

PERFORMANCE AGREEMENT

MADE AND ENTERED into by and between

CAPE WINELANDS DISTRICT MUNICIPALITY

(hereinafter referred to as "the Employer") as represented by Michael Mgajo in his capacity as Municipal Manager, duly authorized thereto in terms of section 57(2)(c) of the Local Government: Municipal Systems Act, 2000 (Act No. 32 of 2000);

AND

FIONA ABEGAIL DU RAAN-GROENEWALD (Identity Number: 750218 0070 086)

(hereinafter referred to as "the Employee") in his/her capacity as Chief Financial Officer.

2/PREAMBLE

PREAMBLE

WHEREAS the Employer has entered into a Contract of Employment with the Employee in terms of section 56(1)(a) of the Local Government: Municipal Systems Act, 2000 (Act No. 32 of 2000);

AND WHEREAS section 57(1)(b) of the Act, read with the Contract of Employment concluded between the Parties, requires them to conclude an annual Performance Agreement;

AND WHEREAS the Parties wish to ensure that there is compliance with sections 57(4)(a), (57(4)(c) and 57(5) of the Act, that they are clear about the goals to be achieved and secure the commitment of the Employee to a set of outcomes that will secure local government policy goals;

NOW THEREFORE THE PARTIES AGREE AS FOLLOWS:

1. DEFINITIONS

- 1.1 "the Act" shall mean the Local Government: Municipal Systems Act, 2000 (Act No. 32 of 2000);
- 1.2 "the Parties" shall mean the Employer and the Employee;
- 1.3 "KPA or KPA's" shall mean key performance area(s);
- 1.4 "KPI or KPI's" shall mean key performance indicator(s);
- 1.5 "competency framework" shall mean leading and core competencies as contained in regulation 3 of Annexure "A" of the Local Government: Regulations on Appointment and Conditions of Employment of Senior Managers;

3/1.6 "PA...

- 1.6 "PA" shall mean this Performance Agreement;
- 1.7 "PP" shall mean the Performance Plan attached as **Annexure** "**A**" to this Agreement, which shall be regarded as having been incorporated into the Agreement by reference;
- 1.8 "PDP" shall mean the Personal Development Plan attached as Annexure "B" to this Agreement, which shall be regarded as having been incorporated into the Agreement by reference; and
- 1.9 "Regulations" shall mean the Local Government: Municipal Systems Act, 2000 (Act No. 32 of 2000): Local Government: Regulations on Appointment and Conditions of Employment of Senior Managers, 2014.

2. PURPOSE OF AGREEMENT

The purpose of this Agreement is to -

- 2.1 comply with the provisions of section 57(1)(b), 57(4)(a), 57(4)(c) and 57(5) of the Act as well as the Contract of Employment entered into between the Parties;
- specify objectives and targets defined and agreed with the Employee and to communicate to the Employee the Employer's expectations of the Employee's performance and accountabilities in alignment with the Integrated Development Plan, Service Delivery and Budget Implementation Plan and the Budget of the Municipality;
- 2.3 specify accountabilities as set out in the PP, which forms Annexure "A" to this Agreement;
- 2.4 monitor and measure performance against set targeted outputs;

- 2.5 use the Agreement as the basis for assessing whether the Employee has met the performance expectations applicable to his/her job;
- 2.6 in the event of outstanding performance, to appropriately reward the Employee; and
- 2.7 give effect to the Employer's commitment to a performance-orientated relationship with its Employee in attaining equitable and improved service delivery.

3. COMMENCEMENT AND DURATION

- This Agreement will commence on **01 July 2017** and will remain in force until **30 June 2018**, where after a new PA, PP and PDP shall be concluded between the Parties for the next financial year or any portion thereof.
- 3.2 The Parties shall review the provisions of this Agreement during June each year.
- 3.3 The Parties will conclude a new PA, PP and/or PDP that replaces this Agreement at least once a year by not later than the beginning of each successive financial year.
- 3.4 This Agreement will terminate on the termination of the Employee's Contract of Employment for any reason.
- 3.5 The content of this Agreement may be revised at any time during the period specified in clause 3.1 above, to determine the applicability of the matters agreed upon.

... N &

3.6 If at any time during the validity of this Agreement the work environment alters (whether as a result of Government or Council decisions or otherwise) to the extent that the contents of this Agreement are no longer appropriate, the contents shall immediately be revised.

4. PERFORMANCE OBJECTIVES

- 4.1 The PP sets out -
- 4.1.1 The performance objectives and targets that must be met by the Employee; and
- 4.1.2 The time frames within which those performance objectives and targets must be met.
- 4.2 The performance objectives and targets reflected in the PP are set by the Employer in consultation with the Employee and based on the Integrated Development Plan, Service Delivery and Budget Implementation Plan and the Budget of the Employer, and shall include key objectives, key performance indicators, target dates and weightings.
- 4.2.1 The key objectives describe the main tasks that need to be performed or executed.
- 4.2.2 The KPI's and means of verification provide the details of the evidence that must be provided to show that a key objective has been achieved.
- 4.2.3 The target dates describe the timeframe within which the work must be achieved.

6/4.2.4 The ...

- 4.2.4 The weightings show the relative importance of the key objectives to each other.
- 4.3 The Employee's performance will, in addition, be measured in terms of contributions to the goals and strategies set out in the Employer's Integrated Development Plan.

5. PERFORMANCE MANAGEMENT SYSTEM

- 5.1 The Employee agrees to participate in the performance management system of the Municipality, management and staff of the Employer.
- The Employee accepts that the purpose of the performance management system will be to provide a comprehensive system with specific performance standards to assist the Municipality, management and staff to perform to the standards required.
- 5.3 The Employer shall consult the Employee about the specific performance standards that will be included in the performance management system as applicable to the Employee.
- The Employee undertakes to actively focus towards the promotion and implementation of the KPA's (including special projects relevant to the Employee's responsibilities) within the local government framework.
- The criteria upon which the performance of the Employee shall be assessed, shall consist of two components, both of which shall be contained in the Agreement.
- 5.5.1 The Employee must be assessed against both components, with a weighting of 80:20 allocated to the KPA's and the competency framework respectively.

7/5.5.2 Each ...

- 5.5.2 Each area of assessment will be weighted and will contribute a specific part to the total score.
- 5.5.3 KPA's covering the main areas of work will account for 80% (eighty percent) and competency framework will account for 20% (twenty percent) of the final assessment.
- The Employee's assessment will be based on his/her performance in terms of the outputs/outcomes (KPI's) identified in the Performance Plan, which are linked to the KPA's, and will constitute 80% (eighty percent) of the overall assessment result as per the weightings agreed to between the Employer and Employee:

Weighting		
27%		
0%		
0%		
54%		
19% 100%		

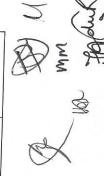
- 5.7 The KPA's related to the functional area of the Employee shall be subject to negotiation between the municipal manager and the Employee.
- 5.8 The competency framework will make up the other 20% (twenty percent) of the Employee's assessment score.
- 5.9 Compulsory competencies are listed as follows:

COMPETENCIES

The competencies required from employees prescribed in the Regulations on the appointment and conditions of employment of senior managers, R21 of 2014. The assessment of these competencies will account for **twenty percent** of the total employee assessment score.

Annexure C describes the different achievement levels for each Competency and should therefore form part of this section of the Performance Plan.

Competence		
Competency	Definition	
	LEADING COMPETENCIES	Weight
	Provide and direct a vision for the institution, and inspire and deploy others to deliver on the strategic institutional mandate. It includes:	
Strategic direction and leadership	Impact and influence	
	Institutional performance management	167
	Strategic planning and management	70.1
	Organisational awareness	
	Effectively manage, inspire and encourage people, respect diversity, optimise talent and build and nurture relationships in order to achieve institutional objectives. It includes:	
People management	Human capital planning and development	
	Diversity management	
	Employee relations management	1.6/
	Negotiation and dispute management	
	1	
C	activities in order to deliver on set objectives. It includes:	
Programme and project management	 Program and project planning and implementation 	
	Service delivery management	1.67
	 Program and project monitoring and evaluation 	
	Able to compile, plan and manage budgets, control cash flow, institute financial risk management and administer procurement processes in accordance with recognised financial practices. Further to ensure that all financial transactions	
indicial indiagement	Budget planning and execution	
	 Financial strategy and delivery 	1.67
	 Financial reporting and delivery 	



Competency			
	Definition	Weight	
	LEADING COMPETENCIES (continue)		
Change leadership	Able to direct and initiate transformation on all levels in order to successfully drive and implement new initiatives and deliver professional and quality services to the community. It includes: • Change vision and strategy		T -
	Process design and improvement Change impact monitoring and outlined.	1.67	
	Ahlo to come of the control of the c		
Governance leadership	Able to promote, direct and apply professionalism in managing risk and compliance requirements and apply a thorough understanding of governance practices and obligations. Further, able to direct the conceptualisation of relevant policies and enhance cooperative governance relationships. It includes:		
	 Policy formulation 	1	
	Risk and compliance management Cooperative governance	1.67	
	CORE COMPETENCIES		
Moral competence	Able to identify moral triggers, apply reasoning that promotes honesty and integrity and display between		
Diamina paga paina	Aple to also suite in the reflects	1.67	
railiilig alld organising	build efficient contingency plans to manage risk.	7.00	
Analysis and innovation	Able to critically analyse information, challenges and trends to establish and implement fact-based solutions that are innovative to improve institutional processes.	10.1	
Knowledge and information	Able to promote the generation and sharing of transfer in the promote the generation and sharing of transfer in the promote the generation and sharing of transfer in the promote the generation and sharing of transfer in the generation and sharing of the generation and sharing	1.67	
management	to enhance the collective knowledge base of local government	167	
Communication	Able to share information, knowledge and ideas in a clear, focused and concise manner appropriate for the audience in order to effectively convey, persuade and influence stakeholders to achieve the decired out to achieve the decired out to be a subject to achieve the decired out to	2 2	
Results and quality focus	Able to maintain high quality standards, focus on achieving results and objections.	1.07	
Social diamity locals	expectations and encourage others to meet quality standards. Further, to actively monitor and measure results and quality against identified objectives.	1.67	
	TOTAL	20	
	10/6. EVALUATING	ATING	
		8	2
		MM The	- Car
		7	フ

6. EVALUATING PERFORMANCE

- 6.1 The PP attached as **Annexure "A"** to this Agreement, sets out –
- 6.1.1 The standards and procedures for evaluating the Employee's performance; and
- 6.1.2 The intervals for the evaluation of the Employee's performance.
- Despite the establishment of agreed intervals for evaluation, the Employer may in addition review the Employee's performance at any stage while the Contract of Employment remains in force.
- Personal growth and development needs identified during any performance review discussion shall be documented in a PDP as well as the actions agreed to and implementation must take place within set time frames.
- The Employee's performance shall be measured in terms of contributions to the goals and strategies set out in the Employer's Integrated Development Plan.
- 6.5 The annual performance appraisal shall involve:

6.5.1 Assessment of the achievement of results as outlined in the PP

(a) Each KPA shall be assessed according to the extent to which the specified standards or KPI'S have been met and with due regard to ad hoc tasks that had to be performed under the KPA's.

11/(b) An ...

M M M

- (b) An indicative rating on the 5 (five) point scale should be provided for each KPA.
- (c) The applicable assessment rating calculator (refer to clause 6.5.3 below) must be used to add the scores and calculate a final KPA score.

6.5.2 Assessment of the Competency Framework

- Each competency should be assessed according to the extent to which the (a) specified standards have been met.
- (b) An indicative rating on the 5 (five) point scale shall be provided for each competency.
- This rating shall be multiplied by the weighting given to each competency (c) during the contracting process to provide a score.
- (d) The applicable assessment rating calculator (refer to clause 6.5.1) shall be used to add the scores and calculate a final competency framework score.

6.5.3 Overall rating

An overall rating is calculated by using the applicable assessment-rating calculator, which shall represent the outcome of the performance appraisal.

The assessment of the performance of the Employee will be based on the (a) following rating scale for KPA's and the competency framework:

12/Level

Level	Terminology	Description		R	atin	g	
		Description	1	2	3	4	5
5	Outstanding performance	Performance far exceeds the standard expected of an employee at this level. The appraisal indicates that the Employee has achieved above fully effective results against all performance criteria and indicators as specified in the PA and PDP and maintained this in all areas of responsibility throughout the year.					
4	Performance significantly above expectations	Performance is significantly higher than the standard expected in the job. The appraisal indicates that the Employee has achieved above fully effective results against more that half of the performance criteria and indicators and fully achieved all others throughout the year.					
3	Fully effective	Performance fully meets the standards expected in all areas of the job. The appraisal indicates that the Employee has fully achieved effective results against all significant performance criteria and indicators as specified in the PA and PDP.					
2	Performance not fully effective	Performance is below the standard required for the job in key areas. Performance meets some of the standards expected for the job. The review/assessment indicates that the Employee has achieved below fully effective results against more than half the key performance criteria and indicators as specified in the PA and PDP.					
		Performance does not meet the standard expected for the job. The review/assessment indicates that the Employee has achieved below fully effective results against almost all of the performance criteria and indicators as specified in the PA and PDP. The Employee has failed to demonstrate the commitment or ability to bring performance up to the level expected in the job, despite management efforts to encourage improvements.					

N

- (b) For purposes of evaluating the annual performance of the Employee, an evaluation panel constituted of the following persons must be established -
 - (i) Municipal Manager;
 - (ii) Chairperson of the Performance Audit Committee or the Chairperson or designated performance management specialist of the Audit Committee in the absence of a Performance Audit Committee;
 - (iii) Member of the Mayoral Committee;
 - (iv) Member of a ward committee as nominated by the Executive Mayor;and
 - (v) Municipal Manager from another Municipality.
- (c) The manager responsible for human resources of the municipality must provide secretariat services to the evaluation panel referred to in subclause 6.5.3(b).

7. SCHEDULE FOR PERFORMANCE REVIEWS

7.1 The performance of the Employee in relation to his/her PA shall be reviewed on the following dates, with the understanding that the 3rd (third) quarter review may be verbal if performance is satisfactory:

First quarter:

July - September

Before end October 2017

Second quarter:

October - December

Before end January 2018

Third quarter:

January - March

Before end April 2018

Fourth quarter:

April – June

Before end July 2018

7.2 The Employer shall keep a record of the mid-year review and annual assessment meetings.

14/7.3 Performance ...

M MA

- 7.3 Performance feedback shall be based on the Employer's assessment of the Employee's performance.
- 7.4 The Employer shall be entitled to review and make reasonable changes to the provisions of the PP from time to time for operational reasons, subject thereto that the Employee shall be fully consulted before any such change is made.
- 7.5 The Employer may amend the provisions of the PP whenever a performance management system is adopted, implemented and/or amended by the Municipality, as the case may be, subject thereto that the Employee will be fully consulted before any such change is made.

8. DEVELOPMENTAL REQUIREMENTS

The Personal Development Plan for addressing developmental gaps, is attached as **Annexure "B"** and shall form part of this Agreement.

9. OBLIGATIONS OF THE EMPLOYER

- 9.1 The Employer shall –
- 9.1.1 create an enabling environment to facilitate effective performance by the Employee;
- 9.1.2 provide access to skills development and capacity building opportunities;
- 9.1.3 work collaboratively with the Employee to solve problems and generate solutions to common problems that may impact on the performance of the Employee;

15/9.1.4 on ...

- 9.1.4 on the request of the Employee, delegate such powers reasonably required by the Employee to enable him/her to meet the performance objectives and targets established in terms of this Agreement; and
- 9.1.5 make available to the Employee such resources as the Employee may reasonably require from time to time to assist him/her to meet the performance objectives and targets established in terms of this Agreement.

10. CONSULTATION

- 10.1 The Employer agrees to consult the Employee timeously where the exercising of the powers will have, amongst others -
- 10.1.1 a direct effect on the performance of any of the Employee's functions;
- 10.1.2 commit the Employee to implement or to give effect to (a) decision(s) taken by the Employer; and
- 10.1.3 a substantial financial effect on the Employer.
- The Employer agrees to inform the Employee of the outcome of any decisions taken pursuant to the exercise of powers contemplated in clause 10.1 as soon as is practicable to enable the Employee to take any necessary action without delay.

11. MANAGEMENT OF EVALUATION OUTCOMES

11.1 The evaluation of the Employee's performance shall form the basis for rewarding outstanding performance or correcting unacceptable performance.

16/11.2 A ...

- 11.2 A performance bonus ranging from 5% (five percent) to 14% (fourteen percent) of the all-inclusive annual remuneration package may be paid to the Employee in recognition of outstanding performance, subject thereto that, in determining the performance bonus, the relevant percentage is based on the overall rating calculated by using the applicable assessment-rating calculator; provided that -
 - A score of 130% (one hundred and thirty percent) to 149% (one hundred and forty nine percent) is awarded a performance bonus ranging from 5% (five percent) to 9% (nine percent); and
 - A score of 150% (one hundred and fifty percent) and above is awarded a performance bonus ranging from 10% (ten percent) to 14% (fourteen percent).
- In the case of unacceptable performance, the Employer shall provide systematic remedial or developmental support to assist the Employee to improve his/her performance; and
- After appropriate performance counselling and having provided the necessary guidance and/or support as well as reasonable time for improvement in performance, and performance does not improve, the Employer may consider steps to terminate the contract of employment of the Employee on grounds of unfitness or incapacity to carry out his/ her duties.

17/12 DISPUTE...

12. DISPUTE RESOLUTION

12.1 DISPUTES ON PERFORMANCE AGREEMENT

Any disputes about the nature of the Performance Agreement, whether it relates to key responsibilities, priorities, methods of assessment and/or any other matter provided for, shall be mediated by a member of the Municipal Council: Provided that such member was not part of the evaluation panel provided for in sub-regulation 27(4)(e) of the Regulations, within 30 (thirty) days of receipt of a formal dispute from the Employee, whose decision shall be final and binding on both Parties.

12.2 DISPUTES ON OUTCOME OF PERFORMANCE EVALUATION

Any disputes about the outcome of the Employee's performance evaluation must be mediated by a member of the Municipal Council: Provided that such member was not part of the evaluation panel provided for in sub-regulation 27(4)(e), within 30 (thirty) days of receipt of a formal dispute from the Employee, whose decision shall be final and binding on both Parties.

13. GENERAL

13.1 The contents of this Agreement shall be made available to the public by the Employer.

17/13.2 Nothing ...



- 13.2 Nothing in this Agreement diminishes the obligations, duties or accountabilities of the Employee in terms of his/her Contract of Employment, or the effects of existing or new regulations, circulars, policies, directives or other instruments.
- 13.3 The performance assessment results of the Employee shall be submitted to the Minister responsible for local government in the Province of the Western Cape as well as the National Minister for local government within 14 (fourteen) days after conclusion of the assessment.

THUS DONE AND SIGNED AT Worcester ON THIS THE DAY OF
June 2017 IN THE PRESENCE OF THE UNDERSIGNED
WITNESSES:

1.

2. Dane

FA DU RAAN-GROENEWALD

1

2.

M MGAJO

ANNEXURE A - 2017/2018 Key Performance Indicators CHIEF FINANCIAL OFFICER - FA DURAAN-GROENEWALD

	9	ON LES LES NO	National KPA	Key Performance Indicator (KPI)	Unit of measurement	Baseline			민			MAY STATE OF
	ю		Municipal Financial Viability and Management	Effective management and supervision of Budget & Treasury Office	90% of the KPI's of the Sub-directorate have been met as calculated		و 90%	90%	80%	90%	90%	Weight (%)
7	т		Good Governance and Public Participation	Effective management and supervision of Administrative Support Services	90% of the KPI's of the Sub-directorate have been met as calculated	New KPI	%06	%06 %06 %06	%06	%06	%06	7
т	ю		Municipal Transformation and Institutional Development	Effective management and supervision of Human Resources	90% of the KPI's of the Sub-directorate have been met as calculated	New KPI	%06	%06	%06	%06	%06	7
	т		Municipal Transformation and Institutional Development	Where applicable, maintain or improve the employment equity status by 30 June 2018, within the directorate, in line with the Municipality's approved employment equity plan	Number of people appointed throughout the whole directorate, to be in line with approved employment equity plan	New KPI	0	0	0	-		
	ю		Municipal Financial Viability and Management	The percentage of the directorate's capital budget actually spent by 30 June 2018 {{Actual amount spent on projects / Total amount budgeted for capital projects)X100}	% of the directorate's capital budget actually spent on capital items as per budget	%96	%0	30%	30%	%96	95%	
	ю		Municipal Financial Viability and Management	Financial viability measured in terms of the available cash to cover fixed operating expenditure as at 30 June 2018 (Available cash+ investments)/ Monthly fixed operating expenditure)	Number of months it takes to cover fix operating expenditure with available cash	New KPI	0	0	0	ю	n	φ
	ю		Municipal Transformation and Institutional Development	All existing directorate staff (as required by the MFMA) enrolled to complete MMCL (by signing an SLA or confirmation from staff member that he/she will not enroll)	% compliance	New KPI	0	0	0	100%	100%	4



		- %			Τ			T		T	T			
		Annual Target Weight (%)				2	ĸ		5	5	2	2		u
	Figure	Amina larget	%96			_	-		-			2		4
		9	95%		c	,	0		0	0		0	1	_
	Targets	Q 3	%96		-	-	~		0	0	,	_	1	-
	Ta	Ø2	95%		0		0		0	_		>		-
		ğ	%56		0		0		-	0	,	-		
	Baseline		New KPI		New KPI		New KPI	ION WON	New AFI	New KPI	Now			New KPI
	Unit of measurement		% targets achieved	Droft compatibilities	Dian consolidated budget submitted to Council	Adination of the form of the first of the fi	regressive in budget submitted to council	Financial Statements submitted to the	No findings gracified to the	by AG	Report to Mayor twice annually			Quarterly LLF Meetings
	Key Performance Indicator (KPI)		Achievement of quarterly organisational KPI's on SDBIP	Submit the draft consolidated budget to council for approval	by end March 2018	and Management Submit the adjustments budget for approval to council by end	Compile and submit the annual financial control	Auditor-General by 31 Applies		November/December	Projected Three Year Forecast on financial viability			Quanerly LLF Meetings
	National KPA		Good Governance and Public Participation	Municipal Financial Viability	Municipal Financial Viakility	and Management	Municipal Financial Viability	and Management	Municipal Financial Viability	arid Management	Municipal Financial Viability and Management	Minipal Transferred	and Institutional	Development
DMC Dof No	ON IS VEI NO													
Ç,	3		8	m	0	7)	ო		ო		m	\dagger	ო	\dashv
ž			ω	6	5	2	1		12		5		4	-
					-			_		_		1		- 1

100

MAN BOND OF THE PORT OF THE PO

ANNEXURE B

DEVELOPMENT PLAN 2017/18: FA DU RAAN-GROENEWALD Chief Financial Officer (Financial and Stratogic Support Control of Chief Financial Officer (Financial and Stratogic Support Control of Chief Financial Officer (Financial and Stratogic Support Control of Chief Financial Officer (Financial and Stratogic Support Chief Financial Chief Financial and Stratogic Support Chief Financial Officer (Financial and Stratogic Support Chief Financial Chief Fina	And a company of a	Suggested Training and / or	activity and mode of delivery Frames/ completion Skill /	date Area Person	Attendance of relevant				
PERSONAL DEVELOPMENT PI Chief Financial Officer		Skill required / Outcomes Experted (what	will I achieve)	L	Keep abreast of accounting	Development principles, best practice, etc.			
-		Skill r	ate of Entry perfor	Con	1 July 2017 Prof	Deve			



"Annexure C"

Competency Framework



Competency Descriptions

Way !

Cluster		Leading Competer	ncies				
Competency N	ame	People Manageme	ent				
Competency Def		Effectively manage, inspire and encourage people, respect diversity, optimise talent and build and nurture relationships in order to achieve institutional objectives ACHIEVEMENT LEVELS					
Participate in			ADVANCED	SUPERIOR			
team goal- setting and problem solving Interact and collaborate with people of diverse backgrounds Aware of guidelines for employee development, but requires support in implementing development initiatives	incre conti respondence Respondence Respondence the other the bappro Effect tasks other contre contre execution Apply emplo	ribution and consibility sect and support diverse nature of s and be aware of enefits of a diverse each tively delegate and empower s to increase ibution and the functions ally relevant open legislation and consistently tate team goal-g and problemg ively identify city requirements lifill the strategic	Identify ineffective team and work processes and recommend remedial interventions Recognise and reward effective and desired behaviour Provide mentoring and guidance to others in order to increase personal effectiveness Identify development and learning needs within the team Build a work environment conductive to sharing, innovation, ethical behaviour and professionalism Inspire a culture of performance excellence by giving positive and constructive feedback to the team Achieve agreement or consensus in adversarial environments Lead and unite diverse teams across divisions to achieve institutional objectives	Develop and incorporate best practice people management processes, approaches and tools across the institution Foster a culture of discipline, responsibility and accountability Understand the impact of diversity in performance and actively incorporate a diversity strategy in the institution Develop comprehensive integrated strategies and approaches to human capital development and management Actively identify trends and predict capacity requirements to facilitate unified transition and performance management			

A N

-	Cluster	Leading Competencies							
Cor	npetency Name	Program and Project Management							
	_	Able to understand program and project mana-	Comont weeth I - I						
Comp	etency Definition	Able to understand project management methodology; plan, manage, monitor and evaluate specific activities in order to							
		deliver on set objectives	conver on set objectives						
		ACHIEVEMENT LEVELS							
	BASIC	COMPETENT ADVANCED	01177711						
• Initi		e Establish	SUPERIOR						
afte	er approval from	stakeholder	 Understand and 						
	ner authorities	impolyoment!	conceptualise						
• Unc	derstand	communicate the and conflicts	the long-term						
prod	cedures of	project status and	implications of						
prog	gram and	key milestones institutional goals	desired project						
proj	ect	Define the roles	outcomes						
	nagement	and responsibilities management							
	hodology,	of the project team strategies through	comprehensive						
	lications and	and create clarity impact assessment	strategic macro						
	eholder	around and resource	and micro						
	vement	expectations requirements	analysis and						
	erstand the	Find a balance Modify project scope	scope projects accordingly to						
ratio	nal of projects	between project! and hudget when	accordingly to realise						
	elation to the	deadline and the required without	institutional						
	tution's	quality of compromising the	objectives						
	egic objectives	deliverables quality and	0						
Docu		 Identify appropriate objectives of the 	o Consider and initiate projects						
	municate	project resources to project	that focus on						
	ors and risk	facilitate the Involve top-level	achievement of						
	ciated with	effective authorities and	the long-term						
own		completion of the relevant	objectives						
	results and	deliverables stakeholders in	Influence people						
	oaches of	Comply with seeking project buy-	in positions of						
imple	essful project ementation as	statutory in	authority to						
guide		requirements and apply	implement						
guide		apply policies in a contemporary	outcomes of						
		consistent manner project management	projects						
			 Lead and direct 						
	1	and use of • Influence and	translation of						
		resources and motivate project	policy into						
	1	make needed team to deliver	workable						
		adjustments to exceptional results	actions plans						
	1		 Ensures that 						
	1	implementation and	programs are						
		apply procedures to	monitored to						
		manage risks	track progress						
			and optimal						
			resource						
			utilisation, and						
			that adjustments						
			are made as						
			needed						



Cluster	Leading Competend	Leading Competencies						
Competency Name	Financial Managem							
Competency Definiti	Able to compile, institute financial institute financial in processes in accordance.	Able to compile, plan and manage budgets, control cash flow institute financial risk management and administer procurement processes in accordance with recognised financial practices. Further to ensure that all financial transactions are managed in an attitude.						
PASIO	ACHIEVEM	ENT LEVELS						
BASIC Understand basic	COMPETENT	ADVANCED	SUPERIOR					
financial concepts and methods as they relate to institutional processes and activities Display awareness into the various sources of financial data, reporting mechanisms, financial governance, processes and systems Understand the importance of financial accountability Understand the importance of asset control	 Exhibit knowledge of general financial concepts, planning, budgeting, and forecasting and how they interrelate Assess, identify and manage financial risks Assume a cost-saving approach to financial management Prepare financial reports based on specified formats Consider and understand the financial implications of decisions and suggestions Ensure that delegation and instructions as required by National Treasury guidelines are reviewed and updated Identify and implement proper monitoring and evaluation practices to ensure appropriate spending against budget 	 Take active ownership of planning, budgeting, and forecast processes and provides credible answers to queries within own responsibility Prepare budgets that are aligned to the strategic objectives of the institution Address complex budgeting and financial management concerns Put systems and processes in place to enhance the quality and integrity of financial management practices Advise on policies and procedures regarding asset control Promote National Treasury's regulatory framework for Financial Management Management 	Develop planning tools to assist in evaluating and monitoring future expenditure trends Set budget frameworks for the institution Set strategic direction for the institution on expenditure and other financial processes Build and nurture partnerships to improve financial management and achieve financial savings Actively identify and implement new methods to improve asset control Display professionalism in dealing with financial data and processes					

A N

	Cluster Competency Name	-	Leading Competencie	s				
Competency Name		-	Change Leadership			-		
Competency Definition			Able to direct and initiate institutional transformation on all levels in					
	competency Delinition							
-			The professional all	uu	JUDIN SPIVICES to the a	ρm	munity	
	BASIC	1	- MAINE A CINEIA I	L	EVELS		- Training	
0	Display an	+	COMPETENT		ADVANCED	T	SUPERIOR	
	awareness of change		Perform an analysis		TOUT STY THOUSE			
	interventions, and	1	of the change impact		change impact and		change	
	the benefits of		on the social,		results and convey		agents and	
	transformation		political and	1	progress to relevant		create a	
	initiatives	1	economic		stakeholders		network of	
	Able to identify basic		environment	0	Secure buy-in and	1	change	
	needs for change	0	Maintain calm and		sponsorship for		leaders who	
	Identify gaps		focus during change		change initiatives		support the	
	between the current	•	Able to assist team	0	Continuously	1	interventions	
	and desired state		members during	1	evaluate change		Actively	
	Identify potential risk		change and keep	1	strategy and design		adapt current	
	and challenges to		them focused on the deliverables	1	and introduce new	1	structures	
	transformation,				approaches to		and	
	including resistance	•	Volunteer to lead		enhance the		processes to	
	to change factors		change efforts outside of own work		institution's		incorporate	
	Participate in change		team		effectiveness		the change	
	programs and		Able to gain buy-in	0	Build and nurture		interventions	
	piloting change	-	and approval for		relationships with		Mentor and	
	interventions		change from		various stakeholders		guide team	
	Understand the		relevant		to establish strategic		members on	
	impact of change		stakeholders		alliance in facilitating	1	the effects of	
	interventions on the		Identify change	0	change		change,	
	institution within the		readiness levels and		Take the lead in		resistance	
1	broader scope of		assist in resolving		impactful change		factors and	
+	Llocal Ggovernment.				programs		how to	
			factors	_	Benchmark change		integrate	
	1.	0	Design change		interventions against best change		change	
	1		interventions that		practices	•	Motivate and	
					Understand the		inspire others	
	1		institution's strategic	_	impact and		around	
	1		objectives and goals		psychology of		change initiatives	
	1				change, and put		nutiatives	
	1		1		remedial			
	1				interventions in			
					place to facilitate			
			1		effective			
					transformation			
					Take calculated risk			
					and seek new ideas		l	
			\$		from best practice			
					scenarios, and			
	1			i	dentify the potential		1	
	1		1		or implementation		1	

Q V

<u> </u>							
Cluster	Leading Com	Leading Competencies					
Competency Nar	me Governance	Governance Leadership					
Competency Defin	Able to prome and complian of governance conceptualisa governance re	Able to promote, direct and apply professionalism in managing risk and compliance requirements and apply a thorough understanding of governance practices and obligations. Further, able to direct the conceptualisation of relevant policies and enhance cooperative governance relationships					
PASIO	ACHIE	VEMENT LEVELS					
BASIC	COMPETENT	ADVANCED	SUBERIOR				
Display a basic awareness of risk, compliance and governance factors but require guidance and development in implementing such requirements Understand the structure of cooperative government but requires guidance on fostering workable relationships between stakeholders Provide input into policy formulation	Display a thorough understanding of governance and risk and compliance factors and implement plans to address these Demonstrate understanding of the techniques and processes for optimising risk taking decisions within the institution Actively drive policy formulation within the institution to ensure the achievement of objectives	Able to link risk initiatives into key institutional objectives and drivers Identify, analyse and measure risk, create valid risk forecasts, and map risk profiles Apply risk control methodology and approaches to prevent and reduce risk that impede on the achievement of institutional objectives Demonstrate a thorough understanding of risk retention plans Identify and implement comprehensive risk management systems and processes Implement and monitor the formulation of policies, identify and analyse constraints and challenges with implementation and provide	Demonstrate a high level of commitment in complying with governance requirements Implement governance and compliance strategy to ensure achievement of institutional objectives within the legislative framework Able to advise Local Government on risk management strategies, best practice interventions and compliance management Able to forge positive relationships on cooperative governance level to enhance the effectiveness of Llocal government Able to shape, direct and drive the formulation of policies on a macro level				

B 1

Cluster	Core Competencies				
Competency Name	Moral Competence				
Competency Name Competency Definition BASIC Realise the impact of acting with integrity, but requires guidance and development in implementing principles Follow the basic rules and regulations of	Able to identify moral trig and integrity and consist competence ACHIEVEMEN COMPETENT Conduct self in alignment with the values of Local Government and the institution Able to openly admit own mistakes and weaknesses and seek assistance from others when unable to deliver	ADVANCED Identify, develop, and apply measures of self-correction Able to gain trust and respect through aligning actions with commitments Make proposals and recommendations that are transparent and gain the approval of relevant stakeholders	SUPERIOR Create an environment conducive of moral practices Actively develop and implement measures to combat fraud and corruption Set integrity standards and shared		
the institution Able to identify basic moral situations, but requires guidance and development in understanding and reasoning with moral intent	Actively report fraudulent activity and corruption within local government Understand and honour the confidential nature of matters without seeking personal gain Able to deal with situations of conflict of interest promptly and in the best interest of local government	Present values, beliefs and ideas that are congruent with the nstitution's rules and egulations rakes an active stance against corruption and ishonesty when noted actively promote the alue of the institution to atternal and external takeholders ble to work in unity with team and not seek ersonal gain pply universal moral rinciples consistently to chieve moral decisions	shared accountability measures across the institution to support the objectives of local government Take responsibility for own actions and decisions, even if the consequences are unfavourable		

.

A n

Cluster	Core Competencies	was some	
Competency Name	Planning and Organi	sing	
Competency Definition	Able to plan, prioritisto ensure the quality plans to manage risk	e and organise information a of service delivery and build	and resources effectively efficient contingency
DAGIO	ACHIEVE	MENT LEVELS	
BASIC	COMPETENT	ADVANCED	SUPERIOR
providing detailed and comprehensive plans Able to follow existing plans	progress and monitor performance results	Able to define institutional objectives, develop comprehensive plans, integrate and coordinate activities, and assign appropriate resources for successful implementation Identify in advance required stages and actions to complete tasks and projects Schedule realistic timelines, objectives and milestones for tasks and projects Produce clear, detailed and comprehensive plans to achieve institutional objectives Identify possible risk factors and design and implement appropriate contingency plans Adapt plans in light of changing circumstances Prioritise tasks and projects according to their relevant urgency and importance	Focus on broad strategies and initiatives when developing plans and actions Able to project and forecast short, medium and long term requirements of the institution and local government Translate policy into relevant projects to facilitate the achievement of institutional objectives

٠,

A THE

Cluster		Core Competen	oioo				
Competency Na	ime	Analysis and Innovation					
Competency Definition		Able to critically analyse information, challenges and trends to establish and implement fact-based solutions that are innovative to improve institutional processes in order to achieve key strategic objectives					
54010		ACHIEVE	MENT LEVELS	WENT LEVELS			
BASIC Understand the		COMPETENT	ADVANCED	SUPERIOR			
basic operation of analysis, but lack detail and thoroughness Able to balance independent analysis with requesting assistance from others Recommend new ways to perform tasks within own function Propose simple remedial interventions that marginally challenges the status quo Listen to the ideas and perspectives of others and explore opportunities to enhance such innovative thinking	prolifect app province and whee problem into parts solution opposition opposi	sult internal and rnal eholders on ortunities to ove processes service delivery rly municate the fifts of new rtunities and vative solutions akeholders inuously identify rtunities to ince internal esses fy and analyse tunities ucive to active eaches and se remedial	Coaches team members on analytical and innovative approaches and techniques Engage with appropriate individuals in analysing and resolving complex problems Identify solutions on various areas in the institution Formulate and implement new ideas throughout the institution Able to gain approval and buy-in for proposed interventions from relevant stakeholders Identify trends and best practices in process and service delivery and propose institutional application Continuously engage in research to identify client needs	 Demonstrate complex analytical and problem solving approaches and techniques Create an environment conducive to analytical and fact-based problem-solving Analyse, recommend solutions and monitor 			

19 N

Cluster		Core Compete	ncie	S		
Competency Name		Knowledge and Information Management				
Cluster Competency Na Competency Defin BASIC Collect, categorise and track relevant information required for specific tasks and projects Analyse and interpret information to draw conclusions	ition o L is the r iii k iii s	Achieve to promoti information thruenhance the concentration thruenhance the competent of t	i info e th ough llect ME	ormation Managemer e generation and sh n various processes ive knowledge base of NT LEVELS ADVANCED Effectively predict future information and knowledge management requirements and systems Develop standards and processes to meet future knowledge management	arin and of Id	SUPERIOR Create and support a vision and culture where team members are empowered to seek, gain and share knowledge and information
Seek new sources of information to increase the knowledge base Regularly share information and knowledge with internal stakeholders and team members	in ein de pi e Ai en en ins eff	ources and use formation ffectively to fluence ecisions and rovide solutions ctively create echanisms and ructures for formation se external and fernal sources to search and ovide relevant d cutting-edge owledge to hance effitutional ectiveness and iciency		needs	0	government to facilitate knowledge management

B-N

Cluster	Core Competent	cies	
Competency Nam	ne Communication		
Competency Definition	Able to share focused and co order to effective to achieve the de	information, knowledge a ncise manner appropriate ely convey, persuade and i sired outcome	for the milt.
DAGIO	ACHIEVE	MENT LEVELS	
BASIC	COMPETENT	ADVANCED	SUPERIOR
Demonstrate an understanding for communication levers and tools appropriate for the audience, but requires guidance in utilising such tools Express ideas in a clear and focused manner, but does not always take the needs of the audience into consideration Disseminate and convey information and knowledge adequately	Express ideas to individuals and groups in formal and informal settings in an manner that is interesting and motivating Able to understand, tolerate and appreciate diverse perspectives, attitudes and beliefs Adapt communication content and style to suit the audience and facilitate optimal information transfer Deliver content in a manner that gains support, commitment and agreement from relevant stakeholders Compile clear, focused, concise and well-structured written documents	Effectively communicate high-risk and sensitive matters to relevant stakeholders Develop a well-defined communication strategy Balance political perspectives with institutional needs when communicating viewpoints on complex issues Able to effectively direct negotiations around complex matters and arrive at a win-win situation that promotes Batho Pele principles Market and promote the institution to external stakeholders and seek to enhance a positive image of the institution Able to communicate with the media with high levels of moral competence and discipline	Regarded as a specialist in negotiations and representing the institution Able to inspire and motivate others through positive communication that is impactful and relevant Creates an environment conducive to transparent and productive communication and critical and appreciative conversations Able to coordinate negotiations at different levels within local government and externally

Q N

Cluster	Core Compete	Core Competencies								
Competency Na	me Results and Qu	Results and Quality Focus								
Competency Defin	Able to maintained results and object at the expectations are further, to act	Able to maintain high quality standards, focus on achieving results and objectives while consistently striving to exceed expectations and encourage others to meet quality standards. Further, to actively monitor and measure results and quality against identified objectives								
	ACHIEVEMENT LEVELS									
BASIC	COMPETENT	ADVANCED	SUPERIOR							
Understand quality of work but requires guidance in attending to important matters Show a basic commitment to achieving the correct results Produce the minimum level of results required in the role Produce outcomes that is of a good standard Focus on the quantity of output but requires development in incorporating the quality of work Produce quality work in general circumstances, but fails to meet expectation when under pressure	 Focus on high-priority actions and does not become distracted by lower-priority activities Display firm commitment and pride in achieving the correct results Set quality standards and design processes and tasks around achieving set standards Produce output of high quality Able to balance the quantity and quality of results in order to achieve objectives Monitors progress, quality of work, and use of resources; provide status updates, and make adjustments as needed 	 Consistently verify own standards and outcomes to ensure quality output Focus on the end result and avoids being distracted Demonstrate a determined and committed approach to achieving results and quality standards Follow task and projects through to completion Set challenging goals and objectives to self and team and display commitment to achieving expectations Maintain a focus on quality outputs when placed under pressure Establishing institutional systems for managing and assigning work, defining responsibilities, tracking, monitoring and measuring success, evaluating and valuing the work of the institution 	Coach and guide others to exceed quality standards and results Develop challenging, client-focused goals and sets high standards for personal performance Commit to exceed the results and quality standards, monitor own performance and implement remedial interventions when required Work with team to set ambitious and challenging team goals, communicating long- and short-term expectations Take appropriate risks to accomplish goals Overcome setbacks and adjust action plans to realise goals Focus people on critical activities that yield a high impact							

