

## PERFORMANCE AGREEMENT

MADE AND ENTERED into by and between

# CAPE WINELANDS DISTRICT MUNICIPALITY

(hereinafter referred to as "the Employer") as represented by **Michael Mgajo** in his capacity as **Municipal Manager**, duly authorized thereto in terms of section 57(2)(c) of the Local Government: Municipal Systems Act, 2000 (Act No. 32 of 2000);

### **AND**

# CLAUDE VERNON SCHROEDER (Identity Number: 6902045285088)

(hereinafter referred to as "the Employee") in his capacity as Executive Director: Community Development and Planning Services.

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#### **PREAMBLE**

WHEREAS the Employer has entered into a Contract of Employment with the Employee in terms of section 56(1)(a) of the Local Government: Municipal Systems Act, 2000 (Act No. 32 of 2000);

AND WHEREAS section 57(1)(b) of the Act, read with the Contract of Employment concluded between the Parties, requires them to conclude an annual Performance Agreement;

AND WHEREAS the Parties wish to ensure that there is compliance with sections 57(4)(a), (57(4)(c) and 57(5) of the Act, that they are clear about the goals to be achieved and secure the commitment of the Employee to a set of outcomes that will secure local government policy goals;

#### NOW THEREFORE THE PARTIES AGREE AS FOLLOWS:

#### 1. **DEFINITIONS**

- 1.1 "the Act" shall mean the Local Government: Municipal Systems Act, 2000 (Act No. 32 of 2000);
- 1.2 "the Parties" shall mean the Employer and the Employee;
- 1.3 "KPA or KPA's" shall mean key performance area(s);
- 1.4 "KPI or KPI's" shall mean key performance indicator(s);
- 1.5 "competency framework" shall mean leading and core competencies as contained in regulation 3 of Annexure "A" of the Local Government: Regulations on Appointment and Conditions of Employment of Senior Managers;

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- 1.6 "PA" shall mean this Performance Agreement;
- 1.7 "PP" shall mean the Performance Plan attached as **Annexure** "**A**" to this Agreement, which shall be regarded as having been incorporated into the Agreement by reference;
- 1.8 "PDP" shall mean the Personal Development Plan attached as Annexure "B" to this Agreement, which shall be regarded as having been incorporated into the Agreement by reference; and
- "Regulations" shall mean the Local Government: Municipal Systems Act, 2000 (Act No. 32 of 2000): Local Government: Regulations on Appointment and Conditions of Employment of Senior Managers, 2014.

#### 2. PURPOSE OF AGREEMENT

The purpose of this Agreement is to –

- 2.1 comply with the provisions of section 57(1)(b), 57(4)(a), 57(4)(c) and 57(5) of the Act as well as the Contract of Employment entered into between the Parties;
- 2.2 specify objectives and targets defined and agreed with the Employee and to communicate to the Employee the Employer's expectations of the Employee's performance and accountabilities in alignment with the Integrated Development Plan, Service Delivery and Budget Implementation Plan and the Budget of the Municipality;
- 2.3 specify accountabilities as set out in the PP, which forms **Annexure "A"** to this Agreement;
- 2.4 monitor and measure performance against set targeted outputs;

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- 2.5 use the Agreement as the basis for assessing whether the Employee has met the performance expectations applicable to his/her job;
- 2.6 in the event of outstanding performance, to appropriately reward the Employee; and
- 2.7 give effect to the Employer's commitment to a performance-orientated relationship with its Employee in attaining equitable and improved service delivery.

#### 3. COMMENCEMENT AND DURATION

- 3.1 This Agreement will commence on **01 July 2016** and will remain in force until **30 June 2017**, where after a new PA, PP and PDP shall be concluded between the Parties for the next financial year or any portion thereof.
- 3.2 The Parties shall review the provisions of this Agreement during June each year.
- 3.3 The Parties will conclude a new PA, PP and/or PDP that replaces this Agreement at least once a year by not later than the beginning of each successive financial year.
- 3.4 This Agreement will terminate on the termination of the Employee's Contract of Employment for any reason.
- 3.5 The content of this Agreement may be revised at any time during the period specified in clause 3.1 above, to determine the applicability of the matters agreed upon.

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3.6 If at any time during the validity of this Agreement the work environment alters (whether as a result of Government or Council decisions or otherwise) to the extent that the contents of this Agreement are no longer appropriate, the contents shall immediately be revised.

#### 4. PERFORMANCE OBJECTIVES

- 4.1 The PP sets out -
- 4.1.1 The performance objectives and targets that must be met by the Employee; and
- 4.1.2 The time frames within which those performance objectives and targets must be met.
- 4.2 The performance objectives and targets reflected in the PP are set by the Employer in consultation with the Employee and based on the Integrated Development Plan, Service Delivery and Budget Implementation Plan and the Budget of the Employer, and shall include key objectives, key performance indicators, target dates and weightings.
- 4.2.1 The key objectives describe the main tasks that need to be performed or executed.
- 4.2.2 The KPI's and means of verification provide the details of the evidence that must be provided to show that a key objective has been achieved.
- 4.2.3 The target dates describe the timeframe within which the work must be achieved.

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- 4.2.4 The weightings show the relative importance of the key objectives to each other.
- 4.3 The Employee's performance will, in addition, be measured in terms of contributions to the goals and strategies set out in the Employer's Integrated Development Plan.

#### 5. PERFORMANCE MANAGEMENT SYSTEM

- 5.1 The Employee agrees to participate in the performance management system of the Municipality, management and staff of the Employer.
- 5.2 The Employee accepts that the purpose of the performance management system will be to provide a comprehensive system with specific performance standards to assist the Municipality, management and staff to perform to the standards required.
- 5.3 The Employer shall consult the Employee about the specific performance standards that will be included in the performance management system as applicable to the Employee.
- The Employee undertakes to actively focus towards the promotion and implementation of the KPA's (including special projects relevant to the Employee's responsibilities) within the local government framework.
- 5.5 The criteria upon which the performance of the Employee shall be assessed, shall consist of two components, both of which shall be contained in the Agreement.
- 5.5.1 The Employee must be assessed against both components, with a weighting of 80:20 allocated to the KPA's and the competency framework respectively.

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- 5.5.2 Each area of assessment will be weighted and will contribute a specific part to the total score.
- 5.5.3 KPA's covering the main areas of work will account for 80% (eighty percent) and competency framework will account for 20% (twenty percent) of the final assessment.
- The Employee's assessment will be based on his/her performance in terms of the outputs/outcomes (KPI's) identified in the Performance Plan, which are linked to the KPA's, and will constitute 80% (eighty percent) of the overall assessment result as per the weightings agreed to between the Employer and Employee:

Key Performance Areas	Weighting
Municipal Institutional Development and Transformation	
Basic Service Delivery	
Local Economic Development (LED)	80 %
Municipal Financial Viability and Management	
Good Governance and Public Participation	

- 5.7 The KPA's related to the functional area of the Employee shall be subject to negotiation between the municipal manager and the Employee.
- 5.8 The competency framework will make up the other 20% (twenty percent) of the Employee's assessment score.
- 5.9 Compulsory competencies are listed as follows:

8/COMPETENCIES OF

# COMPETENCIES

The competencies required from employees prescribed in the Regulations on the appointment and conditions of employment of senior managers, R21 of 2014. The assessment of these competencies will account for twenty percent of the total employee assessment score.

Annexure C describes the different achievement levels for each Competency and should therefore form part of this section of the Performance Plan.

Competency	Definition	Weight
	LEADING COMPETENCIES	
	Provide and direct a vision for the institution, and inspire and deploy others to deliver on the strategic institutional mandate. It includes:	
	Impact and influence	1 67
Strategic direction and leadership	Institutional performance management	ò:-
	Strategic planning and management	
	Organisational awareness	
	Effectively manage, inspire and encourage people, respect diversity, optimise talent and build and nurture relationships in order to achieve institutional objectives. It includes:	
	Human capital planning and development	1 67
People management	Diversity management	<u> </u>
	Employee relations management	
	Negotiation and dispute management	
	Able to understand program and project management methodology; plan, manage, monitor and evaluate specific activities in order to deliver on set objectives. It includes:	
Programme and project management	Program and project planning and implementation	1.67
	Service delivery management	
	Program and project monitoring and evaluation	
	Able to compile, plan and manage budgets, control cash flow, institute financial risk management and administer procurement processes in accordance with recognised financial practices. Further to ensure that all financial transactions are managed in an ethical manner. It includes:	
Financial management	Budget planning and execution	7.0.
	Financial strategy and delivery	
and the same of th	Financial reporting and delivery	
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Competency	Definition	
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	LEADING COMPETENCIES (continue)	
	Able to direct and initiate transformation on all levels in order to successfully drive and implement new initiatives and deliver professional and quality services to the community. It includes:	
Change leadership	Change vision and strategy	1 67
	Process design and improvement	<u> </u>
	Change impact monitoring and evaluation	
	Able to promote, direct and apply professionalism in managing risk and compliance requirements and apply a thorough understanding of governance practices and obligations. Further, able to direct the conceptualisation of relevant policies and enhance cooperative governance relationships. It includes:	
Governance leadership	Policy formulation	1.67
	Risk and compliance management	
	Cooperative governance	
	CORE COMPETENCIES	
Moral competence	Able to identify moral triggers, apply reasoning that promotes honesty and integrity and display behaviour that reflects moral competence.	1.67
Planning and organising	Able to plan, prioritise and organise information and resources effectively to ensure the quality of service delivery and build efficient contingency plans to manage risk.	1.67
Analysis and innovation	Able to critically analyse information, challenges and trends to establish and implement fact-based solutions that are innovalive to improve institutional processes in order to achieve key strategic objectives.	1.67
Knowledge and information management	Able to promote the generation and sharing of knowledge and information through various processes and media, in order to enhance the collective knowledge base of local government	1.67
Communication	Able to share information, knowledge and ideas in a clear, focused and concise manner appropriate for the audience in order to effectively convey, persuade and influence stakeholders to achieve the desired outcome.	1.67
Results and quality focus	Able to maintain high quality standards, focus on achieving results and objectives while consistency striving to exceed expectations and encourage others to meet quality standards. Further, to actively monitor and measure results and quality against identified objectives.	1.67
	TOTAL	20%

10/6. EVALUATING ...

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#### 6. EVALUATING PERFORMANCE

- 6.1 The PP attached as Annexure "A" to this Agreement, sets out -
- 6.1.1 The standards and procedures for evaluating the Employee's performance; and
- 6.1.2 The intervals for the evaluation of the Employee's performance.
- Despite the establishment of agreed intervals for evaluation, the Employer may in addition review the Employee's performance at any stage while the Contract of Employment remains in force.
- Personal growth and development needs identified during any performance review discussion shall be documented in a PDP as well as the actions agreed to and implementation must take place within set time frames.
- The Employee's performance shall be measured in terms of contributions to the goals and strategies set out in the Employer's Integrated Development Plan.
- 6.5 The annual performance appraisal shall involve:

#### 6.5.1 Assessment of the achievement of results as outlined in the PP

(a) Each KPA shall be assessed according to the extent to which the specified standards or KPI'S have been met and with due regard to *ad hoc* tasks that had to be performed under the KPA's.

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- (b) An indicative rating on the 5 (five) point scale should be provided for each KPA.
- (c) The applicable assessment rating calculator (refer to clause 6.5.3 below) must be used to add the scores and calculate a final KPA score.

#### 6.5.2 Assessment of the Competency Framework

- (a) Each competency should be assessed according to the extent to which the specified standards have been met.
- (b) An indicative rating on the 5 (five) point scale shall be provided for each competency.
- (c) This rating shall be multiplied by the weighting given to each competency during the contracting process to provide a score.
- (d) The applicable assessment rating calculator (refer to clause 6.5.1) shall be used to add the scores and calculate a final competency framework score.

#### 6.5.3 Overall rating

An overall rating is calculated by using the applicable assessment-rating calculator, which shall represent the outcome of the performance appraisal.

(a) The assessment of the performance of the Employee will be based on the following rating scale for KPA's and the competency framework:

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Lovel	Torminalan	Description		R	atir	ng	
_evei	Terminology	Description	1	2	3	4	5
,	Outstanding performance	Performance far exceeds the standard expected of an employee at this level. The appraisal indicates that the Employee has achieved above fully effective results against all performance criteria and indicators as specified in the PA and PDP and maintained this in all areas of responsibility throughout the year.					
4	Performance significantly above expectations	Performance is significantly higher than the standard expected in the job. The appraisal indicates that the Employee has achieved above fully effective results against more that half of the performance criteria and indicators and fully achieved all others throughout the year.					
3	Fully effective	Performance fully meets the standards expected in all areas of the job. The appraisal indicates that the Employee has fully achieved effective results against all significant performance criteria and indicators as specified in the PA and PDP.					
2	Not fully effective	Performance is below the standard required for the job in key areas. Performance meets some of the standards expected for the job. The review/assessment indicates that the Employee has achieved below fully effective results against more than half the key performance criteria and indicators as specified in the PA and PDP.					
1	Unacceptable performance	Performance does not meet the standard expected for the job. The review/assessment indicates that the Employee has achieved below fully effective results against almost all of the performance criteria and indicators as specified in the PA and PDP. The Employee has failed to demonstrate the commitment or ability to bring performance up to the level expected in the job, despite management efforts to encourage improvements.					R.
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- (b) For purposes of evaluating the annual performance of the Employee, an evaluation panel constituted of the following persons must be established -
- (i) Municipal Manager;
- (ii) Chairperson of the Performance Audit Committee or the Chairperson or designated performance management specialist of the Audit Committee in the absence of a Performance Audit Committee;
- (iii) Member of the Mayoral Committee: and
- (iv) Municipal Manager from another Municipality.
- (c) The manager responsible for human resources of the municipality must provide secretariat services to the evaluation panel referred to in subclause 6.5.3(b).

#### 7. SCHEDULE FOR PERFORMANCE REVIEWS

7.1 The performance of the Employee in relation to his/her PA shall be reviewed on the following dates, with the understanding that the 3<sup>rd</sup> (third) quarter review may be verbal if performance is satisfactory:

First quarter: July – September Before end October 2016
Second quarter: November – December Before end January 2017

Third quarter: January – March Before end April 2017

Fourth quarter: April – June Before end July 2017

7.2 The Employer shall keep a record of the mid-year review and annual assessment meetings.

14/7.3 Performance ...

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- 7.3 Performance feedback shall be based on the Employer's assessment of the Employee's performance.
- 7.4 The Employer shall be entitled to review and make reasonable changes to the provisions of the PP from time to time for operational reasons, subject thereto that the Employee shall be fully consulted before any such change is made.
- 7.5 The Employer may amend the provisions of the PP whenever a performance management system is adopted, implemented and/or amended by the Municipality, as the case may be, subject thereto that the Employee will be fully consulted before any such change is made.

#### 8. DEVELOPMENTAL REQUIREMENTS

The Personal Development Plan for addressing developmental gaps, is attached as **Annexure "B"** and shall form part of this Agreement.

#### 9. OBLIGATIONS OF THE EMPLOYER

- 9.1 The Employer shall -
- 9.1.1 create an enabling environment to facilitate effective performance by the Employee;
- 9.1.2 provide access to skills development and capacity building opportunities;
- 9.1.3 work collaboratively with the Employee to solve problems and generate solutions to common problems that may impact on the performance of the Employee;

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- 9.1.4 on the request of the Employee, delegate such powers reasonably required by the Employee to enable him/her to meet the performance objectives and targets established in terms of this Agreement; and
- 9.1.5 make available to the Employee such resources as the Employee may reasonably require from time to time to assist him/her to meet the performance objectives and targets established in terms of this Agreement.

#### 10. CONSULTATION

- 10.1 The Employer agrees to consult the Employee timeously where the exercising of the powers will have, amongst others -
- 10.1.1 a direct effect on the performance of any of the Employee's functions;
- 10.1.2 commit the Employee to implement or to give effect to (a) decision(s) taken by the Employer; and
- 10.1.3 a substantial financial effect on the Employer.
- 10.2 The Employer agrees to inform the Employee of the outcome of any decisions taken pursuant to the exercise of powers contemplated in clause 10.1 as soon as is practicable to enable the Employee to take any necessary action without delay.

#### 11. MANAGEMENT OF EVALUATION OUTCOMES

In the case of unacceptable performance, the Employer shall -

Employee to improve his/her performance; and

11.1 Provide systematic remedial or developmental support to assist

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11.2 After appropriate performance counselling and having provided the necessary guidance and/or support as well as reasonable time for improvement in performance, and performance does not improve, the Employer may consider steps to terminate the contract of employment of the Employee on grounds of unfitness or incapacity to carry out his/her duties.

#### 12. DISPUTE RESOLUTION

#### 12.1 DISPUTES ON PERFORMANCE AGREEMENT

Any disputes about the nature of the Performance Agreement, whether it relates to key responsibilities, priorities, methods of assessment and/or any other matter provided for, shall be mediated by a member of the Municipal Council: Provided that such member was not part of the evaluation panel provided for in sub-regulation 27(4)(e) of the Regulations, within 30 (thirty) days of receipt of a formal dispute from the Employee, whose decision shall be final and binding on both Parties.

#### 12.2 DISPUTES ON OUTCOME OF PERFORMANCE EVALUATION

Any disputes about the outcome of the Employee's performance evaluation must be mediated by a member of the Municipal Council: Provided that such member was not part of the evaluation panel provided for in sub-regulation 27(4)(e), within 30 (thirty) days of receipt of a formal dispute from the Employee, whose decision shall be final and binding on both Parties.

#### 13. GENERAL

13.1 The contents of this Agreement shall be made available to the public the Employer.

17/13.2 Nothing ...

- 13.2 Nothing in this Agreement diminishes the obligations, duties or accountabilities of the Employee in terms of his/her Contract of Employment, or the effects of existing or new regulations, circulars, policies, directives or other instruments.
- 13.3 The performance assessment results of the Employee shall be submitted to the Minister responsible for local government in the Province of the Western Cape as well as the National Minister for local government within 14 (fourteen) days after conclusion of the assessment.

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WITNESSES

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WITNESSES:

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93	SO PDO	DI PMS ID	Key Performance Area	Key Performance Indicator	Unit of Measurement	Portfolio of Evidence	Baseline	Target Of	Target 02	Target Q3	Target Q4	Annual Target	Weight
Z Z	tional Key I	Performance Inc	National Key Performance Indicator Number (1) Good Governance and Public Participation	d Public Participation		1 1							
	-	1.1 - 1.5 SCCDP 1	Good Governance	Implementation of corrective action measures within the due dates as identified in Internal Audit Reports.	% of audil items addressed. (Number of Internal Audil queries addressed)	Status report from Internal Audit	100%	100%	100%	100%	100%	100%	4%
			Good Governance	Respond to all audit queries received from Number the Auditor General within 4 days to working ensure and effective external process.	Number of queries respond to within 4 working days.	Status report from Internal Audit	100%	%0	100%	%	<b>%</b> 0	¥00,1	4 %
	1.1.1.5		Good Governance	The departments annual report input is submitted by 31 August to ensure that the municipality annual report is comprehensive and includes the relevant information	Submitted within the required deadline to Confirmation from official an ecceptable standard.  of AR	o Confirmation from official responsible for the compilation of AR	31/08/2016	0	31/08/2016	0	0	31/08/2016	2%
·	1 1.1 - 1.5	$\overline{}$	Good Governance	Communication with extended management learn through quarterly meetings	Number	Minutes of meetings and attendance registers	4	-	-	-	-	4	%9
	1.1 - 1.5	1.5 SCCDP 6	Good Governance	Compliance with all legislation as measured per the Ignite Compliance Module	% of compliance actions complied with as per the Ignite Compliance module applicable to SQ 2	Copy of Compliance Register	100%	100%	100%	100%	100%	100%	4%
Nat	ional Key P	erformance Indi	National Key Performance Indicator Number (2) Municipal Financial Viability and Management	ability and Management									23%
-	1 1.1 - 1	1.1 - 1.5 SCCDP 7	Financial Vlability	The percentage of the departments capital budget spent.	The % of the departments capital budget Section 71 Report spent for the financial year.	Section 71 Report	85%	20%	30%	30%	10%	%06	10%
-	1 1.1-1.5	S SCCDP 8	Financial Vlability	Project Expenditure as percentage of Project Budget for this period.	% of quarterly financial larget as per SDBIP.	Section 71 Report	%06	90% of quarterly target	90% of quarterty target	90% of quarterly larget	90% of quarterly Larget	90% of annual larget	10%
I I	onal Key Do	orformance Indi	National Kay Borformanna Indicator Number (3) Minicipal Indicational Connection of Transfer	Once to more and Township and									20%
	1.1 - 1.5	.5 SCCDP9	Institutional Davalopment	Ensure that a quarterly performance evaluation assessment is completed and submitted to the SCM unit on a quarterly hasis for all tenders kerned.	% of quarterly performance assessments   Quarterly report from SCM Unit as distributed by SCM Unit	Quarterly report from SCM Unit	100%	100%	100%	¥00).	100%	100%	<b>%</b> 9
Natic	onal Key Pe	Hormance Indiv	National Key Performance Indicator Number (4) Local Economic Development (LED)	opment (LED)									8
	1.1-1.	1.1 - 1.5 SCCDP 10	LEO	Individuals temporary effected in business plans rojects	Percentage of individuals lamporary employed as per business plans		80%	80% of quarterly	80% of quarterly	80% of quarterly termed	80% of quarterly same!	80% of annual larget	7%
-	1.1 - 1.5	5 SCOP 11	LED .	Percentage of individuals trained as reflected in business plans of approved projects	Percentage of Individuals trained as per business plans	PMS Report	80%	80% of quarterly larget	BO% of quarterfy target	80% of querterly target	80% of quarterly target	80% of annual target	7%
Natio	onal Key Pa	rformance Indic	National Key Performence Indicator Number (5) Basic Service Delivery										4.0
		1.1 - 1.5 SCCDP 12		Percentage of quantities achieved as neflected in business plans of approved projects	% Of quantities achieved as per business plans	SDBIP Quarterly Report	%06	90% of quarterty target	90% of quartenty	90% of quarterty larget	90% of quarterly target	90% of annual largel	%9 %9
-		SCCDP 13		council .	Number of reports	Council Minutes	2	0	-	-	0	2	%9
-	1.2	SCCDP 14	Basic Service Delivery	Report on DM activities to council	Number of reports	Council Minutes	2	-	-	-	1	4	2%
	-	1											17%
	$\coprod$						T	+	+	+			
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Good Governance and Public Participation	Municipal Phancial Viability and	Municipal Institutional Devalopment and Transformation	Local Economic Development (LED)	Basic Sarvice Delivery	-1.
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		Comments at next	Review				
		Signature of	Employee				
		Support	HR Manager	HR Manager	HR Manager	HR Manager	
oeder		Suggested Time Frames! Work Opportunity Created to Practice	alıty	Cape Winelands District Municipality	Cape Winelands District Municipality	Cape Winelands District Municipality	
PERSONAL DEVELOPMENT PLAN 2016/17: Mr CV Schroeder	ED Community Development and Planning Services		by DPLG/National Treasury	60	CO3	63	
PERSONAL DEVELOPM	ED Community Dev	Skill required / performance Outcomes Expected (what will   Suggested Training and / or activity gap and mode of delivery	Skills Audit outcome	Will be populated by HR during Q 1	Will be populated by HR during Q 1	Will be populated by HR during Q 1	
		Outcomes Expected (what will I achieve)	Skills Audit to determine gaps	Improved levels of emotional	skills	Improved strategic management and Will be populated by leadership	
		Skill required / performance	Skills Audit as required by DPLG and National Treasury	EMI Training	Training in mentorship	Training in scenario planning	
		Date of Entry	2018/07/01	2016/07/01	2016/07/01	2016/07/01	

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Competency Framework

Annexure C

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Competency Name  Competency Definition  Provide and direct a vision for the institution, and inspire and depleted to deliver on the strategic institutional mandate  ACHIEVEMENT LEVELS  BASIC  COMPETENT  ADVANCED  SUPERIO  SUPERIO  Structure and institutional and team in realising the determine value and the institution	DR position
to deliver on the strategic Institutional mandate  ACHIEVEMENT LEVELS  BASIC COMPETENT ADVANCED SUPERIOR  • Understand • Give direction to a institutional and team in realising the determine value and the institution	DR position
ACHIEVEMENT LEVELS  BASIC COMPETENT ADVANCED SUPERIOR  • Understand institutional and team in realising the determine value and the institution	position
BASIC COMPETENT ADVANCED SUPERIOR  • Understand institutional and team in realising the determine value and the institution	position
• Understand institutional and  • Give direction to a evaluate all activities to estructure and team in realising the determine value and the institution	position
institutional and team in realising the determine value and the institution	
	to local i
departmental institution's strategic alignment to strategic government pr	ioritles
strategic mandate and set intent • Actively use	in-depth
objectives, but objectives objectives in-depth knowledge	and
lacks the ability • Has a positive impact   knowledge   and   understanding	
to inspire others and influence on the understanding of strategic develop and in to achieve set morale, engagement planning a compi	nplement ehensive
mandate and participation of Align strategy and goals institutional fra	
Describe how team members across all functional areas	1
specific tasks • Develop actions plans • Actively define for strategy	execution
link to to execute and guide performance measures to and results	
Institutional strategy monitor the progress and • Provide imp	
strategies but implementation effectiveness of the influence	through
has Ilmited • Assist in defining institution building influence in performance • Consistently challenge maintaining	and strategic
influence in performance • Consistently challenge maintaining directing measures to monitor strategic plans to ensure relationships	anareAic
strategy the progress and relevance • Create an env	vironment
• Has a basic effectiveness of the • Understand institutional that facilitate	s loyalty
understanding of institution structures and political and innovation	n Display
institutional • Displays an factors, and the a superior lev	
performance awareness of consequences of actions discipline and	integrity
management, institutional structures • Empower others to follow in actions but lacks the and political factors etrategic direction and • Integrate	
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collective whole relevant parties through complex and performance	
Demonstrate a Provide guidance to ambiguous concern management	
basic all stakeholders in the • Use understanding of • Uses underst	
understanding of schievement of the power relationships and competing into key decision strategic mandate dynamic tensions among manageuvre	erests to
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Understand the aim key players to frame successfully and objectives of the communications and win/win outcome.	
institution and relate it develop strategies,	110
to own work positions and alliances	

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Cluster	Leading	Competen	cies	
Competency Na		lanagemer		
Competency Defi	nition Effectivel optimise institution	ly manage talent and nal objectiv	inspire and encourage people build and nurture relationshipes	ple, respect diversity, os in order to achieve
			IENT LEVELS	
BASIC	COMPETE	NT	ADVANCED	SUPERIOR
Participate in team goal-setting and problem solving     Interact and collaborate with people of diverse backgrounds     Aware of guidelines for employee development, but requires support in implementing development initiatives	others to contribution execute optimally  • Apply employee lefairly and consi  • Facilitate teasetting and solving  • Effectively	team and support nature of aware of a diverse delegate empower increase and functions relevant egislation istently m goal-problem-identify uirements	Identify ineffective team and work processes and recommend remedial interventions Recognise and reward effective and desired behaviour Provide mentoring and guidance to others in order to increase personal effectiveness Identify development and learning needs within the team Build a work environment conducive to sharing, innovation, ethical behaviour and professionalism Inspire a culture of performance excellence by giving positive and constructive feedback to the team Achieve agreement or consensus in adversarial environments Lead and unite diverse teams across divisions to achieve institutional objectives	Develop and incorporate best practice people management processes, approaches and tools across the institution     Foster a cutture of discipline, responsibility and accountability     Understand the impact of diversity in performance and actively incorporate a diversity strategy in the institution     Develop comprehensive integrated strategies and approaches to human capital development and management     Actively identify trends and predict capacity requirements to facilitate unified transition and performance management

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Cluster Leading Competencies						
Competency Name						
Able to direct and initiate institutional transformation on all levels in						
Competency Definition   order to successfully drive and implement new initiative						
deliver professional and quality services to the community						
ACHIEVEMENT LEVELS						
BASIC COMPETENT ADVANCED SUPERIOR						
Display an	<ul> <li>Perform an analysis</li> </ul>	<ul> <li>Actively monitor</li> </ul>	Sponsor			
awareness of change	of the change impact	change impact and	change			
interventions, and	on the social,	results and convey	agents and			
the benefits of	political and	progress to relevant	create a			
transformation	economic	stakeholders	network of			
initiatives	environment	<ul> <li>Secure buy-in and</li> </ul>	change			
<ul> <li>Able to identify basic</li> </ul>	Maintain calm and	sponsorship for	leaders who			
needs for change	focus during change	change Initiatives	support the			
<ul> <li>Identify gaps</li> </ul>	Able to assist team	<ul> <li>Continuously</li> </ul>	interventions			
between the current	members during	evaluate change	Actively			
and desired state	change and keep	strategy and design	adapt current			
Identify potential risk	them focused on the	and introduce new	structures			
and challenges to	deliverables	approaches to enhance the	processes to			
transformation,	Volunteer to lead	institution's	incorporate			
including resistance	change efforts outside of own work	effectiveness	the change			
to change factors	team	Build and nurture	interventions			
Participate in change		relationships with	Mentor and			
programs and	Able to gain buy-in and approval for	various stakeholders	guide team			
piloting <b>change</b> interventions	change from	to establish strategic	members on			
Understand the	relevant	alliance in facilitating	the effects of			
impact of change	stakeholders	change	change,			
interventions on the	Identify change	Take the lead in	resistance			
institution within the	readiness levels and	impactful change	factors and			
broader scope of	assist in resolving	programs	how to			
Llocal Ggovernment.	resistance to change	Benchmark change	integrate			
	factors	interventions against				
	Design change	best change	Motivate and			
	Interventions that	practices	inspire others			
	are aligned with the	<ul> <li>Understand the</li> </ul>	around			
	institution's strategic	impact and	change			
	objectives and goals	psychology of	initiatives			
		change, and put	l i			
	ļ.	remedial				
		interventions in	1			
		place to facilitate				
		effective				
ļ .		transformation				
l		Take calculated risk  and sock poweidage				
l	1	and seek new ideas				
		from best practice scenarios, and				
		identify the potential	1			
l l		for implementation	1 7			
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Cluster	Leading Compe			
Competency Name Governance Lea				
Competency Definit	and compliance of governance p conceptualisatio governance rela	n, direct and apply profession requirements and apply a standard apply a	thorough understanding	
BASIC	COMPETENT	ADVANCED	SUPERIOR	
<ul> <li>Display a basic awareness of risk, compliance and governance factors but require guidance and development in implementing such requirements</li> <li>Understand the structure of cooperative government but requires guidance on fostering workable relationships between stakeholders</li> <li>Provide input into policy formulation</li> </ul>	Display a thorough understanding of governance and risk and compliance factors and implement plans to address these     Demonstrate understanding of the techniques and processes for optimising risk taking decisions within the institution     Actively drive policy formulation within the institution to ensure the achievement of objectives	<ul> <li>Able to link risk initiatives into key institutional objectives and drivers</li> <li>Identify, analyse and measure risk, create valid risk forecasts, and map risk profiles</li> <li>Apply risk control methodology and approaches to prevent and reduce risk that impede on the achievement of institutional objectives</li> <li>Demonstrate a thorough understanding of risk retention plans</li> <li>Identify and implement comprehensive risk management systems and processes</li> <li>Implement and monitor the formulation of policies, identify and analyse constraints and challenges with implementation and provide recommendations for improvement</li> </ul>	Demonstrate a high level of commitment in complying with governance requirements Implement governance and compliance strategy to ensure achievement of institutional objectives within the legislative framework  Able to advise Local Government on risk management strategies, best practice interventions and compliance management  Able to forge positive relationships on cooperative governance level to enhance the effectiveness of Llocal government  Able to shape, direct and drive the formulation of policles on a macro level	

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Cluster	Core Competencies					
Competency Name	Moral Competence					
Competency Definition	and integrity and conpetence	Able to identify moral triggers, apply reasoning that promotes honest and integrity and consistently display behaviour that reflects mora				
		MENT LEVELS				
BASIC	COMPETENT	ADVANCED	SUPERIOR			
Realise the impact of acting with integrity, but requires guidance and development in implementing principles     Follow the basic rules and regulations of the institution     Able to identify basic moral situations, but requires guidance and development in understanding and reasoning with moral intent	<ul> <li>Conduct self in alignment with the values of Local Government and the institution</li> <li>Able to openly admit own mistakes and weaknesses and seek assistance from others when unable to deliver</li> <li>Actively report fraudulent activity and corruption within local government</li> <li>Understand and honour the confidential nature of matters without seeking personal gain</li> <li>Able to deal with situations of conflict of interest promptly and in the best interest of local government</li> </ul>	<ul> <li>Identify, develop, and apply measures of self-correction</li> <li>Able to gain trust and respect through aligning actions with commitments</li> <li>Make proposals and recommendations that are transparent and gain the approval of relevant stakeholders</li> <li>Present values, beliefs and ideas that are congruent with the institution's rules and regulations</li> <li>Takes an active stance against corruption and dishonesty when noted</li> <li>Actively promote the value of the institution to internal and external stakeholders</li> <li>Able to work in unity with a team and not seek personal gain</li> <li>Apply universal moral principles consistently to achieve moral decisions</li> </ul>	Create an environment conducive of moral practices Actively develop and implement measures to combat fraud and corruption Set integrity standards and shared accountability measures across the institution to support the objectives of local government Take responsibility for own actions and decisions, even if the consequences are unfavourable			

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	Core Competencies					
<b>Competency Name</b>	Planning and Organisi	ng				
Competency	Able to plan, prioritise and organise information and resources effective					
Definition	to ensure the quality of service delivery and build efficient contingency					
Deminion	plans to manage risk		- The state of the			
ACHIEVEMENT LEVELS						
	COMPETENT	ADVANCED	SUPERIOR			
Able to follow basic plans and organise tasks around set objectives. Understand the process of planning and organising but requires guidance and development in providing detailed and comprehensive plans. Able to follow existing plans and ensure that objectives are met. Focus on short-term objectives in developing plans and actions. Arrange information and resources required for a task, but require further structure and organisation.	COMPETENT  Actively and appropriately organise information and resources required for a task  Recognise the urgency and importance of tasks  Balance short and long-term plans and goals and incorporate into the team's performance objectives  Schedule tasks to ensure they are performed within budget and with efficient use of time and resources  Measures progress and monitor performance results		SUPERIOR  Focus on broad strategies and initiatives when developing plans and actions  Able to project and forecast short, medium and long term requirements of the institution and local government  Translate policy into relevant projects to facilitate the achievement of institutional objectives			

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Cluster Core Competencie							
Competency Nan							
C			challenges and trends to				
Competency Definition			utions that are innovative er to achieve key strategic				
objectives		dional processes in ordi	si to ad lieve key strategic				
	ACHIEVEMENT LEVELS						
BASIC	COMPETENT	ADVANCED	SUPERIOR				
Understand the	<ul> <li>Demonstrate logical</li> </ul>	Coaches team	Demonstrate complex				
basic operation	problem solving	members on	analytical and problem				
of analysis, but	techniques and	analytical and	solving approaches				
lack detail and	approaches and	innovative	and techniques				
thoroughness	provide rationale for	approaches and	Create an environment				
Able to balance	recommendations	techniques	conducive to analytical				
independent	Demonstrate	Engage with	and fact-based				
analysis with requesting	objectivity, insight, and thoroughness	appropriate individuals in	problem-solving Analyse, recommend				
assistance from	when analysing	analysing and	solutions and monitor				
others	problems	resolving complex	trends in key				
Recommend	Able to break down	problems	challenges to prevent				
new ways to	complex problems	<ul> <li>Identify solutions</li> </ul>	and manage				
perform tasks	into manageable	on various areas	occurrence				
within own	parts and identify	in the institution	Create an environment				
function	solutions	Formulate and	that fosters innovative				
Propose simple	Consult internal and	implement new	thinking and follows a				
remedial	external	ideas throughout the institution	learning organisation				
interventions	stakeholders on		approach  Be a thought leader on				
that marginally challenges the	opportunities to improve processes	Able to gain approval and buy-	innovative customer				
status quo	and service delivery	in for proposed	service delivery, and				
Listen to the	Clearly	interventions from	process optimisation				
ideas and	communicate the	relevant	Play an active role in				
perspectives of	benefits of new	stakeholders	sharing best practice				
others and	opportunities and	<ul> <li>Identify trends and</li> </ul>	solutions and engage				
explore	Innovative solutions	best practices in	in national and				
opportunities to	to stakeholders	process and	international local				
enhance such	Continuously identify		government seminars				
innovative	opportunities to	and propose	and conferences				
thinking	enhance internal	institutional application					
	<ul><li>processes</li><li>Identify and analyse</li></ul>						
	opportunities	engage in					
	conducive to	research to					
	innovative	identify client					
	approaches and	needs					
	propose remediai						
	intervention	<del></del>	<u></u>				

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Competency Definition  Competency Definition  Able to promote the generation and sharing of knowledge and information through various processes and media, in order to enhance the collective knowledge base of local government  ACHIEVEMENT LEVELS  BASIC  COMPETENT  ADVANCED  SUPERIOR  Collect, categorise and track relevant information systems and track relevant information required for specific tasks and projects and information specific tasks and projects information information or sharing information sources and interpret information or sources of information to increase the knowledge base  Regularly share information and knowledge with Internal stakeholders and team resources to enhance institutional effectiveness and efficiency  COMPETENT  ADVANCED  SUPERIOR  Create and support a vision and knowledge management requirements and support a vision and not wisologe and information and knowledge and information sharing of left ture information and knowledge and information and knowledge and information sharing of left ture information and knowledge and information and knowledge and information sharing and knowledge information and knowledge and information sharing and knowledge and information and knowledge to enhance information and cutting-edge knowledge to enhance institutional effectiveness and efficiency  Create and support a vision and knowledge management requirements and systems and share honowledge management and processes to meet future knowledge management and information and knowledge management and processes to meet future knowledge management and information and knowledge and in	Cluster		ompetencies			
Information through various processes and media, in order to enhance the collective knowledge base of local government	Competency Name Knowle		Chowledge and Information Management			
RACHIEVEMENT LEVELS  BASIC  COMPETENT  Use appropriate information systems and track relevant information required for specific tasks and projects Analyse and interpret information or conclusions Seek new sources of information to increase the knowledge members  Regularly share information and knowledge members  Regularly share information and knowledge management partnerships across various institutional stakeholders and team members  Recognise and track relevant information systems and team resources to manage institutional effectiveness and efficiency  Regularly share information and knowledge institutional effectiveness and efficiency  Regularly share information and cutting-edge knowledge to enhance institutional effectiveness and efficiency  Regularly share information and knowledge and information information information information sharing sessions to elicit new ideas and share best practice		Able to	Able to promote the generation and sharing of knowledge and			
COMPETENT  Collect, categorise and track relevant information required for specific tasks and projects information and knowledge and institutional and knowledge and information to draw conclusions  Seek new sources of information to increase the knowledge mand team members  Regularly share information and team members  Regularly share information and knowledge with internal stakeholders and team members  Resources of information to increase the knowledge with internal stakeholders and team members  Reflectively predict future information and knowledge management requirements and support a vision and knowledge and institutional and knowledge and information of information sharing of members  Regularly share information and knowledge with internal stakeholders and team resources to research and provide relevant and cutting-edge knowledge to enhance institutional effectivency and share best practice  Regularly share information and knowledge and institutional and provide relevant and cutting-edge knowledge to enhance institutional effectivences and efficiency  Regularly share information and knowledge and information and knowledge	Competency Defini		tion through	various processes a	ind	media, in order to
Collect, categorise and track relevant information systems and track relevant information required for specific tasks and projects Analyse and interpret information to draw conclusions Seek new sources of information to increase the knowledge base Regularly share information and knowledge members  Regularly share and team members  Regularly share information and knowledge and information to increase the knowledge base base haring of and knowledge with Internal stakeholders and team rembers  Regularly share information and knowledge knowledge institutional effectiveness and efficiency  Collect, categorise and tinformation systems and track relevant and crop titure information and knowledge and information and knowledge and information sharing of lackholders and team resources to research and provide relevant and cuttling-edge knowledge to enhance institutional effectiveness and efficiency  Collect, categorise and tinformation and knowledge and information and knowledge and informati		enhano	e the collecti	ive knowledge base of	loc	cal government
<ul> <li>Collect, categorise and track relevant information required for specific tasks and projects</li> <li>Analyse and interpret information to draw conclusions</li> <li>Seek new sources of information to increase the knowledge and structures for share and team members</li> <li>Regularly share information and knowledge with Internal stakeholders and team members</li> <li>Lyse appropriate information systems and technology to manage institutional structures for sharing of land knowledge with Internal stakeholders and team members</li> <li>Create and support a vision and knowledge management requirements and systems on Develop standards and processes to meet future knowledge management needs</li> <li>Share and processes to meet future knowledge management needs</li> <li>Share and promote best-practice knowledge management across various institutions</li> <li>Establish partnerships across local government to facilitate knowledge management across various institutions</li> <li>Establish accurate measures and monitoring systems for knowledge and information abundance and abundance and and uniture of learning and knowledge sharing endinformation sharing sessions to elicit new ideas and share best practice</li> </ul>						
information systems and technology to manage institutional knowledge management requirements and systems and projects (analyse and information interpret information to draw conclusions (analyse seek new sources of information to increase the knowledge base (and knowledge with Internal stakeholders and team members (belief nembers)  Information systems and technology to manage ment requirements and systems (belief nembers (browledge and information and knowledge management requirements and systems (belief nembers)  Information systems and technology to manage ment requirements and systems (belief nembers (belief new information and knowledge and information sharing of large information (belief nembers)  Information systems and technology to manage ment requirements and systems (belief new information and knowledge and information and knowledge management requirements and systems (belief new information and knowledge and information sharing support a vision and knowledge management requirements and systems (belief new information and knowledge management requirements and systems (belief new information and knowledge management requirements and systems (belief new information needs (belief new information and knowledge management requirements and systems (belief new information needs (belief new information and knowledge management requirements and systems (belief new information needs (belief new information and knowledge management requirements and systems (belief new information support a vision and knowledge management requirements and systems (belief new information sharing with sacross various institutions (belief new information started information sharing with an aboundance and information support a vision and knowledge management requirements and systems of beek portions (belief new information support a vision and knowledge management requirements and systems (belief new information support a vision of sext future (belief new information support a vision of sext future information support a vision of sex						SUPERIOR
	Collect, categorise and track relevant information required for specific tasks and projects Analyse and interpret information to draw conclusions Seek new sources of information to increase the knowledge base Regularly share information and knowledge with Internal stakeholders and team	Use apprintermation systems at technology manage institution knowledgy information sharing     Evaluate from various sources a information effectively influence decisions provide search and cutting the sources of the structures of the structure of the struc	rent opriate on and gy to  sel se and on data ous and use on y to and olutions reate sms and s for f on mal and s to and selevant sg-edge e to al	ADVANCED  Effectively predict future information and knowledge management requirements and systems  Develop standards and processes to meet future knowledge management needs  Share and promote best-practice knowledge management across various institutions  Establish accurate measures and monitoring systems for knowledge and information management Create a culture conducive of learning and knowledge sharing Hold regular knowledge and information sharing sessions to elicit new ideas and share best		Create and support a vision and culture where team members are empowered to seek, gain and share knowledge and information Establish partnerships across local government to facilitate knowledge management Demonstrate a mature approach to knowledge and information sharing with an abundance and assistance approach Recognise and exploit knowledge points in interactions with internal and external
				approaches		//

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Cluster	Core Competencia	96				
	Communication					
Competency Name		d ideas in a class				
Competency Definition	Able to share information, knowledge and ideas in a clear, focused and concise manner appropriate for the audience in order to effectively convey, persuade and influence stakeholders to achieve the desired outcome					
	ACHIEVE	MENT LEVELS				
BASIC	COMPETENT	ADVANCED	SUPERIOR			
Demonstrate an understanding for communication levers and tools appropriate for the audience, but requires guidance in utilising such tools     Express ideas in a clear and focused manner, but does not always take the needs of the audience into consideration     Disseminate and convey information and knowledge adequately	<ul> <li>Express ideas to individuals and groups in formal and informal settings in an manner that is interesting and motivating</li> <li>Able to understand, tolerate and appreciate diverse perspectives, attitudes and beliefs</li> <li>Adapt communication content and style to suit the audience and facilitate optimal information transfer</li> <li>Deliver content in a manner that gains support, commitment and agreement from relevant stakeholders</li> <li>Compile clear, focused, concise and well-structured written documents</li> </ul>	Effectively communicate high-risk and sensitive matters to relevant stakeholders     Develop a well-defined communication strategy     Balance political perspectives with institutional needs when communicating viewpoints on complex issues     Able to effectively direct negotiations around complex matters and arrive at a win-win situation that promotes Batho Pele principles     Market and promote the institution to external stakeholders and seek to enhance a positive image of the institution     Able to communicate with the media with high levels of moral competence and discipline	Regarded as a specialist in negotiations and representing the institution     Able to inspire and motivate others through positive communication that is impactful and relevant     Creates an environment conducive to transparent and productive communication and critical and appreciative conversations     Able to coordinate negotiations at different levels within local government and externally			

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