

PERFORMANCE AGREEMENT

MADE AND ENTERED into by and between

CAPE WINELANDS DISTRICT MUNICIPALITY

(hereinafter referred to as "the Employer") as represented by **Michael Mgajo** in his capacity as **Municipal Manager**, duly authorized thereto in terms of section 57(2)(c) of the Local Government: Municipal Systems Act, 2000 (Act No. 32 of 2000);

AND

FRANCOIS VAN ECK (Identity Number: 600820 5135 087)

(hereinafter referred to as "the Employee") in his/her capacity as **Executive Director**: **Technical Services**.

2/PREAMBLE CL

PREAMBLE

WHEREAS the Employer has entered into a Contract of Employment with the Employee in terms of section 56(1)(a) of the Local Government: Municipal Systems Act, 2000 (Act No. 32 of 2000);

AND WHEREAS section 57(1)(b) of the Act, read with the Contract of Employment concluded between the Parties, requires them to conclude an annual Performance Agreement;

AND WHEREAS the Parties wish to ensure that there is compliance with sections 57(4)(a), (57(4)(c) and 57(5) of the Act, that they are clear about the goals to be achieved and secure the commitment of the Employee to a set of outcomes that will secure local government policy goals;

NOW THEREFORE THE PARTIES AGREE AS FOLLOWS:

1. **DEFINITIONS**

- 1.1 "the Act" shall mean the Local Government: Municipal Systems Act, 2000 (Act No. 32 of 2000);
- 1.2 "the Parties" shall mean the Employer and the Employee;
- 1.3 "KPA or KPA's" shall mean key performance area(s);
- 1.4 "KPI or KPI's" shall mean key performance indicator(s);
- 1.5 "competency framework" shall mean leading and core competencies as contained in regulation 3 of Annexure "A" of the Local Government:

 Regulations on Appointment and Conditions of Employment of Senior Managers;

3/1.6 "PA

- 1.6 "PA" shall mean this Performance Agreement;
- 1.7 "PP" shall mean the Performance Plan attached as **Annexure** "A" to this Agreement, which shall be regarded as having been incorporated into the Agreement by reference;
- 1.8 "PDP" shall mean the Personal Development Plan attached as Annexure "B" to this Agreement, which shall be regarded as having been incorporated into the Agreement by reference; and
- 1.9 "Regulations" shall mean the Local Government: Municipal Systems Act, 2000 (Act No. 32 of 2000): Local Government: Regulations on Appointment and Conditions of Employment of Senior Managers, 2014.

2. PURPOSE OF AGREEMENT

The purpose of this Agreement is to -

- 2.1 comply with the provisions of section 57(1)(b), 57(4)(a), 57(4)(c) and 57(5) of the Act as well as the Contract of Employment entered into between the Parties;
- 2.2 specify objectives and targets defined and agreed with the Employee and to communicate to the Employee the Employer's expectations of the Employee's performance and accountabilities in alignment with the Integrated Development Plan, Service Delivery and Budget Implementation Plan and the Budget of the Municipality;
- 2.3 specify accountabilities as set out in the PP, which forms **Annexure "A"** to this Agreement;
- 2.4 monitor and measure performance against set targeted outputs;

4/2.5 use ... MM OR

- 2.5 use the Agreement as the basis for assessing whether the Employee has met the performance expectations applicable to his/her job;
- 2.6 in the event of outstanding performance, to appropriately reward the Employee; and
- 2.7 give effect to the Employer's commitment to a performance-orientated relationship with its Employee in attaining equitable and improved service delivery.

3. COMMENCEMENT AND DURATION

- 3.1 This Agreement will commence on **01 July 2016** and will remain in force until **30 June 2017**, where after a new PA, PP and PDP shall be concluded between the Parties for the next financial year or any portion thereof.
- 3.2 The Parties shall review the provisions of this Agreement during June each year.
- 3.3 The Parties will conclude a new PA, PP and/or PDP that replaces this Agreement at least once a year by not later than the beginning of each successive financial year.
- 3.4 This Agreement will terminate on the termination of the Employee's Contract of Employment for any reason.
- 3.5 The content of this Agreement may be revised at any time during the period specified in clause 3.1 above, to determine the applicability of the matters agreed upon.

5/3.6 If ..

3.6 If at any time during the validity of this Agreement the work environment alters (whether as a result of Government or Council decisions or otherwise) to the extent that the contents of this Agreement are no longer appropriate, the contents shall immediately be revised.

4. PERFORMANCE OBJECTIVES

- 4.1 The PP sets out -
- 4.1.1 The performance objectives and targets that must be met by the Employee; and
- 4.1.2 The time frames within which those performance objectives and targets must be met.
- The performance objectives and targets reflected in the PP are set by the Employer in consultation with the Employee and based on the Integrated Development Plan, Service Delivery and Budget Implementation Plan and the Budget of the Employer, and shall include key objectives, key performance indicators, target dates and weightings.
- 4.2.1 The key objectives describe the main tasks that need to be performed or executed.
- 4.2.2 The KPI's and means of verification provide the details of the evidence that must be provided to show that a key objective has been achieved.
- 4.2.3 The target dates describe the timeframe within which the work must be achieved.

6/4.2.4 The ...

- 4.2.4 The weightings show the relative importance of the key objectives to each other.
- 4.3 The Employee's performance will, in addition, be measured in terms of contributions to the goals and strategies set out in the Employer's Integrated Development Plan.

5. PERFORMANCE MANAGEMENT SYSTEM

- 5.1 The Employee agrees to participate in the performance management system of the Municipality, management and staff of the Employer.
- 5.2 The Employee accepts that the purpose of the performance management system will be to provide a comprehensive system with specific performance standards to assist the Municipality, management and staff to perform to the standards required.
- 5.3 The Employer shall consult the Employee about the specific performance standards that will be included in the performance management system as applicable to the Employee.
- The Employee undertakes to actively focus towards the promotion and implementation of the KPA's (including special projects relevant to the Employee's responsibilities) within the local government framework.
- 5.5 The criteria upon which the performance of the Employee shall be assessed, shall consist of two components, both of which shall be contained in the Agreement.
- 5.5.1 The Employee must be assessed against both components, with a weighting of 80:20 allocated to the KPA's and the competency framework respectively.

7/5.5.2 Each ...

- 5.5.2 Each area of assessment will be weighted and will contribute a specific part to the total score.
- 5.5.3 KPA's covering the main areas of work will account for 80% (eighty percent) and competency framework will account for 20% (twenty percent) of the final assessment.
- The Employee's assessment will be based on his/her performance in terms of the outputs/outcomes (KPI's) identified in the Performance Plan, which are linked to the KPA's, and will constitute 80% (eighty percent) of the overall assessment result as per the weightings agreed to between the Employer and Employee:

Key Performance Areas	Weighting		
Municipal Institutional Development and Transformation			
Basic Service Delivery			
Local Economic Development (LED)	80%		
Municipal Financial Viability and Management			
Good Governance and Public Participation			

- 5.7 The KPA's related to the functional area of the Employee shall be subject to negotiation between the municipal manager and the Employee.
- 5.8 The competency framework will make up the other 20% (twenty percent) of the Employee's assessment score.
- 5.9 Compulsory competencies are listed as follows:

8/COMPETENCIES

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COMPETENCIES

The competencies required from employees prescribed in the Regulations on the appointment and conditions of employment of senior managers, R21 of 2014. The assessment of these competencies will account for twenty percent of the total employee assessment score.

Annexure C describes the different achievement levels for each Competency and should therefore form part of this section of the Performance Plan.

Competency	Definition	Weight
	LEADING COMPETENCIES	
	Provide and direct a vision for the institution, and inspire and deploy others to deliver on the strategic institutional mandate. It includes:	
	Impact and influence	1
Strategic direction and leadership	Institutional performance management	/Q.I
	Strategic planning and management	
	Organisational awareness	
	Effectively manage, inspire and encourage people, respect diversity, optimise talent and build and nurture relationships in order to achieve institutional objectives. It includes:	
	 Human capital planning and development 	7
People management	Diversity management	/0.1
	Employee relations management	
	Negotiation and dispute management	
	Able to understand program and project management methodology; plan, manage, monitor and evaluate specific activities in order to deliver on set objectives. It includes:	
Programme and project management	Program and project planning and implementation	1.67
	Service delivery management	
	Program and project monitoring and evaluation	
	Able to compile, plan and manage budgets, control cash flow, institute financial risk management and administer procurement processes in accordance with recognised financial practices. Further to ensure that all financial transactions are managed in an ethical manner. It includes:	
Financial management	Budget planning and execution	1.6/
	Financial strategy and delivery	
	- Einancial reporting and delivery	

Competency	Definition	Weight
	LEADING COMPETENCIES (continue)	
	Able to direct and initiate transformation on all levels in order to successfully drive and implement new initiatives and deliver professional and quality services to the community. It includes:	
Change leadership	Change vision and strategy Process design and improvement	1.67
	Change impact monitoring and evaluation	
	Able to promote, direct and apply professionalism in managing risk and compliance requirements and apply a thorough understanding of governance practices and obligations. Further, able to direct the conceptualisation of relevant policies and enhance cooperative governance relationships. It includes:	
Governance leadership	Policy formulation	1.67
	Risk and compliance management	
	Cooperative governance	
	CORE COMPETENCIES	
Moral competence	Able to identify moral triggers, apply reasoning that promotes honesty and integrity and display behaviour that reflects moral competence.	1.67
Planning and organising	Able to plan, prioritise and organise information and resources effectively to ensure the quality of service delivery and build efficient contingency plans to manage risk.	1.67
Analysis and innovation	Able to critically analyse information, challenges and trends to establish and implement fact-based solutions that are innovative to improve institutional processes in order to achieve key strategic objectives.	1.67
Knowledge and information management	Able to promote the generalion and sharing of knowledge and information through various processes and media, in order to enhance the collective knowledge base of local government	1.67
Communication	Able to share information, knowledge and ideas in a clear, focused and concise manner appropriate for the audience in order to effectively convey, persuade and influence stakeholders to achieve the desired outcome.	1.67
Results and quality focus	Able to maintain high quality standards, focus on achieving results and objectives while consistency striving to exceed expectations and encourage others to meet quality standards. Further, to actively monitor and measure results and quality against identified objectives.	1.67
	TOTAL	20%

10/6. EVALUATING ...

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6. EVALUATING PERFORMANCE

- 6.1 The PP attached as Annexure "A" to this Agreement, sets out -
- 6.1.1 The standards and procedures for evaluating the Employee's performance; and
- 6.1.2 The intervals for the evaluation of the Employee's performance.
- Despite the establishment of agreed intervals for evaluation, the Employer may in addition review the Employee's performance at any stage while the Contract of Employment remains in force.
- 6.3 Personal growth and development needs identified during any performance review discussion shall be documented in a PDP as well as the actions agreed to and implementation must take place within set time frames.
- 6.4 The Employee's performance shall be measured in terms of contributions to the goals and strategies set out in the Employer's Integrated Development Plan.
- 6.5 The annual performance appraisal shall involve:

6.5.1 Assessment of the achievement of results as outlined in the PP

(a) Each KPA shall be assessed according to the extent to which the specified standards or KPI'S have been met and with due regard to *ad hoc* tasks that had to be performed under the KPA's.

11/(b) An ...

- An indicative rating on the 5 (five) point scale should be provided for each (b) KPA.
- The applicable assessment rating calculator (refer to clause 6.5.3 below) (c) must be used to add the scores and calculate a final KPA score.

6.5.2 Assessment of the Competency Framework

- Each competency should be assessed according to the extent to which the (a) specified standards have been met.
- An indicative rating on the 5 (five) point scale shall be provided for each (b) competency.
- (c) This rating shall be multiplied by the weighting given to each competency during the contracting process to provide a score.
- The applicable assessment rating calculator (refer to clause 6.5.1) shall be (d) used to add the scores and calculate a final competency framework score.

6.5.3 Overall rating

An overall rating is calculated by using the applicable assessment-rating calculator, which shall represent the outcome of the performance appraisal.

The assessment of the performance of the Employee will be based on the (a) following rating scale for KPA's and the competency framework:

12/Level H ox

Level	Terminology	Description		R	atir	ng	
20101	Tommorogy		1	2	3	4	5
5	Outstanding performance	Performance far exceeds the standard expected of an employee at this level. The appraisal indicates that the Employee has achieved above fully effective results against all performance criteria and indicators as specified in the PA and PDP and maintained this in all areas of responsibility throughout the year.					
4	Performance significantly above expectations	Performance is significantly higher than the standard expected in the job. The appraisal indicates that the Employee has achieved above fully effective results against more that half of the performance criteria and indicators and fully achieved all others throughout the year.					
3	Fully effective	Performance fully meets the standards expected in all areas of the job. The appraisal indicates that the Employee has fully achieved effective results against all significant performance criteria and indicators as specified in the PA and PDP.					
2	Not fully effective	Performance is below the standard required for the job in key areas. Performance meets some of the standards expected for the job. The review/assessment indicates that the Employee has achieved below fully effective results against more than half the key performance criteria and indicators as specified in the PA and PDP.					
1	Unacceptable performance	Performance does not meet the standard expected for the job. The review/assessment indicates that the Employee has achieved below fully effective results against almost all of the performance criteria and indicators as specified in the PA and PDP. The Employee has failed to demonstrate the commitment or ability to bring performance up to the level expected in the job, despite management efforts to encourage improvements.					

13/(b) For ...

- (b) For purposes of evaluating the annual performance of the Employee, an evaluation panel constituted of the following persons must be established -
- (i) Municipal Manager;
- (ii) Chairperson of the Performance Audit Committee or the Chairperson or designated performance management specialist of the Audit Committee in the absence of a Performance Audit Committee;
- (iii) Member of the Mayoral Committee; and
- (iv) Municipal Manager from another Municipality.
- (c) The manager responsible for human resources of the municipality must provide secretariat services to the evaluation panel referred to in subclause 6.5.3(b).

7. SCHEDULE FOR PERFORMANCE REVIEWS

7.1 The performance of the Employee in relation to his/her PA shall be reviewed on the following dates, with the understanding that the 3rd (third) quarter review may be verbal if performance is satisfactory:

First quarter: July – September Before end October 2016

Second quarter: November – December Before end January 2017

Third quarter: January – March Before end April 2017

Fourth quarter: April – June Before end July 2017

7.2 The Employer shall keep a record of the mid-year review and annual assessment meetings.

14/7.3 Performance ...

- 7.3 Performance feedback shall be based on the Employer's assessment of the Employee's performance.
- 7.4 The Employer shall be entitled to review and make reasonable changes to the provisions of the PP from time to time for operational reasons, subject thereto that the Employee shall be fully consulted before any such change is made.
- 7.5 The Employer may amend the provisions of the PP whenever a performance management system is adopted, implemented and/or amended by the Municipality, as the case may be, subject thereto that the Employee will be fully consulted before any such change is made.

8. DEVELOPMENTAL REQUIREMENTS

The Personal Development Plan for addressing developmental gaps, is attached as **Annexure "B"** and shall form part of this Agreement.

9. OBLIGATIONS OF THE EMPLOYER

- 9.1 The Employer shall -
- 9.1.1 create an enabling environment to facilitate effective performance by the Employee;
- 9.1.2 provide access to skills development and capacity building opportunities;
- 9.1.3 work collaboratively with the Employee to solve problems and generate solutions to common problems that may impact on the performance of the Employee;

15/9.1.4 on

9.1.4 on the request of the Employee, delegate such powers reasonably required by the Employee to enable him/her to meet the performance objectives and targets established in terms of this Agreement; and

9.1.5 make available to the Employee such resources as the Employee may reasonably require from time to time to assist him/her to meet the performance objectives and targets established in terms of this Agreement.

10. CONSULTATION

- 10.1 The Employer agrees to consult the Employee timeously where the exercising of the powers will have, amongst others -
- 10.1.1 a direct effect on the performance of any of the Employee's functions;
- 10.1.2 commit the Employee to implement or to give effect to (a) decision(s) taken by the Employer; and
- 10.1.3 a substantial financial effect on the Employer.
- The Employer agrees to inform the Employee of the outcome of any decisions taken pursuant to the exercise of powers contemplated in clause 10.1 as soon as is practicable to enable the Employee to take any necessary action without delay.

11. MANAGEMENT OF EVALUATION OUTCOMES

In the case of unacceptable performance, the Employer shall -

11.1 Provide systematic remedial or developmental support to assist the Employee to improve his/her performance; and

16/11.2 After

11.2 After appropriate performance counselling and having provided the necessary guidance and/or support as well as reasonable time for improvement in performance, and performance does not improve, the Employer may consider steps to terminate the contract of employment of the Employee on grounds of unfitness or incapacity to carry out his/her duties.

12. DISPUTE RESOLUTION

12.1 DISPUTES ON PERFORMANCE AGREEMENT

Any disputes about the nature of the Performance Agreement, whether it relates to key responsibilities, priorities, methods of assessment and/or any other matter provided for, shall be mediated by a member of the Municipal Council: Provided that such member was not part of the evaluation panel provided for in sub-regulation 27(4)(e) of the Regulations, within 30 (thirty) days of receipt of a formal dispute from the Employee, whose decision shall be final and binding on both Parties.

12.2 DISPUTES ON OUTCOME OF PERFORMANCE EVALUATION

Any disputes about the outcome of the Employee's performance evaluation must be mediated by a member of the Municipal Council: Provided that such member was not part of the evaluation panel provided for in sub-regulation 27(4)(e), within 30 (thirty) days of receipt of a formal dispute from the Employee, whose decision shall be final and binding on both Parties.

13. GENERAL

13.1 The contents of this Agreement shall be made available to the public by the Employer.

17/13.2 Nothing



- 13.2 Nothing in this Agreement diminishes the obligations, duties or accountabilities of the Employee in terms of his/her Contract of Employment, or the effects of existing or new regulations, circulars, policies, directives or other instruments.
- 13.3 The performance assessment results of the Employee shall be submitted to the Minister responsible for local government in the Province of the Western Cape as well as the National Minister for local government within 14 (fourteen) days after conclusion of the assessment.

THUS DONE AND SIGNE	D AT .	W	ORCE	STEK	ON	THE	S THE	= 14 ⁺ h	DAY	OF
MARCH	2016	IN	THE	PRESEN	NCE	OF	THE	UNDERS	SIGN	ED
WITNESSES:										

2.

F VAN ECK

THUS DONE AND SIGNED AT Stellenbush ON THIS THE 15 DAY OF

March 2016 IN THE PRESENCE OF THE UNDERSIGNED

WITNESSES:

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2. Whalberger

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				Fvan E	F van Eck - 2016/17 (KEY PERFORMANCE INDICATORS) ANNEXURE "A"	CATORS) ANNEXURE "A"	ı						
စ္တ	PDO	PMSID	Key Performance Area	ce Indicat		Portfollo of Evidence	Baseline	Tarriet 01	Tarrost Q2	Ternet 03	Tarnet 04	Annual Target	Welcht
atlona	al Key Perfe	ormance In	National Key Performance Indicator Number (1) Good Governance and Public Participation	nd Public Participation						ah aabii.			b
2	1 to 5	SCTS 1	Good Governance	Implementation of corrective action measures within the due dates as identified in Internal Audit Reports.	% of audil items addressed. (Number of Internal Audit queries addressed)	Status report from Internal Audit	100%	100%	100%	100%	100%	100%	%
2	105	SCTS 2	Good Governance	Respond to all audit queries received from the Auditor General within 4 days to ensure and effective external process.	Respond to all audit queries received from Number of queries responded to within 4 Status report from Internal Audit the Auditor General within 4 days to working days. ensure and effective external process.	Status report from Internal Audit	100%	% 0	100%	*6	8	100%	%
2	1 to 5	SCTS 3	Good Governance	The departments ennual report input is submitted by 31 August to ensure that the municipality annual report is comprehensive and includes the relevant information.	Submitted within the required deadline to an acceptable standard.	Confirmation from official responsible for the compilation of AR	31/08/2016	0	31/08/2016	0	0	31/08/2016	%
2	105	SCTS 4	Good Governance	Communication with extended management team through quarterly meetings	Number	Minutes of meetings and attendance registers	4	_	-	-		4	3%
2	1 to 5	SCTS 5	ICT Governance	ICT Reports to Mayoral Committee	Number	Mayoral Committee Minutes	4	-	-	-	-	4	4%
2	1 to 5	SCTS6	Good Governance	Compliance with all legislation as measured per the Ignite Compliance Module	% of compliance actions complied with as per the (gnite Compliance module applicable to SO 2	Copy of Compliance Register	100%	100%	100%	100%	100%	100%	%
- E	Key Perfo	rmance Inc	National Key Performance Indicator Number (2) Municipal Financial Viability and Management	Pablity and Management									23.×
2	105	SCTS 7	Financial Viability	The percentage of the departments capital budget spent.	The % of the departments capital budget Section 71 Report spent for the financial year.	Section 71 Report	85%	%01	10%	%09	10%	%06	10%
2	1 to 5	SCTS 8	Financial Viability	Project Expenditure as percentage of Project Budget for this period.	% of quarterly financial largel as per SOBIP.	Section 71 Report	90% of quarterty target	90% of quarterly Largel	90% of quarterly Largel	90% of quarterly larget	90% of quarterfy (arge)	90% of annual Largel	10%
2	165	SCTS9	Financial Viability	Percentage of budget spent as per provincial treasury funds for roads	The % of a municipal roads budget spent Section 71 Report on roads for the financial year	Section 71 Report	90% of querterty target	90% of quarterly target	90% of quarterty larget	90% of quarterly target	90% of quarterly target	90% of annual target	10%
													30%
JOUG	Key Perfo	rmance Ind	National Key Performance Indicator Number (3) Municipal Institutional Development and Transformation	of Development and Transformation			i						
2	105	SCTS 10	Institutional Development	Ensure that a quarterty performance evaluation assessment is completed and submitted to the SCM unit on a quarterty basis for all tenders issued	% of quarterly performance assessments Quarterly report from SCM Unit as distributed by SCM Unit	Quarterly report from SCM Unit	100%	100%	100%	100%	100%	100%	% 9
onal	Key Perfor	mance Ind	National Key Performance Indicator Number (4) Local Economic Development (LED)	(Sopment (LED)									×
2	105	SCTS 11	ED	ndividuals temporary flected in business plans itects	Percentage of individuals temporary Pemployed as per business plans	PMS Report	80%	80% of quarterty	80% of quarterly	80% of quarterly	80% of quarterly	80% of annual target	3.00%
2	1 to 5	SCTS 12	LED	uals trained as plans of approved	Percentage of individuals trained as per PMS Report business plans	PMS Report	80%	80% of quarterty target	80% of quarterly target	80% of quarterly largel	80% of quarterly larget	80% of annual Larget	3:00%
- ELO	Key Perfor	mance Indi	National Kay Performance Indicator Number (5) Basic Service Delivery										6.60%
2	165	SCTS 13	Basic Service Delivery	Percentage of quantities achieved as reflected in business plans of approved	% Of quantities achleved as per business plans	SDBIP Quarterly Report	90% of quarterly	90% of quarterly	90% of quarterly	90% of quarterly	90% of quarterly	90% of annual larget	100
+	\uparrow			projects			largel	largel	larget	targel	largel		
												*	

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	X00.EZ	30.00%	8,00%	8.00%	16.00%	10075
	Good Governance and Public Participation	Municipal Financial Vability and Management	Municipal Institutional Development and Transformation	Local Economic Development (LED)	Basic Service Delivery	
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	+	+-	+		+	+
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		Comments at next	Makak			
		Signature of	Park Cardina			
		Support	HR Manager			
*		Work Opportunity Created to Practice Skill / Development Area	≥			
PERSONAL DEVELOPMENT PLAN 2016/17: F van Eck	JOB TITLE: ED Technical Services	Suggested Time Frames/ completion date	È			
PERSONAL DEVELO	JOB TITLE:	Skill required / performance Outcomes Expected (what will Suggested Training and 1 or activity gap and mode of delivery	Skills Audit outcome			
		Outcomes Expected (what will I achieve)	Skills Audit to determine gaps			
		Skill required / performance	Skills Audit as required by DPLG and National Treasury			
		Date of Entry	2016/07/01		6	

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Competency Framework

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Cluster	Leading Competen	cies	
Competency Nan			
Competency Defin		a vision for the institution, and	inspire and deploy others
-	I to deliver ou the sit	ategic institutional mandate	
		/EMENT LEVELS	OUDEDIOD.
BASIC	COMPETENT	ADVANCED	SUPERIOR
Understand	Give direction to a	Evaluate all activities to	Structure and position
institutional and	team in realising the	determine value and	the institution to local
departmental	institution's strategic	alignment to strategic	government priorities
strategic	mandate and set	Intent	Actively use in-depth
objectives, but	objectives	Display in-depth	knowledge and
lacks the ability	Has a positive impact	knowledge and	understanding to
to inspire others to achieve set	and influence on the	understanding of strategic	develop and implement a comprehensive
mandate	morale, engagement and participation of		institutional framework
Describe how	team members	across all functional areas	Hold self accountable
specific tasks	Develop actions plans	Actively define	for strategy execution
link to	to execute and guide	performance measures to	and results
institutional	strategy	monitor the progress and	
strategies but	implementation	effectiveness of the	influence through
has limited	 Assist in defining 	institution	building and
influence in	performance	Consistently challenge	maintaining strategic
directing	measures to monitor	strategic plans to ensure	relationships
strategy	the progress and	relevance	Create an environment
• Has a basic	effectiveness of the	Understand institutional	that facilitates loyalty
understanding of	institution	structures and political	and innovation Display
institutional	Displays an	factors, and the	a superior level of self-
performance	awareness of	consequences of actions	discipline and integrity
management,	institutional structures	Empower others to follow	in actions
but lacks the	and political factors	strategic direction and	Integrate various
ability to	Effectively	deal with complex	systems into a
integrate	communicate barriers	situations	collective whole to
systems into a collective whole	to execution to	Guide the institution	optimise institutional
Demonstrate a	relevant parties	through complex and	performance
basic	Provide guidance to	ambiguous concern	management
understanding of	all stakeholders in the achievement of the	Use understanding of	 Uses understanding of competing interests to
key decision-	strategic mandate	power relationships and	manoeuvre
makers	Understand the aim	dynamic tensions among key players to frame	successfully to a
	and objectives of the		win/win outcome
	institution and relate it	develop strategies,	WINNER OR COLLE
	to own work	positions and alliances	
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Cluster	Leading Compet	ancies		
Competency Na				
		ge inspire and enservers	mla 1 P tr	
Competency Defi	Inition ontimise talent a	, inspire and encourage people, respect diversity, build and nurture relationships in order to achieve		
	institutional object	tives	ha in order to achieve	
		EMENT LEVELS		
BASIC	COMPETENT	ADVANCED	SUPERIOR	
• Participate in	• Seek opportunities	o • Identify ineffective team	Develop and	
team goal-	increase tea		incorporate best	
setting and	contribution ar	d recommend remedial	practice people	
problem	responsibility	interventions	management	
solving	 Respect and support 		processes,	
• Interact and	the diverse nature		approaches and	
collaborate	others and be aware		tools across the	
with people of diverse	the benefits of a divers		institution	
backgrounds	approach	guidance to others in	• Foster a culture of	
• Aware of	Effectively detegrated tasks and empower		discipline,	
guidelines for	The true of the offi		responsibility and	
employee	others to increas	- I dought development	accountability	
development,	execute function	- and learning needs	• Understand the	
but requires	optimally	- 0.1	impact of diversity	
support in	Apply relevant		in performance and actively	
implementing	employee legislatio	" Citabolinicité conductée	incorporate a	
development	fairly and consistently	ethical behaviour and	diversity strategy	
initiatives	• Facilitate team goa		in the institution	
	setting and problem		Develop	
	solving	performance excellence	comprehensive	
1	 Effectively identif 		integrated	
	capacity requirement		strategies and	
	to fulfill the strategi	c the team	approaches to	
	mandate	 Achieve agreement or 	human capital	
		consensus in	development and	
		adversarial	management	
		environments	 Actively identify 	
		• Lead and unite diverse	trends and predict	
		teams across divisions	capacity	
		to achieve institutional	requirements to	
		objectives	facilitate unified transition and	
			transition and performance	
			management	
			manayement	

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Cluster	Leading Competencies						
Competency Name	Program and Project N						
	Able to understand program and project management methodology;						
Competency Definition	plan, manage, monitor and evaluate specific activities in order to						
	deliver on set objectives						
	ACHIEVEMEN						
BASIC	COMPETENT	ADVANCED	SUPERIOR				
Initiate projects	Establish broad	Manage multiple	Understand and				
after approval from	stakeholder	programs and	conceptualise				
higher authorities	involvement and	balance priorities	the long-term				
Understand	communicate the	and conflicts	implications of				
procedures of	project status and	according to	desired project				
program and	key milestones	institutional goals	outcomes				
project	Define the roles	Apply effective risk					
management	and responsibilities	management	comprehensive				
methodology,	of the project team	strategies through	strategic macro				
implications and	and create clarity	impact assessment	and micro				
stakeholder	around	and resource	analysis and				
involvement	expectations	requirements	scope projects				
 Understand the 	• Find a balance	Modify project scope	accordingly to				
rational of projects	between project	and budget when	realise				
in relation to the	deadline and the	required without	institutional				
institution's	quality of	compromising the	objectives				
strategic objectives	deliverables	quality and	 Consider and 				
Document and	 Identify appropriate 	objectives of the	initiate projects				
communicate	project resources to	project	that focus on				
factors and risk	facilitate the	 Involve top-level 	achievement of				
associated with	effective	authorities and	the long-term				
own work	completion of the	relevant	objectives				
Use results and	deliverables	stakeholders in					
approaches of	 Comply with 	seeking project buy-	in positions of				
successful project	statutory	in	authority to				
implementation as	requirements and	Identify and apply	implement				
guide	apply policies in a	contemporary	outcomes of				
	consistent manner	project management methodology	projects • Lead and direct				
	 Monitor progress and use of 	• Influence and	Lead and direct translation of				
	and use of resources and	motivate project	policy into				
	make needed	team to deliver	workable				
	adjustments to	exceptional results	actions plans				
	timelines, steps,	Monitor policy					
	and resource	implementation and	programs are				
	allocation	apply procedures to	monitored to				
		manage risks	track progress				
			and optimal				
			resource				
			utilisation, and				
			that adjustments				
			are made as				
			needed				

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Cluster	Leading Competence	ies					
Competency Name	Financial Management						
Competency Definition	Able to complie, processes in accord	lan and manage budge isk management and ac ance with recognised final nancial transactions are r	iminister procurement				
	ACHIEVEMI	ENT LEVELS					
BASIC	COMPETENT	ADVANCED	SUPERIOR				
 Understand basic financial concepts and methods as they relate to institutional processes and activities Display awareness into the various sources of financial data, reporting mechanisms, financial governance, processes and systems Understand the importance of financial accountability Understand the Importance of asset control 	 Exhibit knowledge of general financial concepts, planning, budgeting, and forecasting and how they interrelate Assess, identify and manage financial risks Assume a costsaving approach to financial management Prepare financial reports based on specified formats Consider and understand the financial implications of decisions and suggestions Ensure that delegation and instructions as required by National Treasury guidelines are reviewed and updated Identify and implement proper monitoring and evaluation practices to ensure appropriate spending against budget 	 Take active ownership of planning, budgeting, and forecast processes and provides credible answers to queries within own responsibility Prepare budgets that are aligned to the strategic objectives of the institution Address complex budgeting and financial management concerns Put systems and processes in place to enhance the quality and integrity of financial management practices Advise on policies and procedures regarding asset control Promote National Treasury's regulatory framework for Financial Management 	Develop planning tools to assist in evaluating and monitoring future expenditure trends Set budget frameworks for the institution Set strategic direction for the institution on expenditure and other financial processes Build and nurture partnerships to improve financial management and achieve financial savings Actively identify and implement new methods to improve asset control Display professionalism in dealing with financial data and processes				

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Cluster	Leading Competencies						
Competency Name	Change Leadership						
Compositor Italia		Able to direct and initiate institutional transformation on all levels in					
Competency Definition		order to successfully drive and implement new initiatives and					
		quality services to the co					
	ACHIEVEMENT	LEVELS	intionity				
BASIC	COMPETENT	ADVANCED	SUPERIOR				
Display an	 Perform an analysis 	Actively monitor	 Sponsor 				
awareness of change	of the change impact	change impact and	change				
interventions, and	on the social,	results and convey	agents and				
the benefits of	political and	progress to relevant	create a				
transformation	economic	stakeholders	network of				
initiatives	environment	Secure buy-in and	change				
Able to identify basic	 Maintain calm and 	sponsorship for	leaders who				
needs for change	focus during change	change initiatives	support the				
Identify gaps	Able to assist team	Continuously	interventions				
between the current	members during	evaluate change	Actively				
and desired state	change and keep	strategy and design	adapt current				
Identify potential risk	them focused on the deliverables	and introduce new	structures and				
and challenges to transformation.	Volunteer to lead	approaches to enhance the	processes to				
including resistance	change efforts	institution's	incorporate				
to change factors	outside of own work	effectiveness	the change				
Participate in change	team	Build and nurture	interventions				
programs and	Able to gain buy-in	relationships with	Mentor and				
piloting change	and approval for	various stakeholders	guide team				
interventions	change from	to establish strategic	members on				
 Understand the 	relevant	alliance in facilitating	the effects of				
impact of change	stakeholders	change	change,				
interventions on the	 Identify change 	 Take the lead in 	resistance				
institution within the	readiness levels and	impactful change	factors and				
broader scope of	assist iņ resolving	programs	how to				
Liocal Ggovernment.	resistance to change	Benchmark change	integrate				
	factors	interventions against	change				
	Design change	best change	Motivate and innnire ether				
	interventions that	practices	inspire others around				
	are aligned with the institution's strategic	Understand the	change				
	objectives and goals	impact and psychology of	Initiatives				
	objectives and goals	change, and put	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,				
1		remedial					
		interventions in					
		place to facilitate					
		effective					
		transformation					
		Take calculated risk					
		and seek new ideas					
		from best practice					
		scenarios, and					
		Identify the potential					
		for implementation					
			1				

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Cluster	Leading Compe	tencies			
Competency Nam	 Governance Lea 	ernance Leadership			
Able to promote, direct and apply professionalism in managements and apply a thorough undersort competency Definition of governance practices and obligations. Further, able to describe the conceptualisation of relevant policies and enhance congovernance relationships					
BASIC	COMPETENT	MENT LEVELS ADVANCED	SUPERIOR		
Display a basic awareness of risk, compliance and dovernance	Display a thorough understanding of	Able to link risk initiatives into key institutional objectives	Demonstrate a high level of commitment in		
and governance factors but require guidance and development in implementing such requirements • Understand the	governance and risk and compliance factors and implement plans to address these Demonstrate understanding of the techniques	and drivers Identify, analyse and measure risk, create valld risk forecasts, and map risk profiles Apply risk control methodology and approaches to prevent and reduce risk that	complying with governance requirements Implement governance and compliance strategy to ensure achievement of institutional		
structure of cooperative government but requires guidance on fostering workable relationships between stakeholders	and processes for optimising risk taking decisions within the institution Actively drive policy formulation within the institution to ensure the	impede on the achievement of institutional objectives Demonstrate a thorough understanding of risk retention plans Identify and implement comprehensive risk	objectives within the legislative framework Able to advise Local Government on risk management strategies, best practice interventions and		
Provide input into policy formulation	achlevement of objectives	management systems and processes Implement and monitor the formulation of policies, identify and analyse constraints and challenges with implementation and provide recommendations for improvement	compliance management Able to forge positive relationships on cooperative governance level to enhance the effectiveness of Llocal government		

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Cluster	Core Competencies		ĺ					
Competency Name	Moral Competence	Moral Competence						
Competency Definition		Able to identify moral triggers, apply reasoning that promotes honesty and integrity and consistently display behaviour that reflects moral						
		ACHIEVEMENT LEVELS						
BASIC	COMPETENT	ADVANCED	SUPERIOR					
Realise the impact of acting with integrity, but requires guidance and development in implementing principles Follow the basic rules and regulations of the institution Able to identify basic moral situations, but requires guidance and development in understanding and reasoning with moral intent	 Conduct self in alignment with the values of Local Government and the institution Able to openly admit own mistakes and weaknesses and seek assistance from others when unable to deliver Actively report fraudulent activity and corruption within local government Understand and honour the confidential nature of matters without seeking personal gain Able to deal with situations of conflict of interest promptly and in the best interest of local government 	 Identify, develop, and apply measures of self-correction Able to gain trust and respect through aligning actions with commitments Make proposals and recommendations that are transparent and gain the approval of relevant stakeholders Present values, beliefs and ideas that are congruent with the institution's rules and regulations Takes an active stance against corruption and dishonesty when noted Actively promote the value of the institution to internal and external stakeholders Able to work in unity with a team and not seek personal gain Apply universal moral principles consistently to achieve moral decisions 	Create an environment conducive of moral practices Actively develop and implement measures to combat fraud and corruption Set Integrity standards and shared accountability measures across the institution to support the objectives of local government Take responsibility for own actions and decisions, even if the consequences are unfavourable					



Г	Cluster	10	Core Competencies			_				
	Competency Name		lanning and Organisi							
Г		P	ble to plan, prioritise	nd re	Source offertime					
	Competency Definition	te	o ensure the quality o	effic	ient continuency					
L	Demindo	10	to ensure the quality of service delivery and build efficient contingency plans to manage risk							
	ACHIEVEMENT LEVELS									
	BASIC		COMPETENT		ADVANCED	T	SUPERIOR			
•	Able to follow	•	Actively and	•	Able to define		Focus on broad			
	basic plans and		appropriately	11	institutional		strategies and			
l	organise tasks	ŀ	organise		objectives, develop	1	initiatives when			
l	around set	1	information and	1	comprehensive	1	developing			
	objectives	1	resources		plans, integrate and	1	plans and			
•	Understand the	l	required for a		coordinate activities.		ections			
	process of		task		and assign		Able to project			
l	planning and	•	Recognise the		appropriate	1	and forecast			
	organising but	ш	urgency and		resources for		short, medium			
l	requires	1	importance of	1	successful	1	and long term			
	guidance and	1	tasks		implementation		requirements of			
	development in	•	Balance short	•	Identify in advance		the institution			
	providing		and long-term		required stages and		and local			
	detailed and		plans and goals	Ï	actions to complete	1	government			
	comprehensive	1	and incorporate	1	tasks and projects	•	Translate policy			
	plans		into the team's	•	Schedule realistic		into relevant			
-	Able to follow		performance		timelines, objectives	1	projects to			
ı	existing plans		objectives	l	and milestones for	1	facilitate the			
	and ensure that	•	Schedule tasks to		tasks and projects	1	achievement of			
	objectives are met	ļ	ensure they are	•	Produce clear,		institutional			
•		İ	performed within		detailed and		objectives			
	Focus on short-		budget and with		comprehensive	1				
	term objectives		efficient use of		plans to achieve	1				
	in developing plans and		time and		institutional	1	ĺ			
	actions		resources		objectives	1				
	Arrange	•	Measures	•	Identify possible risk	1				
	information and		progress and		factors and design	1				
	resources		monitor performance	1	and implement	1				
	required for a		results		appropriate					
	task, but require		ICOUILS		contingency plans	1				
	further structure			•	Adapt plans in light	1				
	and organisation				of changing	1				
	aria organisation				circumstances Prioritise tasks and					
				•						
					projects according to their relevant	1				
					urgency and					
					importance	1	1			
_					mportance					

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Cluster	Co	re Competend	aek		-			
Competency Name		Knowledge and Information Management						
Competency Definition infe		Able to promote the generation and sharing of knowledge and information through various processes and media, in order to enhance the collective knowledge base of local government						
BASIC	ACHIEVEMENT LEVELS BASIC COMPETENT ADVANCED SUPERIOR							
Collect, categorise and track relevant information required for specific tasks and projects Analyse and interpret information to draw conclusions Seek new sources of information to increase the knowledge base Regularly share information and knowledge with internal stakeholders and team members	Use informal system techning institution institution information informat	appropriate mation ems and hology to age utional wedge and mation ing uate data various ces and use mation tively to ence sions and de solutions ely create hanisms and tures for mation external and hal urces to arch and de relevant cutting-edge viedge to ince utional tiveness and tiveness a		Effectively predict future information and knowledge management requirements and systems Develop standards and processes to meet future knowledge management needs		SUPERIOR Create and support a vision and culture where team members are empowered to seek, gain and share knowledge and information Establish partnerships across local government to facilitate knowledge management Demonstrate a mature approach to knowledge and information sharing with an abundance and assistance approach Recognise and exploit knowledge points in interactions with internal and external stakeholders		

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Cluster	Core Competencies				
Competency Name	Communication				
Competency Name Able to share information, knowledge and ideas in a clear, focused and concise manner appropriate for the audience in order to effectively convey, persuade and influence stakeholders to achieve the desired outcome ACHIEVEMENT LEVELS BASIC COMPETENT ADVANCED SUPERIOR • Demonstrate an • Express Ideas • Effectively • Regarded as a					
understanding for communication levers and tools appropriate for the audience, but requires guidance in utilising such tools Express ideas in a clear and focused manner, but does not always take the needs of the audience into consideration Disseminate and convey information and knowledge adequately	to individuals and groups in formal and informal settings In an manner that is interesting and motivating Able to understand, tolerate and appreciate diverse perspectives, attitudes and beliefs Adapt communication content and style to suit the audience and facilitate optimal information transfer Deliver content in a manner that gains support, commitment and agreement from relevant stakeholders Compile clear, focused, concise and well-structured written documents	communicate high- risk and sensitive matters to relevant stakeholders Develop a well- defined communication strategy Balance political perspectives with institutional needs when communicating viewpoints on complex issues Able to effectively direct negotiations around complex matters and arrive at a win-win situation that promotes Batho Pele principles Market and promote the institution to external stakeholders and seek to enhance a positive image of the institution Able to communicate with the media with high levels of moral competence and discipline	specialist in negotiations and representing the institution Able to inspire and motivate others through positive communication that is impactful and relevant Creates an environment conducive to transparent and productive communication and critical and appreciative conversations Able to coordinate negotiations at different levels within local government and externally		

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Cluster	ncies					
Competency Nam		Core Competencies Results and Quality Focus				
Competency Defini	Able to mainta results and obtion expectations ar Further, to acting against identifie	Able to maintain high quality standards, focus on achieving results and objectives while consistently striving to exceed expectations and encourage others to meet quality standards. Further, to actively monitor and measure results and quality against identified objectives ACHIEVEMENT LEVELS				
BASIC	COMPETENT	ADVANCED SUPERIOR				
 Understand quality of work but requires guidance in attending to important matters Show a basic commitment to achieving the correct results Produce the minimum level of results required in the role Produce outcomes that is of a good standard Focus on the quantity of 	Focus on high-priority actions and does not become distracted by lower-priority activities Display firm commitment and pride in achieving the correct results Set quality standards and design processes and tasks around achieving set standards Produce output of high quality Able to balance	Consistently verify own standards and outcomes to ensure quality output Focus on the end result and avoids being distracted Demonstrate a determined and committed approach to achieving results and quality standards Follow task and projects through to completion Set challenging goals and objectives to self and team and	Coach and guide others to exceed quality standards and results Develop challenging, client-focused goals and sets high standards for personal performance Commit to exceed the results and quality standards, monitor own performance and implement remedial interventions when required			
output but requires development in incorporating the quality of work Produce quality work in general circumstances, but fails to meet expectation when under pressure	the quantity and quality of results in order to achieve objectives Monitors progress, quality of work, and use of resources; provide status updates, and make adjustments as needed	display commitment to achieving expectations • Maintain a focus on quality outputs when placed under pressure • Establishing Institutional systems for managing and assigning work, defining responsibilities, tracking, monitoring and measuring success, evaluating and valuing the work of the institution	Work with team to set ambitious and challenging team goals, communicating long- and short-term expectations Take appropriate risks to accomplish goals Overcome setbacks and adjust action plans to realise goals Focus people on critical activities that yield a high impact			

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