and	key milestones	institutional goals	outcomes				
1 - 3	 Define the roles 	 Apply effective risk 	• Direct a				
management	and responsibilities	management	comprehensive				
methodology,	of the project team	strategies through	strategic macro				
implications and stakeholder	and create clarity	impact assessment	and micro				
involvement	around	and resource	analysis and				
	expectationsFind a balance	requirements	scope projects				
rational of projects		Modify project scope	accordingly to				
in relation to the	between project deadline and the	and budget when	realise				
institution's	quality of	required without compromising the	institutional				
strategic objectives	deliverables	compromising the guality and	objectives				
	 Identify appropriate 	objectives of the	Consider and initiate				
communicate	project resources to	project	initiate projects that focus on				
factors and risk	facilitate the	Involve top-level	that focus on achievement of				
associated with	effective	authorities and	the long-term				
own work	completion of the	relevant	objectives				
 Use results and 	deliverables	stakeholders in	Influence people				
	 Comply with 	seeking project buy-	in positions of				
successful project	statutory	in	authority to				
implementation as	requirements and	 Identify and apply 	implement				
guide	apply policies in a	contemporary	outcomes of				
TO STATE OF THE ST	consistent manner	project management	projects				
	 Monitor progress 	methodology	 Lead and direct 				
	and use of	 Influence and 	translation of				
	resources and	motivate project	policy into				
	make needed	team to deliver	workable				
	adjustments to	exceptional results	actions plans				
	timelines, steps,	 Monitor policy 	 Ensures that 				
1476	and resource allocation	implementation and	programs are				
(3.54L)	anocation	apply procedures to	monitored to				
	1 1177	manage risks	track progress				
			and optimal				
			resource				
			utilisation, and				
			that adjustments are made as				
			needed				
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Cluster	Leading Competenci	es			
Competency Name	Financial Management				
Competency Definition	Able to compile, plan and manage budgets, control cash flow, institute financial risk management and administer procurement processes in accordance with recognised financial practices. Further to ensure that all financial transactions are managed in an ethical manner				
		NT LEVELS			
BASIC	COMPETENT	ADVANCED	SUPERIOR		
financial concepts and methods as they relate to institutional processes and activities Display awareness into the various sources of financial data, reporting mechanisms, financial governance, processes and systems Understand the importance of financial accountability Understand the importance of asset control	Exhibit knowledge of general financial concepts, planning, budgeting, and forecasting and how they interrelate Assess, identify and manage financial risks Assume a costsaving approach to financial management Prepare financial reports based on specified formats Consider and understand the financial implications of decisions and suggestions Ensure that delegation and instructions as required by National Treasury guidelines are reviewed and updated Identify and implement proper monitoring and evaluation practices to ensure appropriate spending against budget	Take active ownership of planning, budgeting, and forecast processes and provides credible answers to queries within own responsibility Prepare budgets that are aligned to the strategic objectives of the institution Address complex budgeting and financial management concerns Put systems and processes in place to enhance the quality and integrity of financial management practices Advise on policies and procedures regarding asset control Promote National Treasury's regulatory framework for Financial Management	Develop planning tools to assist in evaluating and monitoring future expenditure trends Set budget frameworks for the institution Set strategic direction for the institution on expenditure and other financial processes Build and nurture partnerships to improve financial management and achieve financial savings Actively identify and implement new methods to improve asset control Display professionalism in dealing with financial data and processes		

MIN DE

Cluster	Leading Competencies		
Competency Name	Change Leadership	to institutional transfer	
Competency Definition	order to successfully	te institutional transformat drive and implement no	ion on all levels in
	deliver professional and	quality services to the co	mmunity
	ACHIEVEMENT	LEVELS	- Tantana)
BASIC	COMPETENT	ADVANCED	SUPERIOR
BASIC Display an awareness of change interventions, and the benefits of transformation initiatives Able to identify basic needs for change Identify gaps between the current and desired state Identify potential risk and challenges to transformation, including resistance to change factors Participate in change programs and piloting change interventions Understand the impact of change interventions on the institution within the broader scope of Llocal Ggovernment.	Perform an analysis of the change impact on the social, political and economic environment Maintain calm and focus during change Able to assist team members during change and keep them focused on the deliverables Volunteer to lead change efforts outside of own work team Able to gain buy-in and approval for change from relevant stakeholders Identify change readiness levels and assist in resolving resistance to change factors Design change interventions that are aligned with the institution's strategic objectives and goals	ADVANCED Actively monitor change impact and results and convey progress to relevant stakeholders Secure buy-in and sponsorship for change initiatives Continuously evaluate change strategy and design and introduce new approaches to enhance the institution's effectiveness Build and nurture relationships with various stakeholders to establish strategic alliance in facilitating change Take the lead in impactful change programs Benchmark change interventions against best change practices Understand the impact and psychology of change, and put remedial interventions in place to facilitate effective transformation Take calculated risk and seek new ideas from best practice scenarios, and identify the potential for implementation	SUPERIOR Sponsor change agents and create a network of change leaders who support the interventions Actively adapt current structures and processes to incorporate the change interventions Mentor and guide team members on the effects of change, resistance factors and how to integrate change Motivate and inspire others around change initiatives

Cl VI

Cluster		Leading Compe	ten	ries		
Competency Name		Governance Leadership				
Competency Definition		Able to promote, direct and apply professionalism in managing risk and compliance requirements and apply a thorough understanding of governance practices and obligations. Further, able to direct the conceptualisation of relevant policies and enhance cooperative governance relationships				
		ACHIEVE	M	ENT LEVELS		
BASIC	(OMPETENT	T	ADVANCED	T	SUPERIOR
Display a basic awareness of risk, compliance and governance factors but require guidance and development in implementing such requirements Understand the structure of cooperative government but requires guidance on fostering workable relationships between stakeholders Provide input into policy formulation	the unit of the control of the contr	display a decrough inderstanding of covernance and sk and compliance cotors and inplement plans address these emonstrate emonstrate inderstanding of e techniques and processes for obtimising risk king decisions within the stitution cotively drive oblicy formulation within the stitution to insure the chievement of objectives	0 0	Able to link risk initiatives into key institutional objectives and drivers Identify, analyse and measure risk, create valid risk forecasts, and map risk profiles Apply risk control methodology and approaches to prevent and reduce risk that impede on the achievement of institutional objectives Demonstrate a thorough understanding of risk retention plans Identify and implement comprehensive risk management systems and processes Implement and monitor the formulation of policies, identify and analyse constraints and challenges with implementation and provide recommendations for improvement		Demonstrate a high level of commitment in complying with governance requirements Implement governance and compliance strategy to ensure achievement of institutional objectives within the legislative framework Able to advise Local Government on risk management strategies, best practice interventions and compliance management Able to forge positive relationships on cooperative governance level to enhance the effectiveness of Llocal government Able to shape, direct and drive the formulation of policies on a macro level

OK MY

Cluster	Core Competencies			
Competency Name	Moral Competence			
Competency Definition	and integrity and competence	al triggers, apply reasoning the onsistently display behaviour	at promotes honesty that reflects moral	
54610		MENT LEVELS		
BASIC	COMPETENT	ADVANCED	SUPERIOR	
 Realise the impact of acting with integrity, but requires guidance and development in implementing principles Follow the basic rules and regulations of the institution Able to identify basic moral situations, but requires guidance and development in understanding and reasoning with moral intent 	 Conduct self in alignment with the values of Local Government and the institution Able to openly admit own mistakes and weaknesses and seek assistance from others when unable to deliver Actively report fraudulent activity and corruption within local government Understand and honour the confidential nature of matters without seeking personal gain Able to deal with situations of conflict of interest promptly and in the best interest of local government 	Identify, develop, and apply measures of self-correction Able to gain trust and respect through aligning actions with commitments Make proposals and recommendations that are transparent and gain the approval of relevant stakeholders Present values, beliefs and ideas that are congruent with the institution's rules and regulations Takes an active stance against corruption and dishonesty when noted Actively promote the value of the institution to internal and external stakeholders Able to work in unity with a team and not seek personal gain Apply universal moral principles consistently to achieve moral decisions	Create an environment conducive of moral practices Actively develop and implement measures to combat fraud and corruption Set integrity standards and shared accountability measures across the institution to support the objectives of local government Take responsibility for own actions and decisions, even if the consequences are unfavourable	

WM ST

Cluster	Core Competencies		
Competency Name	Planning and Organis	ing	
Competency Definition	Able to plan, prioritise to ensure the quality or plans to manage risk	and organise information a of service delivery and build	nd resources effective efficient contingency
DAGIO		MENT LEVELS	
BASIC	COMPETENT	ADVANCED	SUPERIOR
objectives are met Focus on short-term objectives in developing plans and	Actively and appropriately organise information and resources required for a task Recognise the urgency and importance of tasks Balance short and long-term plans and goals and incorporate into the team's performance objectives Schedule tasks to ensure they are performed within budget and with efficient use of time and resources Measures progress and monitor performance results	Able to define institutional objectives, develop comprehensive plans, integrate and coordinate activities, and assign appropriate resources for successful implementation Identify in advance required stages and actions to complete tasks and projects Schedule realistic timelines, objectives and milestones for tasks and projects Produce clear, detailed and comprehensive plans to achieve institutional objectives Identify possible risk factors and design and implement appropriate contingency plans Adapt plans in light of changing circumstances Prioritise tasks and projects according to their relevant urgency and importance	Focus on broad strategies and initiatives when developing plans and actions Able to project and forecast short, medium and long term requirements of the institution and local government Translate policy into relevant projects to facilitate the achievement of institutional objectives

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Cl M

Cluster		Core Competencies			
Competency Name		Analysis and Innovation			
Competency Definition		Able to critically analyse information, challenges and trends to establish and implement fact-based solutions that are innovative to improve institutional processes in order to achieve key strategic objectives			
			WENT LEVELS		
BASIC	-	COMPETENT	ADVANCED SUPERIOR		
Understand the basic operation of analysis, but lack detail and thoroughness Able to balance independent analysis with requesting assistance from others Recommend new ways to perform tasks within own function Propose simple remedial interventions that marginally challenges the status quo Listen to the ideas and perspectives of others and explore opportunities to enhance such innovative thinking	protect approved appr	emonstrate logical oblem solving chniques and proaches and ovide rationale for commendations monstrate electivity, insight, di thoroughness en analysing oblems le to break down mplex problems or manageable rist and identify utions insult internal and ernal electivities to prove processes di service delivery early municate the nefits of new portunities and ovative solutions stakeholders intimuously identify portunities to processes intimuously identify portunities to processes intimuously identify portunities to proache internal elections and pose remedial ervention	Coaches team members on analytical and innovative approaches and techniques Engage with appropriate individuals in analysing and resolving complex problems Identify solutions on various areas in the institution Formulate and implement new ideas throughout the institution Able to gain approval and buyin for proposed interventions from relevant stakeholders Identify trends and best practices in process and service delivery and propose institutional application Continuously engage in research to identify client needs	Demonstrate complex analytical and problem solving approaches and techniques Create an environment conducive to analytical and fact-based problem-solving Analyse, recommend solutions and monitor trends in key challenges to prevent and manage occurrence Create an environment that fosters innovative thinking and follows a learning organisation approach Be a thought leader on innovative customer service delivery, and process optimisation Play an active role in sharing best practice solutions and engage in national and international local government seminars and conferences	

CF M

Cluster	Core Compete	ncies			
Competency Nan	ne Knowledge an	Knowledge and Information Management			
Competency Defini	Able to promo information the enhance the co	Able to promote the generation and sharing of knowledge and information through various processes and media, in order to enhance the collective knowledge base of local government ACHIEVEMENT LEVELS			
BASIC	COMPETENT	ADVANCED	SUPERIOR		
Collect, categorise and track relevant information required for specific tasks and projects Analyse and interpret information to draw conclusions Seek new sources of information to increase the knowledge base Regularly share information and knowledge with internal stakeholders and team members	Use appropriate information systems and technology to manage institutional knowledge and information sharing Evaluate data from various sources and use information effectively to influence decisions and provide solutions Actively create mechanisms and structures for sharing of information Use external and internal resources to research and provide relevant and cutting-edge knowledge to enhance institutional effectiveness and efficiency	Effectively predict future information and knowledge management requirements and systems Develop standards and processes to meet future knowledge management needs Share and promote best-practice knowledge management across various institutions Establish accurate measures and monitoring systems for knowledge and information management Create a culture conducive of learning and knowledge sharing Hold regular	Create and support a vision and culture where team members are empowered to seek, gain and share knowledge and information Establish partnerships across local government to facilitate knowledge management Demonstrate a mature approach to knowledge and information sharing with an abundance and assistance approach Recognise and exploit knowledge points in interactions with internal and external stakeholders		

OF M

	Core Competenci	65			
Competency Manie	Communication	-			
	Able to share information, knowledge and ideas in a clear,				
Competency	focused and are	normation, knowledge an	d ideas in a clear,		
Definition	order to effectively	cise manner appropriate	for the audience in		
	order to enective	y convey, persuade and in	fluence stakeholders		
to achieve the desired outcome ACHIEVEMENT LEVELS					
BASIC					
	COMPETENT	ADVANCED	SUPERIOR		
understanding for communication levers and tools appropriate for the audience, but requires guidance in utilising such tools Express ideas in a clear and focused manner, but does not always take the needs of the audience into consideration Disseminate and convey information and knowledge adequately	Express ideas to individuals and groups in formal and informal settings in an manner that is interesting and motivating Able to understand, tolerate and appreciate diverse perspectives, attitudes and beliefs Adapt communication content and style to suit the audience and facilitate optimal information transfer Deliver content in a manner that gains support, commitment and agreement from relevant stakeholders Compile clear, focused, concise and well-structured written documents	Effectively communicate high-risk and sensitive matters to relevant stakeholders Develop a well-defined communication strategy Balance political perspectives with institutional needs when communicating viewpoints on complex issues Able to effectively direct negotiations around complex matters and arrive at a win-win situation that promotes Batho Pele principles Market and promote the institution to external stakeholders and seek to enhance a positive image of the institution Able to communicate with the media with high levels of moral competence and discipline	Regarded as a specialist in negotiations and representing the institution Able to inspire and motivate others through positive communication that is impactful and relevant Creates an environment conducive to transparent and productive communication and critical and appreciative conversations Able to coordinate negotiations at different levels within local government and externally		

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Cluster	Corn Comments					
Competency Nar		Core Competencies				
-onipetoncy Hal		Results and Quality Focus				
	results and of	Able to maintain high quality standards, focus on achieving				
Competency Defin	ition expectations a	results and objectives while consistently striving to exceed				
		expectations and encourage others to meet quality standards. Further, to actively monitor and measure results and quality				
	against identifie	against identified objectives				
NO. OF THE PARTY O	ACHIEVE	MENT LEVELS				
BASIC	COMPETENT	ADVANCED	SUPERIOR			
 Understand 	 Focus on high- 	 Consistently verify 	Coach and			
quality of work	priority actions	own standards	guide others to			
but requires	and does not	and outcomes to	exceed quality			
guidance in	become	ensure quality	standards and			
attending to important	distracted by	output	results			
matters	lower-priority activities	Focus on the end	Develop			
Show a basic	Display firm	result and avoids	challenging,			
commitment to	commitment	being distracted	client-focused			
achieving the	and pride in	Demonstrate a determined and	goals and sets			
correct results	achieving the	committed	high standards			
 Produce the 	correct results	approach to	for personal performance			
minimum level	 Set quality 	achieving results	Commit to			
of results	standards and	and quality	exceed the			
required in the	design	standards	results and			
role	processes and	Follow task and	quality standards.			
 Produce 	tasks around	projects through	monitor own			
outcomes that	achieving set	to completion	performance and			
is of a good standard	standards	 Set challenging 	implement			
Focus on the	Produce output	goals and	remedial			
quantity of	of high quality Able to balance	objectives to self	interventions			
output but	the quantity and	and team and	when required			
requires	quality of results	display commitment to	Work with team			
development in	in order to	achieving	to set ambitious and challenging			
incorporating	achieve	expectations	team goals,			
the quality of	objectives	Maintain a focus	communicating			
work	 Monitors 	on quality outputs	long- and short-			
 Produce quality 	progress, quality	when placed	term expectations			
work in general	of work, and use	under pressure	 Take appropriate 			
circumstances,	of resources;	 Establishing 	risks to			
but fails to meet	provide status	institutional	accomplish goals			
expectation when under	updates, and make	systems for	 Overcome 			
pressure	maке adjustments as	managing and	setbacks and			
p. 000010	needed	assigning work,	adjust action			
	House	defining	plans to realise			
		responsibilities, tracking,	goals Focus people on			
		monitoring and	critical activities			
		measuring	that yield a high			
		success,	impact			
		evaluating and				
		valuing the work				
		of the institution				

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